Appendix A-4, ANNUAL WORK PLAN AND PERFORMANCE METRICS PLAN (see Sec VI. B)								
[Consortium Members, create a separate work plan and performance metrics plan for each work plan year, if seeking multi-year funding]								

Name of Consortium:	Upstate California Connect Consortium
Name of Project:	Connecting Upstate California
Proposed Start Date of Project:	1-Jul-23
Broadband deployment activities funded by other state or federal grants	N/A

Confirmation that CASF consortium budget does not duplicate any other sources of funding	☑ Check Confirmed	If checked, explain why there is no funding duplication: The CASF consortium budget does not duplicate any other sources of funding. The Collective has other broadband related funding through CETF and potentially through the CASF Adoption account. The CEFT funds will be used to promote the Affordable Connectivity Program (ACP) and engage local jurisdictions with the adoption of the Getting Connected Resource Guide and Best Practices Check List. The CASF Adoption funds, if awarded, will fund the adoption projects at the Collective. The CASF Consortia funds will cover other broadband related projects such as a supporting ISP applications, need-gap analysis, inventory of public assets, and identifying and coordinating with regional stakeholders.

				Year 1 [2023-2024]				
				[see instructions below for work plan terminology definitions and requirements]				

Objective		Activity Description	Start-Up Activity	Deliverable(s) *	Beginning Month	End Month	Performance Measure(s)*	Method for Performance Tracking and Measuring	Responsible Party(ies)
Objective 1, Collaborating with the Commission and other state agencies to engage regional consortia, local officials, ISPs, stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal	Activity 1	Carry out an assessment of regional broadband deployment based on CPUC's broadband data and maps.	☐	Report of broadband deployment status per county identifying gaps to CASF goal	7/1/2023	12/31/2023	1. 1 broadband deployment status reports per county 2. 1 continuous outreach campaign per county and action items and collaborative work agreements as results of the campaigns 3. 2 public feedback forms and surveys, and Calspeed tests 4. 3 priority areas maps and lists 5. 3 priority areas data files and public feedback summaries	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Conduct marketing and outreach to local broadband stakeholders and residential customers	☐	Marketing and outreach materials and outreach summary	7/1/2023	12/31/2023			Project Manager
	Activity 3	Gather public feedback and input on broadband service and needs from local broadband stakeholders and residential customers	☐	Public feedback forms and surveys, CalSPEED measurements, and public feedback input report	7/1/2023	12/31/2023			Project Manager
	Activity 4	Consolidate public feedback and input on broadband service and needs and map all results to identify priority areas for broadband deployments	☐	Priority areas maps and lists based on public feedback and broadband deployment status	7/1/2023	12/31/2023			Project Manager
	Activity 5	Work with the CPUC to update the broadband data/map, including priority areas and public feedback input	☐	Priority areas data and public feedback summary material to submit to the CPUC	7/1/2023	12/31/2023			Project Manager
Objective 2, Identifying potential CASF infrastructure projects or potential broadband deployment projects related to new programs created under SB 156 and AB 164, along with other opportunities, where ISPs can expand and improve their	Activity 1	Carry out a data-based analysis to identify priority areas; incorporating input from local partners and asset inventories information	☐	Report and maps of identified priority areas for broadband infrastructure projects	10/1/2023	3/31/2024	1. 4 priority areas identified 2. 5 ISPs and potential partners identified 3. 1 need-gap analysis per county 4. 3 potential CASF infrastructure projects identified 5. 2 complementary funding opportunities and solutions identified	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Identify ISPs with interest and capacity for potential infrastructure projects in priority areas and also identify key local partners	☐	Report of ISPs for potential priority areas projects and potential project partners	10/1/2023	3/31/2024			Project Manager
	Activity 3	Carry out a need-gap analysis for priority areas	☐	Need-gap analysis report	10/1/2023	3/31/2024			Project Manager

| expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each consortia region | Activity 4 | Identify potential CASF infrastructure projects in priority areas | ☐ | Report of potential CASF infrastructure projects identified - If under NDA, confidentiality must apply to some report items | 10/1/2023 | 3/31/2024 | | | Project Manager |

