

#### PUBLIC UTILITIES COMMISSION

STATE OF CALIFORNIA
505 VAN NESS AVENUE I SAN FRANCISCO, CALIFORNIA 94102
300 CAPITOL MALL I SACRAMENTO, CALIFORNIA 95814

Via email December 7, 2022

Kevin Short, General Manager Anza Electric Cooperative, Inc. 58470 Hwy 71 P.O. Box 391909 Anza, CA 92359

Subject: Ministerial Approval of Funding for Anza Electric Cooperative, Inc.'s California Advanced Services Fund Infrastructure Grant RDOF Kicker Application

Dear Kevin Short,

Staff has reviewed the Rural Digital Opportunity Fund (RDOF) Phase 1 auction Kicker Grant application submitted by Anza Electric Cooperative, Inc. (Anza) on May 3, 2021 and determined that the Connect Anza Phase III Project is eligible for Ministerial review and approval under the program rules established in Decision (D.) 21-01-003. The project will receive 20% of the RDOF eligible amount; a total of up to \$205,125.60 in grant funding.

Project	CASF Funding Requested	Households
Connect Anza Phase III	\$205,125.60	959
(Kicker)	φ203,123.00	939

Should Anza agree to the terms set forth in Attachment A and the requirements specified in D.21-01-003, please complete and sign the Consent Form attached to this letter. The executed Consent Form along with a completed STD 204 Payee Data Record (see Attachment 3) must be emailed to

<u>CASF infrastructure grant administrator@cpuc.ca.gov</u> within 30 calendar days from the date of this letter. Should Anza not accept the award, through failure to submit the Consent Form within 30 calendar days from the date of this letter's adoption, the Commission will deem the Grant or award to be null and void.

#### CALIFORNIA PUBLIC UTILITIES COMMISSION

Please contact Louise Fischer, Program and Project Supervisor, at <a href="mailto:louise.fischer@cpuc.ca.gov">louise.fischer@cpuc.ca.gov</a> or 415-703-1803 if you have any questions or need additional information.

Sincerely,

Robert Osborn, Director Communications Division

#### Attachments:

Attachment 1: Connect Anza Phase III Kicker Project Discussion

• Appendix A: CASF Applicant Key Information

• Appendix B: Project Location Map

Attachment 2: Consent Form

Attachment 3: STD 204 Payee Data Record

# ATTACHMENT 1 Anza Electric Cooperative, Inc. Connect Anza Phase III Kicker Project

#### I. SUMMARY

The Communications Division (CD) approves funding from the California Advanced Services Fund (CASF) up to the amount of \$205,125.60 for the Rural Digital Opportunity Fund (RDOF) Phase 1 auction grant application from Anza for the Connect Anza Phase III Kicker Project.

Staff determined that the Connect Anza Phase III Kicker Project is eligible for Ministerial Review and Approval under program rules established in D.21-01-003. The approved project will deploy last-mile broadband infrastructure to enable Fiber-To-The-Home (FTTH) broadband service at speeds of up to 1,000 megabits per second (mbps) download and 1,000 mbps upload to a total of 959 CASF-eligible households. The approved project will provide broadband access to areas that are currently unserved by facilities-based wireline or fixed wireless broadband service providers.

#### II. BACKGROUND

The CASF Infrastructure Account provides grant funding to Internet service providers to build or upgrade broadband infrastructure in areas that are unserved by existing broadband providers. The CASF program was initiated in 2008, after the program was first adopted by the California Public Utilities Commission (Commission) in D.07-12-054 and enacted into statute pursuant to Senate Bill (SB) 1193.

The Federal Communications Commission (FCC) established the RDOF¹ to award up to \$20.4 billion for support of broadband infrastructure deployment and network operations over a 10-year period. Funds are awarded for eligible areas around the country that lack broadband service of at least 25 Mbps down and 3 Mbps up (25/3).

On June 29, 2020, Governor Newsom signed AB 82 into law, amending Public Utilities Code § 281 to authorize leveraging of CASF Infrastructure grant funding to supplement the costs of broadband infrastructure already funded, in whole or in part, by the federal RDOF.<sup>2</sup>

In an effort to effectively utilize both state and federal funding toward meeting the CASF program goals, during the 2020 project review cycle, the Commission had

A-1

<sup>&</sup>lt;sup>1</sup> FCC Report and Order, FCC 20-5, WC Docket No. 19-26, Adopted January 30, 2020 https://docs.fcc.gov/public/attachments/FCC-20-5A1.pdf.

<sup>&</sup>lt;sup>2</sup> See Public Utilities Code § 281(b)(1)(B)(ii)(II).

deferred project applications that included areas eligible to receive RDOF for consideration until after the results of the RDOF Phase I (Auction 904) had been published.<sup>3,4</sup>

On January 14, 2021, the Commission approved D.21-01-003,<sup>5</sup> authorizing the use of CASF Infrastructure Account grant funding to leverage FCC funding, whereby kicker funds are awarded to RDOF auction winners at two levels (Level 1 and Level 2). Projects receiving Level 1 support are eligible for CASF kicker funds equal to 10% of the RDOF reserve price<sup>6</sup> for each census block receiving those funds (10% kicker funding.) Projects that are deemed eligible to receive Level 2 support receive an additional 10% funding yielding a total of up to 20% kicker funding for the Level 1 and Level 2 awards combined.

