

Before completing this form, refer to "A Guide To Filling Out Form TL706-I"

### I. GENERAL HIGHWAY SAFETY REQUIREMENTS

The Commission shall not issue or authorize the transfer of any carrier authority except upon a showing before the Commission and a finding by the Commission that the applicant or proposed transferee meets and certifies compliance to all of the following requirements:

- (1) Is financially and organizationally capable of conducting an operation that complies with the rules and regulations of the Department of the California Highway Patrol governing highway safety.
- (2) Is committed to observing the hours of service regulations of state and, where applicable, federal law, for all persons, including employees and subhaulers, operating vehicles in transportation for compensation under the certificate or the permit.
- (3) Has a preventive maintenance program in effect for its vehicles used in transportation for compensation that conforms to regulations of the Department of California Highway Patrol in Title 13 of the California Code of Regulations.
- (4) Participates in a program to regularly check the driving record of all persons, whether employees or subhaulers, operating vehicles used in transportation for compensation requiring a class A or class B driver's license under the certificate or the permit.
- (5) Has a safety education and training program in effect for all persons, including employees and subhaulers, operating vehicles used in transportation for compensation.
- (6) Will maintain its vehicles used in transportation for compensation in a safe operating condition and in compliance with the Vehicle Code and with regulations contained in Title 13 of the California Code of Regulations relative to motor vehicle safety.
- (7) Has provided the Commission the physical address of an office or terminal where documents supporting the factual matters specified in the showing required by this section may be inspected by the Commission and the Department of the California Highway Patrol.



**IV. DEPARTMENT OF MOTOR VEHICLES' DRIVER SAFETY REGULATIONS**

You must provide the Commission with a Requester Code Number which is assigned by the DMV when a pull notice account is established with that department. If you are already participating in the pull notice program, please enter your requester code number and the number of class A and class B drivers listed with DMV in the spaces below. If you are not yet participating in the pull notice program, you may apply by calling DMV at (916) 657-6346.

**REQUESTER  
CODE NUMBER**

**NUMBER OF CLASS A OR  
CLASS B EMPLOYEE-  
DRIVERS LISTED WITH DMV**

**NUMBER OF CLASS A OR  
CLASS B SUBHAULER-  
DRIVERS LISTED WITH DMV**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**V. ORGANIZATION REQUIREMENTS**

Name of person(s) in your business responsibility for highway safety: \_\_\_\_\_

\_\_\_\_\_

**V. CERTIFICATION**

I (we) certify that I (we) have read and understand the requirements in Sections I. through VI. above and that I am (we are) able to and will comply with each of them; and that the information I (we) have provided on this form, and in the attachments, is true and correct to the best of my (our) knowledge and belief. I (we) certify (or declare), under penalty of perjury, that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
**Signature of Applicant(s)**

**If applicant is a corporation:**

\_\_\_\_\_  
**Signature of Corporate Officer**

\_\_\_\_\_  
**Title of Corporate Officer**

## A GUIDE TO FILLING OUT FORM TL 706-I

**KEEP THIS GUIDE FOR YOUR FILES.  
DO NOT RETURN IT WITH YOUR APPLICATION.**

### I. GENERAL HIGHWAY SAFETY REQUIREMENTS

Items 1 through 7 generally explain the safety rules that you are expected to know and abide by in order to obtain authority from the Commission to operate.

### II. PREVENTIVE MAINTENANCE PROGRAM

A. Attach to form TL706-I a copy of your preventive maintenance schedule and the form(s) you will be using to record completed maintenance work. (Note: The preventive maintenance program is not required if the vehicles used have less than 3 axles and have less than 10,001 pounds gross vehicle weight.)

1. The form you use must include a list of the items to be serviced or inspected, the mileage or time interval when the maintenance will be performed, and a place for recording maintenance actually performed. (See Samples I A., B. and C. Your local CHP Motor Carrier Safety Unit will send you one free copy of these forms.)
2. Your maintenance schedule must have a minimum inspection schedule of 90 days for items listed below:
  - a. Brake adjustment
  - b. Brake system components and leaks.
  - c. Steering and suspension systems.
  - d. Tires and wheels.
  - e. Vehicle connecting devices

These items should be inspected more often if necessary to ensure safe operation. Any other categories, components or parts may have an inspection interval longer than 90 days, but no longer than 20,000 miles or 4 months, whichever comes sooner, unless you explain why the mileage or time exceeding these limits is reasonable.

You must perform preventive maintenance frequently enough to ensure that your vehicles are in safe and proper operating condition at all times. Vehicles which are out of service for periods longer than 90 calendar days are not required to be inspected at 90 day intervals if they are inspected before operation on the highway.