	Activity 5	Identify complementary funding opportunities and solutions involving local partners and organizations	☐	Report of other potential funding opportunities and solutions	10/1/2023	3/31/2024		Project Manager
Objective 3, Assisting potential CASF infrastructure applicants or potential applicants for broadband deployment projects related to the new programs created under SB 156 and AB 164 in the project development or grant application process	Activity 1	Inform ISPs about CASF and share priority areas information for assessing feasibility and viability of potential projects	☐	Reports of assessment of potential CASF infrastructure projects	12/1/2023	3/31/2024	1. 4 priority areas shared with ISPs 2. 2 information, data and maps packages provided to ISPs 3. 5 local broadband stakeholders briefed about and supporting potential CASF projects 4. 5 strategic partnerships established for potential CASF projects 5. 3 ISPs and potential infrastructure projects	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Provide information, data and maps to ISPs of broadband availability, market and demand aggregation, strategic assets, and potential local partners, with emphasis on priority areas	☐	Reports of information, data, maps and others provided to ISPs - If under NDA, confidentiality must apply to some report items	12/1/2023	3/31/2024			Project Manager
	Activity 3	Support ISPs to connect with local broadband stakeholders to gather support for potential CASF projects	☐	Report of local stakeholders assessing and supporting potential CASF projects	12/1/2023	3/31/2024			Project Manager
	Activity 4	Support ISPs to develop strategic partnerships to achieve cost-effective broadband deployment	☐	Report of strategic partnership to achieve cost-effective CASF projects	12/1/2023	3/31/2024			Project Manager
	Activity 5	Support ISPs to prepare CASF infrastructure project applications and application items including letters of support	☐	Report of CASF infrastructure application development- If under NDA, confidentiality must apply to some report items	12/1/2023	3/31/2024			Project Manager
Objective 4, Conducting activities that will lead to or that can be reasonably expected to lead to CASF infrastructure projects or broadband deployment projects related to new programs created under SB 156 and AB 164, including the Federal Funding Account, Middle-Mile, Broadband Loan Loss Reserve, and Local Agency Technical Assistance.	Activity 1	Support project permitting activities for CASF applications or projects	☐	Reports of project permit support for CASF applications or projects	7/1/2023	6/30/3024	1. 3 project permits supported for CASF applications or projects 2. 5 stakeholders engaged 3. 1 inventory of public assets per county with emphasis on priority and potential project areas 4. 3 potential projects areas were conducted demand assessment and aggregation, and speed tests and validation	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Engage stakeholders to better understand and explain regional broadband needs and solutions	☐	Broadband stakeholders outreach summary	7/1/2023	6/30/3024			Project Manager
	Activity 3	Continue developing an inventory of public assets (e.g. rights-of-ways, publicly owned towers, public utility poles, equipment housing, publicly owned property)	☐	Report of work with local public works and planning departments and generation of a public assets inventory	7/1/2023	6/30/3024			Project Manager
	Activity 4	Support demand aggregation, conducting speed tests and validation efforts	☐	Report of potential demand, demand aggregation, speed tests and validation in potential project areas	7/1/2023	6/30/3024			Project Manager
	Activity 5		☐						
Objective 5, Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed	Activity 1	Publicize CalSPEED for Home marketing materials.	☐	Marketing materials produced by the consortium.	7/1/2023	6/30/3024	1. 20 volunteers signed up in the consortium region. 2. 5 outlets CalSPEED was promoted.	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Promote volunteers to sign-up for the study at www.calspeed.net.	☐	List of outlets where CalSPEED for Home has been posted, presented or otherwise promoted.	7/1/2023	6/30/3024			Project Manager
	Activity 3	Educate public on importance of the CalSPEED study.	☐	Educational materials used to communicate to the public.	7/1/2023	6/30/3024			Project Manager
	Activity 4		☐						
	Activity 5		☐						

Conducting and Submitting annual audit reports, as required	Annual Audit	Provide the required annual audit report	☐	Annual audit report			n/a	n/a	Fiscal Agent

Appendix A-4, ANNUAL WORK PLAN AND PERFORMANCE METRICS PLAN (see Sec VI. B)								
[Consortium Members, create a separate work plan and performance metrics plan for each work plan year, if seeking multi-year funding]								

Name of Consortium:	Upstate California Connect Consortium
Name of Project:	Connecting Upstate California
Proposed Start Date of Project:	1-Jul-23
Broadband deployment activities funded by other state or federal grants	N/A

Confirmation that CASF consortium budget does not duplicate any other sources of funding	☑ Check ☐ Check Box 1 **Confirmed**	If checked, explain why there is no funding duplication: The CASF consortium budget does not duplicate any other sources of funding. The Collective has other broadband related funding through CETF and potentially through the CASF Adoption account. The CEFT funds will be used to promote the Affordable Connectivity Program (ACP) and engage local jurisdictions with the adoption of the Getting Connected Resource Guide and Best Practices Check List. The CASF Adoption funds, if awarded, will fund the adoption projects at the Collective. The CASF Consortia funds will cover other broadband related projects such as a supporting ISP applications, need-gap analysis, inventory of public assets, and identifying and coordinating with regional stakeholders.

Year 2 [2024-2025]								
[see instructions below for work plan terminology definitions and requirements]								

