



Supporting Documents



Administrative Law Judges Division
March 16, 2016 Modernization Committee Meeting





Electronic Filing System

- **Electronic Filing (E-Filing):**
 - Allows parties to “Submit for Filing” or “File” formal documents in proceedings
 - Allows parties to “Submit” supporting documents in proceedings
 - Confidential documents cannot be filed or submitted through the E-filing system. They continue to be submitted in hard copy





Types of Documents

- **File**
 - Applications
 - Motions
 - Comments to PDs
 - Replies
 - Responses
 - Petitions for Rulemaking
 - Briefs
 - Ex- Parte Reporting
 - Etc.
- **Submit as Supporting Document**
 - Testimony
 - Work papers
 - Workshop reports
 - Other documents as instructed by the ALJ.





Commission

General Public

Legislature



Transparency and Access

Testimony & Workpapers

eFiling Application

Judge

Parties

Testimony & Workpapers	Exhibits

Docket Office

During Hearings





Filings Vs. Submitting Supporting Documents

- Filing Documents:
 - All parties need to register on CPUC's web site to be able to file documents
 - Docket has to accept the document (through ARM)
 - Documents have to meet certain format (PDF/A)
 - Almost all filed documents need to be served on the service list
 - Filed documents appear on the Docket Card
- Submitting Supporting Documents:
 - All parties need to register on CPUC's web site to be able to submit supporting documents
 - Docket does not review or accept documents
 - Documents have to meet certain format (PDF/A)
 - Submitted supporting documents do not appear on the Docket Card but are accessible on the CPUC's web site:
 - Go to: Online Documents, choose: "[E-filed Documents](#)",
 - Select "Supporting Document" as the document type, (do not choose testimony)
 - Type in the proceeding number, hit search.





Instructions for Parties

- Use the sample ruling to give parties instructions about the “Supporting Documents” feature and how to SUBMIT documents electronically.
(<https://cs.cpuc.ca.gov/otcs/livmlink.exe/open/158684666>)
- Use Supporting Documents for proceedings that testimony has not been served yet.





What is next?

- In the next phase, judges will be able to review and accept (or reject) submitted testimony as exhibits and place them in the Docket Card (this will be done through the “ALJ Module”).
- Trainings will be available for judges on the use of “ALJ Module”
- We are working on installing computers in hearings rooms to allow judges to use the supporting documents during hearings
- E-filing upgrade to allow confidential documents
- Questions or comments to Kale Williams, Ryan Cayabyab and ACALJ Ebke