Furthermore, SB 156, enacted on July 21, 2021, changed the requirements for the infrastructure funded by the CASF program; the legislature added the requirement that each entity that receives CASF funding must report when it uses a licensed contractor or subcontractor with a contract in excess of \$25,000 for work funded by CASF, and that the Commission must post this information on a monthly basis.<sup>7</sup>

The additional eligibility requirements for securing RDOF kicker funding via ministerial approval from the CASF Infrastructure Account are reflected below<sup>8</sup> in Table 1. These projects must also meet many of the requirements of CASF Infrastructure projects.

Table 1: Eligibility and Participation Requirements for Ministerial Approval

Criteria	Level 1	Level 2		
	Awarded RDOF Phase I	Awarded RDOF Phase I		
	Auction 904 funding for the	Auction 904 funding for the		
	project	project		

<sup>&</sup>lt;sup>3</sup> See D.21-01-003, p. 23.

https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M360/K409/360409360.PDF.

<sup>&</sup>lt;sup>4</sup> See March 26, 2021 "Postponement of the Deadline for Action on Pending CASF Infrastructure Applications and the Deadline for Submitting 2021 CASF Infrastructure Applications" Letter to CASF Service and Distribution Lists, available at https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund.

<sup>&</sup>lt;sup>5</sup>Decision 21-01-003 available at:

<sup>&</sup>lt;sup>6</sup> The maximum RDOF subsidy a winning bidder could receive is the reserve price. The reserve price is defined as the initial level of support, or subsidy, the FCC will offer in the reverse auction for RDOF funding.

<sup>&</sup>lt;sup>7</sup> See Pub. Util. Code Sec. 281(1).

<sup>&</sup>lt;sup>8</sup> See the requirements for Staff approval of Level 1 and Level 2 Support. D.21-01-003, pp. A-5 & A-6.

Smood	Provides gigabit-capable	Provides gigabit-capable		
Speed	speed	speed		
Open Access	Must provide open access for	Must provide open access for		
	RDOF-funded infrastructure	RDOF-funded infrastructure		
	in all census blocks receiving	in all census blocks receiving		
	kicker funding	kicker funding		
	Use of the Commission's	Use of the Commission's		
	Tribal Consultation Policy as	Tribal Consultation Policy as		
Tribal	guidance for meaningful	guidance for meaningful		
Commitment	engagement and discussion	engagement and discussion		
	regarding service on Tribal	egarding service on Tribal		
	lands	lands		
	Offer California and federal	Offer California and federal		
	LifeLine to eligible	LifeLine to eligible		
LifeLine	households in the RDOF	households in the RDOF		
Commitment	project footprint for the	project footprint for the		
	duration of the RDOF	duration of the RDOF		
	funding (10 years)	funding (10 years)		
Adjacent CASF	Submit Application for	Submit Application for		
Areas	Nearby Areas to expand	Nearby Areas to expand		
Aleas	service	service		
		Must offer low-income plan		
		to consumers served by		
Affordability	n/a	RDOF-funded infrastructure,		
		for the 10-year duration of		
		RDOF funding		
		Offer one or both of the		
		<u>following:</u>		
		a. Indefeasible Rights of Use		
		at no cost for fibers for all		
Indefeasible		California Tribes located		
right of use or		within 40-miles of RDOF-		
Carrier of Last	n/a	funded last-mile or middle-		
Resort		mile routes		
		b. Designation as a Carrier of		
		Last Resort in the service		
		provider's RDOF-funded		
		areas		

	If neither option (a) nor
	option (b) is feasible for a
	project, a provider may
	include justification in its
	Kicker Funding Request for
	Level 2 support via
	Resolution

#### III. APPLICANT INFORMATION, REQUESTS AND NOTICE

Anza Electric Cooperative, Inc. (Anza), founded in 1955, provides power to the communities of Anza, Garner Valley, Pinyon Pines, and parts of Aguanga. Anza's service area is nearly 700 square miles of high desert with an elevation at roughly 4,000 feet. Anza is located at an almost equal distance from Palm Desert, Hemet, and Temecula in Riverside County in Southern California. Connect Anza, the Internet Service Provider division of Anza Electric Cooperative, Inc. provides fiber optic, high speed broadband internet service to its customers. The first strands of fiber were placed in July 2015. Broadband service is available to most of the cooperative's service territory in the Anza and Aguanga areas. Anza is the recipient of two previous CASF grants, in the amounts of \$2,662,450 (approved on December 27, 2015,) and \$1,796,070 (approved on May 31, 2015.)9