Objective		Activity Description	Start-Up Activity	Deliverable(s) *	Beginning Month	End Month	Performance Measure(s)*	Method for Performance Tracking and Measuring	Responsible Party(ies)
Objective 1, Collaborating with the Commission and other state agencies to engage regional consortia, local officials, ISPs, stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal	Activity 1	<u>Updates</u> on assessment of regional broadband deployment based on latest CPUC's broadband data and maps.	☐	Update report of broadband deployment status per county identifying gaps to CASF goal	7/1/2024	12/31/2024	1. 1 broadband deployment status reports per county 2. 1 continuous outreach campaign per county and action items and collaborative work agreements as results of the campaigns 3. 2 public feedback forms and surveys, and Calspeed tests 4. 3 priority areas maps and lists 5. 3 priority areas data files and public feedback summaries	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	<u>Continue conducting</u> marketing and outreach to local broadband stakeholders and residential customers	☐	Marketing and outreach materials and outreach summary	7/1/2024	12/31/2024			Project Manager
	Activity 3	<u>Continue gathering</u> public feedback and input on broadband service and needs from local broadband stakeholders and residential customers	☐	Public feedback forms and surveys, CalSPEED measurements, and public feedback input report	7/1/2024	12/31/2024			Project Manager
	Activity 4	<u>Continue consolidating</u> public feedback and input on broadband service and needs and map all results to identify priority areas for broadband deployments	☐	Updates on priority areas maps and lists based on public feedback and broadband deployment status	7/1/2024	12/31/2024			Project Manager
	Activity 5	<u>Continue</u> working with the CPUC to update the broadband data/map, including priority areas and public feedback input	☐	Updated priority areas data and public feedback summary material to submit to the CPUC	7/1/2024	12/31/2024			Project Manager
Objective 2, Identifying potential CASF infrastructure projects or potential broadband deployment projects related to new programs created under SB 156 and AB 164, along with other opportunities, where ISPs can expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each consortia	Activity 1	<u>Updates</u> on data-based analysis to identify priority areas; incorporating input from local partners and asset inventories information	☐	Updates on identified priority areas for broadband infrastructure projects	10/1/2024	3/31/2025	1. 4 priority areas identified 2. 5 ISPs and potential partners identified 3. 1 need-gap analysis per county 4. 3 potential CASF infrastructure projects identified 5. 2 complementary funding opportunities and solutions identified	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	<u>Continue identifying</u> ISPs with interest and capacity for potential infrastructure projects in priority areas and also identify key local partners	☐	Update report of ISPs for potential priority areas projects and potential project partners	10/1/2024	3/31/2025			Project Manager
	Activity 3	Continue carrying out a need-gap analysis for priority areas	☐	Updated need-gap analysis report	10/1/2024	3/31/2025			Project Manager
	Activity 4	Continue identifying potential CASF infrastructure projects in priority areas	☐	Updates report of potential CASF infrastructure projects identified - If under NDA, confidentiality must apply to some report items	10/1/2024	3/31/2025			Project Manager

					Start Date	End Date			
region	Activity 5	Continue identifying complementary funding opportunities and solutions involving local partners and organizations	☐	Updates report of other potential funding opportunities and solutions	10/1/2024	3/31/2025			Project Manager
Objective 3, Assisting potential CASF infrastructure applicants or potential applicants for broadband deployment projects related to the new programs created under SB 156 and AB 164 in the project development or grant application process	Activity 1	Continue informing ISPs about CASF and share priority areas information for assessing feasibility and viability of potential projects	☐	Updates reports of assessment of potential CASF infrastructure projects	12/1/2024	3/31/2025	1. 4 priority areas shared with ISPs 2. 2 information, data and maps packages provided to ISPs 3. 5 local broadband stakeholders briefed about and supporting potential CASF projects 4. 5 strategic partnerships established for potential CASF projects 5. 3 ISPs and potential infrastructure projects	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue providing information, data and maps to ISPs of broadband availability, market and demand aggregation, strategic assets, and potential local partners, with emphasis on priority areas	☐	Updates reports of information, data, maps and others provided to ISPs - If under NDA, confidentiality must apply to some report items	12/1/2024	3/31/2025			Project Manager
	Activity 3	Continue supporting ISPs to connect with local broadband stakeholders to gather support for potential CASF projects	☐	Updates report of local stakeholders assessing and supporting potential CASF projects	12/1/2024	3/31/2025			Project Manager
	Activity 4	Continue supporting ISPs to develop strategic partnerships to achieve cost-effective broadband deployment	☐	Updates report of strategic partnership to achieve cost-effective CASF projects	12/1/2024	3/31/2025			Project Manager
	Activity 5	Continue supporting ISPs to prepare CASF infrastructure project applications and application items including letters of support	☐	Updates report of CASF infrastructure application development- If under NDA, confidentiality must apply to some report items	12/1/2024	3/31/2025			Project Manager
Objective 4, Conducting activities that will lead to or that can be reasonably expected to lead to CASF infrastructure projects or broadband deployment projects related to new programs created under SB 156 and AB 164, including the Federal Funding Account, Middle-Mile, Broadband Loan Loss Reserve, and Local Agency Technical Assistance.	Activity 1	Continue supporting project permitting activities for CASF applications or projects	☐	Updates reports of project permit support for CASF applications or projects	7/1/2024	6/30/3025	1. 3 project permits supported for CASF applications or projects 2. 5 stakeholders engaged 3. 1 inventory of public assets per county with emphasis on priority and potential project areas 4. 3 potential projects areas were conducted demand assessment and aggregation, and speed tests and validation	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue engaging stakeholders to better understand and explain regional broadband needs and solutions	☐	Broadband stakeholders outreach summary	7/1/2024	6/30/3025			Project Manager
	Activity 3	Continue developing an inventory of public assets (e.g. rights-of-ways, publicly owned towers, public utility poles, equipment housing, publicly owned property)	☐	Updates report of work with local public works and planning departments and generation of a public assets inventory	7/1/2024	6/30/3025			Project Manager
	Activity 4	Continue supporting demand aggregation, conducting speed tests and validation efforts	☐	Updates report of potential demand, demand aggregation, speed tests and validation in	7/1/2024	6/30/3025			Project Manager
	Activity 5		☐						
Objective 5, Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed	Activity 1	Publicize CalSPEED for Home marketing materials.	☐	Marketing materials produced by the consortium.	7/1/2024	6/30/3025	1. 20 volunteers signed up in the consortium region. 2. 5 outlets CalSPEED was promoted.	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Promote volunteers to sign-up for the study at www.calspeed.net.	☐	List of outlets where CalSPEED for Home has been posted, presented or otherwise promoted.	7/1/2024	6/30/3025			Project Manager
	Activity 3	Educate public on importance of the CalSPEED study.	☐	Educational materials used to communicate to the public.	7/1/2024	6/30/3025			Project Manager
	Activity 4		☐						
	Activity 5		☐						
Conducting and Submitting annual audit reports, as required	Annual Audit	Provide the required annual audit report	☐	Annual audit report			n/a	n/a	Fiscal Agent