B. Attach to form TL706-I a copy of the driver's daily vehicle condition report form that you will use. (See Sample II)

### III. SAFETY EDUCATION AND TRAINING PROGRAM

As a *minimum*, a carrier safety education and training program shall cover the following subjects, as set forth in the *California Commercial Driver Handbook*, published by the Department of Motor Vehicles:

- Commercial Driver License Program, Qualifications, and Sanctions
- Commercial Driver License Test
- Inspecting Your Vehicle
- Basic Control of your Vehicle
- Size and Weight of Vehicles and Loads
- Transporting Cargo
- Air Brakes
- Combination Vehicles
- Hazardous Materials

- A. If you develop your own safety education and training program, provide a description of all materials to be used and an explanation of the program.

You may purchase a commercially available program if you wish. A few of these programs are mentioned below. If you adopt the safety education and training program of a shipper or other carrier, you must provide a copy of that program.

You must explain how you intend to use the program that you choose. For example, state: how many hours of training there will be; how often training will be given; that drivers will be given the material that they are required to read; etc. (See Sample III A., B, and C.) Training and education must be provided at least twice a year. If written or video materials will be used for training, they must be reviewed with employees at least twice a year. You must keep records of training and drivers who participate in the training.

Acceptable safety materials include the DOT Federal Motor Carrier Safety Regulations Pocketbook (Call (916) 498-5050 or (909) 653-2299 for sales information) and the Department of Motor Vehicles Commercial Driver Handbook available at DMV office. (See Sample IV)

If you purchase any of the following materials to fulfill the requirements for a safety education and training program, attach a copy of the receipt to form TL706-I to prove you have purchased the material.

1. California Trucking Association (CTA) Safety and Maintenance Kit.
2. American Trucking Association (ATA) Driver Training and Safety Videos.
3. California Dump Truck Owners Association (CDTOA) Preventive Maintenance and Driver Training & Safety Kits.
4. Trucking Support Services Team, Inc. (TruSST) Safety Kit.

If you purchase a program from a safety consultant, you must attach to form TL706-I a copy of the receipt from him listing the materials you purchased. A program should include:

- DOT Federal Motor Carrier Safety Regulations Pocketbook
- Department of Motor Vehicles Commercial Driver Handbook

**BOOKLETS:**

- |                            |                          |
|----------------------------|--------------------------|
| Vehicle Inspection         | Cornering Techniques     |
| Driving Grades             | Drivers                  |
| Drugs/Drinking             | Night Driving            |
| Backing                    | Sharing the Highway      |
| Controlling/Brakes         | Skid Control             |
| Extreme Driving Conditions | Preventive Maintenance   |
| Flatbeds                   | Terminal/Yard Procedures |
| Defensive Driving          | Trailers                 |
| Emergency Maneuvers        | Your Daily Log           |

**IV. DEPARTMENT OF MOTOR VEHICLES' DRIVER SAFETY REGULATIONS**

Before the Commission will issue a certificate or permit, you must show evidence that you will regularly check the driving records of employees and subhaulers driving vehicles requiring a class A or class B license. You must check the driving records of employees by participating in the DMV's pull notice program. You must check the driving records of subhaulers by listing those drivers in your periodic report request to the DMV. (See Vehicle Code Section 1808.1(c)).

To participate in the pull notice program and to receive information on how to request periodic reports, call DMV at (916) 657-6346.

## A NOTE FROM THE CALIFORNIA HIGHWAY PATROL

In addition to the above listed safety requirements, motor carriers operating or directing the operations of the following vehicles *must* participate in the Biennial Inspection of Terminals (BIT) Program.

Trucks with 3 or more axles and a gross vehicle weight rating over 10,000 lbs.

Truck tractors

Trailers or semi-trailers used in combination with the above vehicles

Any truck, or any combination of a truck and any other vehicle transporting hazardous materials in an amount that requires placarding

Any 2 axle truck with a gross vehicle weight rating exceeding 10,100 lbs. towing trailers resulting in combination lengths over 40 feet.

This program requires a fee paid inspection of each terminal every two years.