Appendix A-4, ANNUAL WORK PLAN AND PERFORMANCE METRICS PLAN (see Sec VI. B)
[Consortium Members, create a separate work plan and performance metrics plan for each work plan year, if seeking multi-year funding]

Name of Consortium:	**Upstate California Connect Consortium**
Name of Project:	**Connecting Upstate California**
Proposed Start Date of Project:	**1-Jul-23**
Broadband deployment activities funded by other state or federal grants	**N/A**

Confirmation that CASF consortium budget does not duplicate any other sources of funding	☑ Check **Confirmed**	If checked, explain why there is no funding duplication: The CASF consortium budget does not duplicate any other sources of funding. The Collective has other broadband related funding through CETF and potentially through the CASF Adoption account. The CEFT funds will be used to promote the Affordable Connectivity Program (ACP) and engage local jurisdictions with the adoption of the Getting Connected Resource Guide and Best Practices Check List. The CASF Adoption funds, if awarded, will fund the adoption projects at the Collective. The CASF Consortia funds will cover other broadband related projects such as a supporting ISP applications, need-gap analysis, inventory of public assets, and identifying and coordinating with regional stakeholders.

Year 3 [2025-2026]
[see instructions below for work plan terminology definitions and requirements]

Objective		Activity Description	Start-Up Activity	Deliverable(s) *	Beginning Month	End Month	Performance Measure(s)*	Method for Performance Tracking and Measuring	Responsible Party(ies)
Objective 1, Collaborating with the Commission and other state agencies to engage regional consortia, local officials, ISPs, stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal	Activity 1	<u>Updates</u> on assessment of regional broadband deployment based on latest CPUC's broadband data and maps.	☐	Update report of broadband deployment status per county identifying gaps to CASF goal	7/1/2025	12/31/2025	1. 1 broadband deployment status reports per county 2. 1 continuous outreach campaign per county and action items and collaborative work agreements as results of the campaigns 3. 2 public feedback forms and surveys, and Calspeed tests 4. 3 priority areas maps and lists 5. 3 priority areas data files and public feedback summaries	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	<u>Continue conducting</u> marketing and outreach to local broadband stakeholders and residential customers	☐	Marketing and outreach materials and outreach summary	7/1/2025	12/31/2025			Project Manager
	Activity 3	<u>Continue gathering</u> public feedback and input on broadband service and needs from local broadband stakeholders and residential customers	☐	Public feedback forms and surveys, CalSPEED measurements, and public feedback input report	7/1/2025	12/31/2025			Project Manager
	Activity 4	<u>Continue consolidating</u> public feedback and input on broadband service and needs and map all results to identify priority areas for broadband deployments	☐	Updates on priority areas maps and lists based on public feedback and broadband deployment status	7/1/2025	12/31/2025			Project Manager
	Activity 5	<u>Continue</u> working with the CPUC to update the broadband data/map, including priority areas and public feedback input	☐	Updated priority areas data and public feedback summary material to submit to the CPUC	7/1/2025	12/31/2025			Project Manager
Objective 2, Identifying potential CASF infrastructure projects or potential broadband deployment projects related to new programs created under SB 156 and AB 164, along with other opportunities, where ISPs can expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each consortia	Activity 1	<u>Updates</u> on data-based analysis to identify priority areas; incorporating input from local partners and asset inventories information	☐	Updates on identified priority areas for broadband infrastructure projects	10/1/2025	3/31/2026	1. 4 priority areas identified 2. 5 ISPs and potential partners identified 3. 1 need-gap analysis per county 4. 3 potential CASF infrastructure projects identified 5. 2 complementary funding opportunities and solutions identified	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	<u>Continue</u> <u>identifying</u> ISPs with interest and capacity for potential infrastructure projects in priority areas and also identify key local partners	☐	Update report of ISPs for potential priority areas projects and potential project partners	10/1/2025	3/31/2026			Project Manager
	Activity 3	Continue carrying out a need-gap analysis for priority areas	☐	Updated need-gap analysis report	10/1/2025	3/31/2026			Project Manager
	Activity 4	Continue identifying potential CASF infrastructure projects in priority areas	☐	Updates report of potential CASF infrastructure projects identified - If under NDA, confidentiality must apply to some report items	10/1/2025	3/31/2026			Project Manager

region	Activity 5	Continue identifying complementary funding opportunities and solutions involving local partners and organizations	☐	Updates report of other potential funding opportunities and solutions	10/1/2025	3/31/2026			Project Manager
Objective 3, Assisting potential CASF infrastructure applicants or potential applicants for broadband deployment projects related to the new programs created under SB 156 and AB 164 in the project development or grant application process	Activity 1	Continue informing ISPs about CASF and share priority areas information for assessing feasibility and viability of potential projects	☐	Updates reports of assessment of potential CASF infrastructure projects	12/1/2025	3/31/2026	1. 4 priority areas shared with ISPs 2. 2 information, data and maps packages provided to ISPs 3. 5 local broadband stakeholders briefed about and supporting potential CASF projects 4. 5 strategic partnerships established for potential CASF projects 5. 3 ISPs and potential infrastructure projects	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue providing information, data and maps to ISPs of broadband availability, market and demand aggregation, strategic assets, and potential local partners, with emphasis on priority areas	☐	Updates reports of information, data, maps and others provided to ISPs - If under NDA, confidentiality must apply to some report items	12/1/2025	3/31/2026			Project Manager
	Activity 3	Continue supporting ISPs to connect with local broadband stakeholders to gather support for potential CASF projects	☐	Updates report of local stakeholders assessing and supporting potential CASF projects	12/1/2025	3/31/2026			Project Manager
	Activity 4	Continue supporting ISPs to develop strategic partnerships to achieve cost-effective broadband deployment	☐	Updates report of strategic partnership to achieve cost-effective CASF projects	12/1/2025	3/31/2026			Project Manager
	Activity 5	Continue supporting ISPs to prepare CASF infrastructure project applications and application items including letters of support	☐	Updates report of CASF infrastructure application development- If under NDA, confidentiality must apply to some report items	12/1/2025	3/31/2026			Project Manager
Objective 4, Conducting activities that will lead to or that can be reasonably expected to lead to CASF infrastructure projects or broadband deployment projects related to new programs created under SB 156 and AB 164, including the Federal Funding Account, Middle-Mile, Broadband Loan Loss Reserve, and Local Agency Technical Assistance.	Activity 1	Continue supporting project permitting activities for CASF applications or projects	☐	Updates reports of project permit support for CASF applications or projects	7/1/2025	6/30/3026	1. 3 project permits supported for CASF applications or projects 2. 5 stakeholders engaged 3. 1 inventory of public assets per county with emphasis on priority and potential project areas 4. 3 potential projects areas were conducted demand assessment and aggregation, and speed tests and validation	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue engaging stakeholders to better understand and explain regional broadband needs and solutions	☐	Broadband stakeholders outreach summary	7/1/2025	6/30/3026			Project Manager
	Activity 3	Continue developing an inventory of public assets (e.g. rights-of-ways, publicly owned towers, public utility poles, equipment housing, publicly owned property)	☐	Updates report of work with local public works and planning departments and generation of a public assets inventory	7/1/2025	6/30/3026			Project Manager
	Activity 4	Continue supporting demand aggregation, conducting speed tests and validation efforts	☐	Updates report of potential demand, demand aggregation, speed tests and validation in	7/1/2025	6/30/3026			Project Manager
	Activity 5		☐						
Objective 5, Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed	Activity 1	Publicize CalSPEED for Home marketing materials.	☐	Marketing materials produced by the consortium.	7/1/2025	6/30/3026	1. 20 volunteers signed up in the consortium region. 2. 5 outlets CalSPEED was promoted.	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Promote volunteers to sign-up for the study at www.calspeed.net.	☐	List of outlets where CalSPEED for Home has been posted, presented or otherwise promoted.	7/1/2025	6/30/3026			Project Manager
	Activity 3	Educate public on importance of the CalSPEED study.	☐	Educational materials used to communicate to the public.	7/1/2025	6/30/3026			Project Manager
	Activity 4		☐						
	Activity 5		☐						
Conducting and Submitting annual audit reports, as required	Annual Audit	Provide the required annual audit report	☐	Annual audit report			n/a	n/a	Fiscal Agent

Appendix A-4, ANNUAL WORK PLAN AND PERFORMANCE METRICS PLAN (see Sec VI. B)		
[Consortium Members, create a separate work plan and performance metrics plan for each work plan year, if seeking multi-year funding]		

Name of Consortium:	Upstate California Connect Consortium
Name of Project:	Connecting Upstate California
Proposed Start Date of Project:	1-Jul-23
Broadband deployment activities funded by other state or federal grants	N/A
Confirmation that CASF consortium budget does not duplicate any other sources of funding	Confirmed ☑ Check	If checked, explain why there is no funding duplication: The CASF consortium budget does not duplicate any other sources of funding. The Collective has other broadband related funding through CETF and potentially through the CASF Adoption account. The CEFT funds will be used to promote the Affordable Connectivity Program (ACP) and engage local jurisdictions with the adoption of the Getting Connected Resource Guide and Best Practices Check List. The CASF Adoption funds, if awarded, will fund the adoption projects at the Collective. The CASF Consortia funds will cover other broadband related projects such as a supporting ISP applications, need-gap analysis, inventory of public assets, and identifying and coordinating with regional stakeholders.