To find out what happens during the BIT program inspection, get the California Highway Patrol Motor Carrier Safety Compliance Handbook, HPH 84.6. Single copies of this handbook are available for a nominal charge at all Highway Patrol Area Offices or Division of Motor Carrier Safety Units (See below for local telephone numbers and addresses). Carriers should also obtain a copy of the California Vehicle Code (available at Department of Motor Vehicle Offices) and a copy of Title 13, California Code of Regulations, available from:

Barclays Law Publishers  
Attention: Client Services  
P.O. Box 3066  
South San Francisco, CA 94083

(415) 244-6611

### CHP Motor Carrier Safety Units

- |   |                |
|---|----------------|
| 1. 2485 Sonoma Street, Redding, 96001                       | (916) 225-2715 |
| 2. 11336 Trade Center Drive, Rancho Cordova, 95741          | (916) 464-2090 |
| 3. 1551 Benicia Road, Vallejo, 94591                        | (707) 648-4180 |
| 4. 4771 W. Jacklyn, Fresno, 93722                           | (209) 445-6992 |
| 5. 437 N. Vermont Avenue, Los Angeles, 90004                | (213) 664-1108 |
| 6. 13211 Garden Grove Blvd., Suite 100, Garden Grove, 92643 | (714) 558-4224 |
| 7. 4115 Broad Street, Suite B-10, San Luis Obispo, 93401    | (805) 549-3261 |
| 8. 847 E. Brier Drive, San Bernardino, 92408                | (909) 383-4811 |

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**TRUCK AND/OR TRACTOR MAINTENANCE & SAFETY INSPECTION**

CHP 108 (Rev 1-90) OPI 062

\*Inspection of these items required by 34505.5 CVC

Carrier's name		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE		MILEAGE					
		JAN		FEB		MARCH		APRIL		MAY		JUNE		JULY		AUG		SEP		OCT		NOV		DEC	
		OK	DEF	OK	DEF	OK	DEF																		
INTERIOR AND EXTERIOR	1. Fire extinguisher and reflectors—secured—marked																								
	2. Horn—defrosters, gauges and speedometer																								
	3. Mirrors and supports																								
	4. Windshield wipers—window cracks, condition																								
	5. Check all lights—turn signals—reflectors, mud flaps																								
	6. Check electrical wiring—condition and protection																								
	7. Check batteries—water terminals and cable																								
	8. Warning devices—air, oil and temperature, vacuum																								
ENGINE AND ELECTRIC	9. Radiator and water hoses—condition—leaks																								
	10. Belts—compressor(s), fan and water pump																								
	11. Air lines—leaks, condition and protection																								
	12. Fuel tanks—lines—pump, condition and protection																								
	13. Manifold and flange gaskets—muffler and condition																								
	14. Engine mounts, oil and fuel leaks																								
	15. Clutch adjustment and free play																								
	16. Throttle and linkage, air filter																								
BRAKES	17. Generator/alternator, starter, brushes and wiring																								
	18. Tractor protection valve—breakaway test																								
	19. Brakes—lining, drums, and adjustment—near cam over, pedal ht.-hyd.																								
	20. Hoses, and tubing condition—protection, hyd., brake reservoir level																								
	21. Air leaks and 1-minute brake application test, vacuum loss																								
	22. Air governor adjustment—minimum 85—maximum 130																								
	23. Identify number 1 air tank—drain—test check valve																								
	24. All tank secure, drains operable, drain tanks																								
	25. Check tires wheels nuts and studs, cracked, secure and inflation, tread																								
	26. Parking brake—condition and adjustment																								
CHASSIS	27. Emergency stopping system—labeled, operable																								
	28. Release after loss of service air—test anti skid lamp																								
	29. Check steering gear and mounting—free lash																								
	30. Steering arms, drag links and tie rod ends																								
	31. Fifth wheel condition and mounting																								
	32. Springs, shackles and U-bolts—torque arms																								
	33. Check frame, cross members, cracks, etc.																								
	34. Drive shaft and universal joints																								
	35. Transmission, differential—mounting and seals																								
	36. Wheel seals leaks, hydraulic brake system leaks																								
	37. Clean under carriage																								

**INSPECTOR'S SIGNATURE(S) AND DATE(S) OF INSPECTION**

January	April	July	October
February	May	August	November
March	June	September	December





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# DRIVER'S VEHICLE INSPECTION REPORT

Check Any Defective Item and Give Details Under "Remarks."

DATE: \_\_\_\_\_

TRUCK/TRACTOR NO.: \_\_\_\_\_

- AIR COMPRESSOR
- AIR LINES
- BATTERY
- BRAKE ACCESSORIES
- BRAKES
- CARBURETOR
- CLUTCH
- DEFROSTER
- DRIVE LINE
- ELECTRICAL CONNECTIONS
- ENGINE
- EXHAUST SYSTEM
- FIFTH WHEEL
- FRONT AXLE
- FUEL SYSTEM
- HEATER