Year 4 [2026-2027]		
[see instructions below for work plan terminology definitions and requirements]		

Objective		Activity Description	Start-Up Activity	Deliverable(s) *	Beginning Month	End Month	Performance Measure(s)*	Method for Performance Tracking and Measuring	Responsible Party(ies)
Objective 1, Collaborating with the Commission and other state agencies to engage regional consortia, local officials, ISPs, stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal	Activity 1	Updates on assessment of regional broadband deployment based on latest CPUC's broadband data and maps.	☐	Update report of broadband deployment status per county identifying gaps to CASF goal	7/1/2026	12/31/2026	1. 1 broadband deployment status reports per county 2. 1 continuous outreach campaign per county and action items and collaborative work agreements as results of the campaigns 3. 2 public feedback forms and surveys, and Calspeed tests 4. 3 priority areas maps and lists 5. 3 priority areas data files and public feedback summaries	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue conducting marketing and outreach to local broadband stakeholders and residential customers	☐	Marketing and outreach materials and outreach summary	7/1/2026	12/31/2026			Project Manager
	Activity 3	Continue gathering public feedback and input on broadband service and needs from local broadband stakeholders and residential customers	☐	Public feedback forms and surveys, CalSPEED measurements, and public feedback input report	7/1/2026	12/31/2026			Project Manager
	Activity 4	Continue consolidating public feedback and input on broadband service and needs and map all results to identify priority areas for broadband deployments	☐	Updates on priority areas maps and lists based on public feedback and broadband deployment status	7/1/2026	12/31/2026			Project Manager
	Activity 5	Continue working with the CPUC to update the broadband data/map, including priority areas and public feedback input	☐	Updated priority areas data and public feedback summary material to submit to the CPUC	7/1/2026	12/31/2026			Project Manager
Objective 2, Identifying potential CASF infrastructure projects or potential broadband deployment projects related to new programs created under SB 156 and AB 164, along with other opportunities, where ISPs can expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each consortia	Activity 1	Updates on data-based analysis to identify priority areas; incorporating input from local partners and asset inventories information	☐	Updates on identified priority areas for broadband infrastructure projects	10/1/2026	3/31/2027	1. 4 priority areas identified 2. 5 ISPs and potential partners identified 3. 1 need-gap analysis per county 4. 3 potential CASF infrastructure projects identified 5. 2 complementary funding opportunities and solutions identified	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue identifying ISPs with interest and capacity for potential infrastructure projects in priority areas and also identify key local partners	☐	Update report of ISPs for potential priority areas projects and potential project partners	10/1/2026	3/31/2027			Project Manager
	Activity 3	Continue carrying out a need-gap analysis for priority areas	☐	Updated need-gap analysis report	10/1/2026	3/31/2027			Project Manager
	Activity 4	Continue identifying potential CASF infrastructure projects in priority areas	☐	Updates report of potential CASF infrastructure projects identified - If under NDA, confidentiality must apply to some report items	10/1/2026	3/31/2027			Project Manager

Objective	Activity	Description	☐	Report	Start	End	Performance Target	Performance Measure	Responsible
region	Activity 5	Continue identifying complementary funding opportunities and solutions involving local partners and organizations	☐	Updates report of other potential funding opportunities and solutions	10/1/2026	3/31/2027			Project Manager
Objective 3, Assisting potential CASF infrastructure applicants or potential applicants for broadband deployment projects related to the new programs created under SB 156 and AB 164 in the project development or grant application process	Activity 1	Continue informing ISPs about CASF and share priority areas information for assessing feasibility and viability of potential projects	☐	Updates reports of assessment of potential CASF infrastructure projects	12/1/2026	3/31/2027	1. 4 priority areas shared with ISPs 2. 2 information, data and maps packages provided to ISPs 3. 5 local broadband stakeholders briefed about and supporting potential CASF projects 4. 5 strategic partnerships established for potential CASF projects 5. 3 ISPs and potential infrastructure projects	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue providing information, data and maps to ISPs of broadband availability, market and demand aggregation, strategic assets, and potential local partners, with emphasis on priority areas	☐	Updates reports of information, data, maps and others provided to ISPs - If under NDA, confidentiality must apply to some report items	12/1/2026	3/31/2027			Project Manager
	Activity 3	Continue supporting ISPs to connect with local broadband stakeholders to gather support for potential CASF projects	☐	Updates report of local stakeholders assessing and supporting potential CASF projects	12/1/2026	3/31/2027			Project Manager
	Activity 4	Continue supporting ISPs to develop strategic partnerships to achieve cost-effective broadband deployment	☐	Updates report of strategic partnership to achieve cost-effective CASF projects	12/1/2026	3/31/2027			Project Manager
	Activity 5	Continue supporting ISPs to prepare CASF infrastructure project applications and application items including letters of support	☐	Updates report of CASF infrastructure application development- If under NDA, confidentiality must apply to some report items	12/1/2026	3/31/2027			Project Manager
Objective 4, Conducting activities that will lead to or that can be reasonably expected to lead to CASF infrastructure projects or broadband deployment projects related to new programs created under SB 156 and AB 164, including the Federal Funding Account, Middle-Mile, Broadband Loan Loss Reserve, and Local Agency Technical Assistance.	Activity 1	Continue supporting project permitting activities for CASF applications or projects	☐	Updates reports of project permit support for CASF applications or projects	7/1/2026	6/30/3027	1. 3 project permits supported for CASF applications or projects 2. 5 stakeholders engaged 3. 1 inventory of public assets per county with emphasis on priority and potential project areas 4. 3 potential projects areas were conducted demand assessment and aggregation, and speed tests and validation	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue engaging stakeholders to better understand and explain regional broadband needs and solutions	☐	Broadband stakeholders outreach summary	7/1/2026	6/30/3027			Project Manager
	Activity 3	Continue developing an inventory of public assets (e.g. rights-of-ways, publicly owned towers, public utility poles, equipment housing, publicly owned property)	☐	Updates report of work with local public works and planning departments and generation of a public assets inventory	7/1/2026	6/30/3027			Project Manager
	Activity 4	Continue supporting demand aggregation, conducting speed tests and validation efforts	☐	Updates report of potential demand, demand aggregation, speed tests and validation in	7/1/2026	6/30/3027			Project Manager
	Activity 5		☐						
Objective 5, Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed	Activity 1	Publicize CalSPEED for Home marketing materials.	☐	Marketing materials produced by the consortium.	7/1/2026	6/30/3027	1. 20 volunteers signed up in the consortium region. 2. 5 outlets CalSPEED was promoted.	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Promote volunteers to sign-up for the study at www.calspeed.net.	☐	List of outlets where CalSPEED for Home has been posted, presented or otherwise promoted.	7/1/2026	6/30/3027			Project Manager
	Activity 3	Educate public on importance of the CalSPEED study.	☐	Educational materials used to communicate to the public.	7/1/2026	6/30/3027			Project Manager
	Activity 4		☐						
	Activity 5		☐						
Conducting and Submitting annual audit reports, as required	Annual Audit	Provide the required annual audit report	☐	Annual audit report			n/a	n/a	Fiscal Agent