- HORN
- INSTRUMENTS & GAUGES
- LIGHTS
  - Head-Stop
  - Tail-Dash
  - Turn Indicator
- MIRRORS
- ON-BOARD RECORDER
- RADIATOR
- REFLECTORS
- REAR END
- REFLECTORS
- SAFETY EQUIPMENT
  - Fire Extinguisher
  - Flags-Flares-Fuses
  - Spare Bulbs & Fuses
  - Spare Seal Beam

- SPRINGS
- STARTER
- STEERING
- TACHNOGRAPH
- TIRES
- TRANSMISSION
- WHEELS
- WINDOWS
- WINDSHIELD WIPERS
- OTHER (Describe)

TRAILER(S) NO.(S): \_\_\_\_\_

- BRAKE CONNECTIONS
- BRAKES
- COUPLING CHAINS
- COUPLING (KING) PIN
- DOOR

- HITCH
- LANDING GEAR
- LIGHTS ALL
- ROOF
- SPRINGS

- TIE DOWNS
- TIRES
- WHEELS
- OTHER (Describe)

REMARKS:

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CONDITION OF THE ABOVE VEHICLE IS SATISFACTORY

DRIVER'S SIGNATURE:

ABOVE DEFECTS CORRECTED (ATTACH WORKORDER SHOWING WORK TO BE DONE AND PARTS AND MATERIALS USED)

ABOVE DEFECTS NEED NOT BE CORRECTED FOR SAFE OPERATION OF VEHICLE

MECHANIC SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

SAMPLE

## (Example of an independent owner operator application)

### II. PREVENTIVE MAINTENANCE PROGRAM

- A. Attach a copy of your preventive maintenance schedule and the form(s) you will be using to record preventive maintenance performed. (See Samples I. A., B., and D.)
- B. Attach a copy of the driver's daily vehicle condition report form that you will use. (See Sample II)

### III. SAFETY EDUCATION AND TRAINING PROGRAM

- A. Describe your safety education and training program (See Samples III A., B., and C.)

I have acquired the California Commercial Drivers Handbook and the DOT Federal Motor Carrier Safety Regulations Pocketbook. I will review the material every six months and keep abreast of any changes in requirements. Photocopies of covers of Commercial Drivers Handbook and the DOT Federal Motor Carrier Safety Regulations Pocketbook attached.

Or: I have enrolled in the following program: (See Attached receipt/enrollment confirmation and copies of materials to be used in the course.)

Attach a copy of any written materials you will use. If you have enrolled or are enrolling yourself, employee-drivers or subhauleders in a safety program provided by another organization and such documents showing the facts identify the program if you or your employees or subhauleders have completed such a program, attach documents of proof.

- B. Will employee-drivers be enrolled in this program? NO
- C. Will subhauleders be enrolled in this program? NO

(Example of a prime carrier with employee/subhauler application)

## II. PREVENTIVE MAINTENANCE PROGRAM

- A. Attach a copy of your preventive maintenance schedule and the form(s) you will be using to record preventive maintenance performed. (See Samples I. A., B., and D.)
- B. Attach a copy of the driver's daily vehicle condition report form that you will use. (See Sample II)

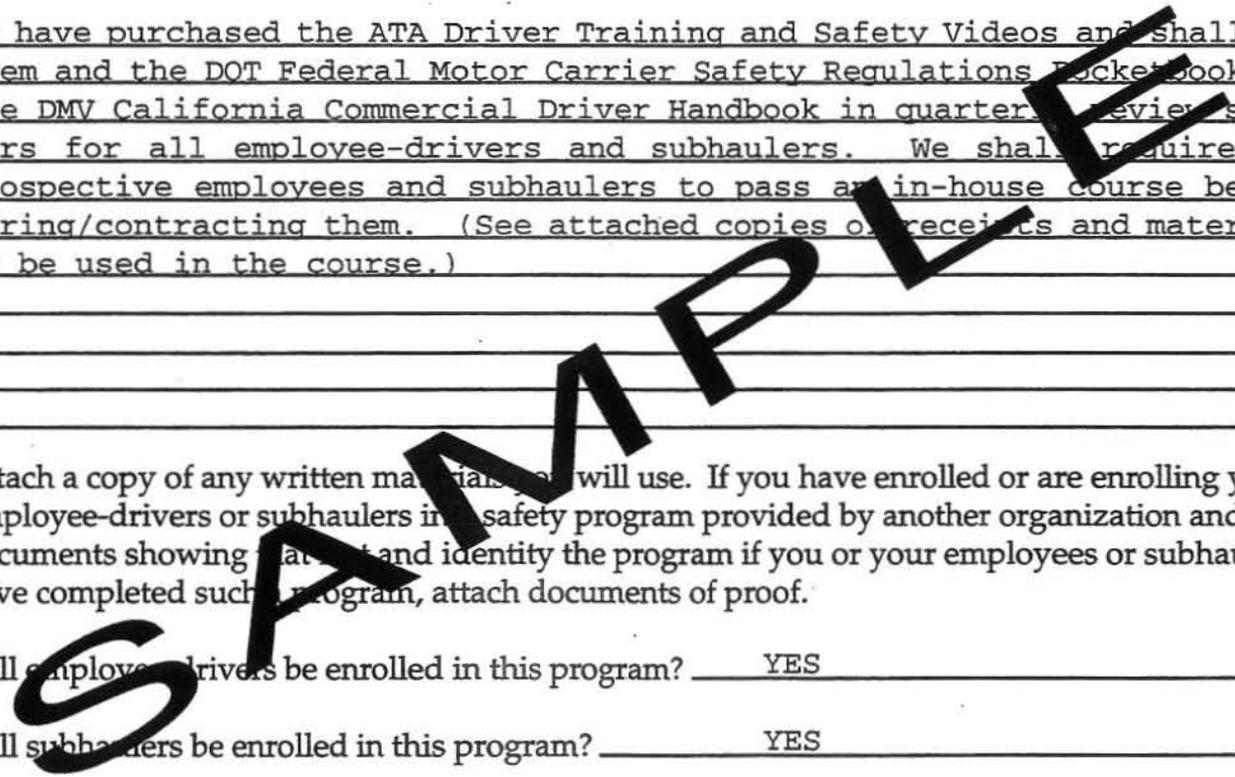
## III. SAFETY EDUCATION AND TRAINING PROGRAM