Appendix A-4, ANNUAL WORK PLAN AND PERFORMANCE METRICS PLAN (see Sec VI. B)
[Consortium Members, create a separate work plan and performance metrics plan for each work plan year, if seeking multi-year funding]

Name of Consortium:	**Upstate California Connect Consortium**
Name of Project:	**Connecting Upstate California**
Proposed Start Date of Project:	**1-Jul-23**
Broadband deployment activities funded by other state or federal grants	**N/A**
Confirmation that CASF consortium budget does not duplicate any other sources of funding	**Confirmed** ☑ Check — If checked, explain why there is no funding duplication: The CASF consortium budget does not duplicate any other sources of funding. The Collective has other broadband related funding through CETF and potentially through the CASF Adoption account. The CEFT funds will be used to promote the Affordable Connectivity Program (ACP) and engage local jurisdictions with the adoption of the Getting Connected Resource Guide and Best Practices Check List. The CASF Adoption funds, if awarded, will fund the adoption projects at the Collective. The CASF Consortia funds will cover other broadband related projects such as a supporting ISP applications, need-gap analysis, inventory of public assets, and identifying and coordinating with regional stakeholders.

Year 5 [2027-2028]
[see instructions below for work plan terminology definitions and requirements]

Objective		Activity Description	Start-Up Activity	Deliverable(s) *	Beginning Month	End Month	Performance Measure(s)*	Method for Performance Tracking and Measuring	Responsible Party(ies)
Objective 1, Collaborating with the Commission and other state agencies to engage regional consortia, local officials, ISPs, stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal	Activity 1	Updates on assessment of regional broadband deployment based on latest CPUC's broadband data and maps.	☐	Update report of broadband deployment status per county identifying gaps to CASF goal	7/1/2027	12/31/2027	1. 1 broadband deployment status reports per county 2. 1 continuous outreach campaign per county and action items and collaborative work agreements as results of the campaigns 3. 2 public feedback forms and surveys, and Calspeed tests 4. 3 priority areas maps and lists 5. 3 priority areas data files and public feedback summaries	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue conducting marketing and outreach to local broadband stakeholders and residential customers	☐	Marketing and outreach materials and outreach summary	7/1/2027	12/31/2027			Project Manager
	Activity 3	Continue gathering public feedback and input on broadband service and needs from local broadband stakeholders and residential customers	☐	Public feedback forms and surveys, CalSPEED measurements, and public feedback input report	7/1/2027	12/31/2027			Project Manager
	Activity 4	Continue consolidating public feedback and input on broadband service and needs and map all results to identify priority areas for broadband deployments	☐	Updates on priority areas maps and lists based on public feedback and broadband deployment status	7/1/2027	12/31/2027			Project Manager
	Activity 5	Continue working with the CPUC to update the broadband data/map, including priority areas and public feedback input	☐	Updated priority areas data and public feedback summary material to submit to the CPUC	7/1/2027	12/31/2027			Project Manager
Objective 2, Identifying potential CASF infrastructure projects or potential broadband deployment projects related to new programs created under SB 156 and AB 164, along with other opportunities, where ISPs can expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each consortia	Activity 1	Updates on data-based analysis to identify priority areas; incorporating input from local partners and asset inventories information	☐	Updates on identified priority areas for broadband infrastructure projects	10/1/2027	3/31/2028	1. 4 priority areas identified 2. 5 ISPs and potential partners identified 3. 1 need-gap analysis per county 4. 3 potential CASF infrastructure projects identified 5. 2 complementary funding opportunities and solutions identified	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue identifying ISPs with interest and capacity for potential infrastructure projects in priority areas and also identify key local partners	☐	Update report of ISPs for potential priority areas projects and potential project partners	10/1/2027	3/31/2028			Project Manager
	Activity 3	Continue carrying out a need-gap analysis for priority areas	☐	Updated need-gap analysis report	10/1/2027	3/31/2028			Project Manager
	Activity 4	Continue identifying potential CASF infrastructure projects in priority areas	☐	Updates report of potential CASF infrastructure projects identified - If under NDA, confidentiality must apply to some report items	10/1/2027	3/31/2028			Project Manager

region	Activity 5	Continue identifying complementary funding opportunities and solutions involving local partners and organizations	☐	Updates report of other potential funding opportunities and solutions	10/1/2027	3/31/2028				Project Manager
Objective 3, Assisting potential CASF infrastructure applicants or potential applicants for broadband deployment projects related to the new programs created under SB 156 and AB 164 in the project development or grant application process	Activity 1	Continue informing ISPs about CASF and share priority areas information for assessing feasibility and viability of potential projects	☐	Updates reports of assessment of potential CASF infrastructure projects	12/31/2027	3/31/2028	1. 4 priority areas shared with ISPs 2. 2 information, data and maps packages provided to ISPs 3. 5 local broadband stakeholders briefed about and supporting potential CASF projects 4. 5 strategic partnerships established for potential CASF projects 5. 3 ISPs and potential infrastructure projects	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue providing information, data and maps to ISPs of broadband availability, market and demand aggregation, strategic assets, and potential local partners, with emphasis on priority areas	☐	Updates reports of information, data, maps and others provided to ISPs - If under NDA, confidentiality must apply to some report items	12/31/2027	3/31/2028			Project Manager
	Activity 3	Continue supporting ISPs to connect with local broadband stakeholders to gather support for potential CASF projects	☐	Updates report of local stakeholders assessing and supporting potential CASF projects	12/31/2027	3/31/2028			Project Manager
	Activity 4	Continue supporting ISPs to develop strategic partnerships to achieve cost-effective broadband deployment	☐	Updates report of strategic partnership to achieve cost-effective CASF projects	12/31/2027	3/31/2028			Project Manager
	Activity 5	Continue supporting ISPs to prepare CASF infrastructure project applications and application items including letters of support	☐	Updates report of CASF infrastructure application development- If under NDA, confidentiality must apply to some report items	12/31/2027	3/31/2028			Project Manager
Objective 4, Conducting activities that will lead to or that can be reasonably expected to lead to CASF infrastructure projects or broadband deployment projects related to new programs created under SB 156 and AB 164, including the Federal Funding Account, Middle-Mile, Broadband Loan Loss Reserve, and Local Agency Technical Assistance.	Activity 1	Continue supporting project permitting activities for CASF applications or projects	☐	Updates reports of project permit support for CASF applications or projects	7/1/2027	6/30/3028	1. 3 project permits supported for CASF applications or projects 2. 5 stakeholders engaged 3. 1 inventory of public assets per county with emphasis on priority and potential project areas 4. 3 potential projects areas were conducted demand assessment and aggregation, and speed tests and validation	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Continue engaging stakeholders to better understand and explain regional broadband needs and solutions	☐	Broadband stakeholders outreach summary	7/1/2027	6/30/3028			Project Manager
	Activity 3	Continue developing an inventory of public assets (e.g. rights-of-ways, publicly owned towers, public utility poles, equipment housing, publicly owned property)	☐	Updates report of work with local public works and planning departments and generation of a public assets inventory	7/1/2027	6/30/3028			Project Manager
	Activity 4	Continue supporting demand aggregation, conducting speed tests and validation efforts	☐	Updates report of potential demand, demand aggregation, speed tests and validation in	7/1/2027	6/30/3028			Project Manager
	Activity 5		☐						
Objective 5, Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed	Activity 1	Publicize CalSPEED for Home marketing materials.	☐	Marketing materials produced by the consortium.	7/1/2027	6/30/3028	1. 20 volunteers signed up in the consortium region. 2. 5 outlets CalSPEED was promoted.	1. Tracking and measuring of performance measures on a monthly basis 2. Generating quarterly reports of performance measures 3. Filing bi-annual reports to the CPUC 4. Based on CPUC feedback, measuring, tracking and reporting can be adjusted	Project Manager
	Activity 2	Promote volunteers to sign-up for the study at www.calspeed.net.	☐	List of outlets where CalSPEED for Home has been posted, presented or otherwise promoted.	7/1/2027	6/30/3028			Project Manager
	Activity 3	Educate public on importance of the CalSPEED study.	☐	Educational materials used to communicate to the public.	7/1/2027	6/30/3028			Project Manager
	Activity 4		☐						
	Activity 5		☐						
Conducting and Submitting annual audit reports, as required	Annual Audit	Provide the required annual audit report	☐	Annual audit report			n/a	n/a	Fiscal Agent