- A. Describe your safety education and training program (See Samples III A., B., and C.)

We have purchased the ATA Driver Training and Safety Videos and shall use them and the DOT Federal Motor Carrier Safety Regulations Pocketbook and the DMV California Commercial Driver Handbook in quarterly review seminars for all employee-drivers and subhaulers. We shall require all prospective employees and subhaulers to pass an in-house course before hiring/contracting them. (See attached copies of receipts and materials to be used in the course.)

Attach a copy of any written materials you will use. If you have enrolled or are enrolling yourself, employee-drivers or subhaulers in a safety program provided by another organization and such documents showing that fact and identify the program if you or your employees or subhaulers have completed such program, attach documents of proof.

- B. Will employee drivers be enrolled in this program? YES
- C. Will subhaulers be enrolled in this program? YES



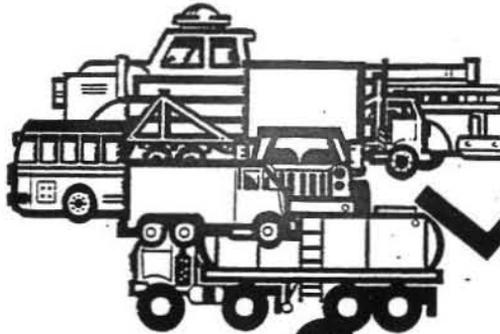
## SAFETY EDUCATION AND TRAINING PROGRAM

Each new driver to receive:

1. A classroom course, four hours long, consisting of at least these subjects:
  - a. Driver's attitude
  - b. Rules of the road
  - c. Techniques for avoiding or minimizing accidents
  - d. Defensive driving strategies
  - e. Handling emergency and hazardous driving conditions
  - f. Fuel conservation practices
  - g. Preventive maintenance
2. Behind the wheel defensive driving course, two hours long, including:
  - a. Defensive driving techniques
  - b. Vision control techniques
  - c. Backing techniques
  - d. Cornering techniques
  - e. Emergency maneuvers
  - f. Written evaluation
3. In addition to the above, all drivers will attend a monthly safety meeting one hour long. Drivers' input on safety problems will be discussed. New regulations will be explained and discussed. Procedures such as preventive maintenance, safety checks, and hours of service regulations will be explained and discussed.
4. If untoward tickets or accidents occur with an individual, an eight hour intensive training course will be given consisting of:
  - a. Defensive driving techniques
  - b. Attitude
  - c. Vision control techniques
  - d. Backing techniques
  - e. Drugs/drinking
  - f. Emergency maneuvers
  - g. Cornering techniques
  - h. Night driving
  - i. Skid control
  - j. Preventive maintenance
  - k. Written evaluation



**CALIFORNIA  
COMMERCIAL  
DRIVER  
HANDBOOK**



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**FEDERAL  
MOTOR CARRIER  
SAFETY  
REGULATIONS**

U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION

PARTS 382, 390-397, 399



AMERICAN TRUCKING ASSOCIATIONS  
2200 Mill Road, Alexandria, VA 22314-4677