On May 3, 2021, the Communications Division received the application for RDOF Kicker funding from Anza. Anza received its Certificate of Public Convenience and Necessity (CPCN) on May 26, 2015, and its Eligible Telecommunications Carrier (ETC) designation on June 4, 2021. On October 8, 2021, Staff posted the RDOF kicker project summary and associated map on the Commission's CASF webpage under "RDOF

<sup>&</sup>lt;sup>9</sup> Resolutions T-17503 and T-17581 available at <a href="https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund/casf-infrastructure-grant/casf-infrastructure-approved-projects">https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund/casf-infrastructure-grant/casf-infrastructure-approved-projects</a>

<sup>&</sup>lt;sup>10</sup> CPUC Resolution T-17735 is available at https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M387/K064/387064164.PDF

Kicker Project Summaries"<sup>11</sup> and sent notice regarding the project to the CASF Distribution List.<sup>12</sup>

#### IV. DISCUSSION

In compliance with D.21-01-003, Staff determined the Connect Anza Phase III project qualifies for Ministerial Review and Approval and is eligible to receive \$205,125.60 in RDOF Kicker grant funding.

- A. <u>RDOF Kicker Eligibility</u> Staff determined that Anza's Kicker Project is eligible for funding. The funding request was accompanied by a CASF application for areas nearby or adjacent to the RDOF kicker areas. The award in this ministerial letter is only for kicker funds in RDOF areas and not nearby or adjacent CASF project areas.<sup>13</sup>
- B. <u>Funding Determination</u> Staff reviewed the applications and determined that Anza meets the requirement for Level 2 funding:

Table 2 RDOF Kicker Funding Reserve Price and Eligibility

Carrier	RDOF Reserve Price	CASF Kicker Eligibility	Requested Amount	Approved Amount
Anza	\$10,256,280	\$1,025,628	\$205,125.6014	\$205,125.60

C. <u>Project Completion and CEQA</u> - RDOF Kicker funding is supplemental to the federal funding provided by the FCC. All projects funded by federal and state funding are subject to California Environmental Quality Act (CEQA) review and must be completed once CEQA approval and all required permits are secured. Applicants applying for kicker funding were required to provide

<sup>&</sup>lt;sup>11</sup> See <a href="https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund/rdof-kicker-funding/rdof-kicker-project-summaries">https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund/rdof-kicker-funding/rdof-kicker-project-summaries</a>

 $<sup>^{12}</sup>$   $\underline{\text{https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-}} \underline{\text{division/documents/casfdistributionlist.xlsx}}$ 

<sup>&</sup>lt;sup>13</sup> CASF Staff has not yet reviewed nor funded any "adjacent area" funding.

<sup>&</sup>lt;sup>14</sup> Anza is not building infrastructure in the entire eligible area and therefore does not qualify for a kicker award of \$1,025,628.

sufficient construction information to enable a preliminary CEQA review.<sup>15</sup> In its application, Anza confirmed deployment of aerial infrastructure on existing utility poles, including poles owned by AEC and leased space on Southern California Edison poles. In its application, Anza attested that the project meets the criteria of the CEQA categorical exemption for existing facilities, CEQA Guidelines § 15301 and CEQA Guidelines Section § 15303 – New Construction or Conversion of Small Structures, involving construction, installation, and/or conversion of limited numbers of new and/or existing facilities/structures.

D. <u>Speed Requirements</u> - Anza has attested to building gigabit-capable infrastructure, using FTTH, that will enable the level of performance that is capable of gigabit-level bandwidth.

# V. <u>Compliance Requirements</u>

Anza is required to comply with all the guidelines, requirements, and conditions associated with the grant of CASF funds as specified in D. 21-01-003. Such compliance includes, but is not limited to, the items noted below.

# A. Execution and Performance

Staff and Anza shall determine a project start date after the CASF grant recipient has obtained all approvals. The Commission may terminate the grant should Anza, or any contractor it retains fail to commence work by the designated date, upon five days written notice to Anza. In the event that Anza fails to complete the project in accordance with the terms of the Commission's approval as set forth in this Letter, Anza shall reimburse some or all of the CASF funds received. Anza must complete all construction covered by the grant on or before the grant's termination date.

#### B. Letter of Credit

The Commission exempts Certificate of Public Convenience and Necessity (CPCN) holders from providing a letter of credit on the basis that the company submitted a performance bond to the Commission to maintain its CPCN and that the Commission has other means to enforce compliance. In their application, Anza provided proof of

<sup>&</sup>lt;sup>15</sup> D. 21-01-003, p. A-20: "the project description will provide enough construction detail to enable a preliminary indication of the need for a California Environmental Quality Act (CEQA) review and if proposed project areas contain any environmentally sensitive areas."

CPCN registration and thus is exempt from the requirement of providing a letter of credit.

# C. <u>Project Audit</u>

The Commission has the right to conduct any necessary audit, verification, and discovery during project implementation/construction to ensure that CASF funds are spent in accordance with Commission approval. Any of the Anza invoices submitted for payment will be subject to a financial audit by the Commission at any time within three years of completion of the work.

# D. Open Access

Anza will comply with the open access requirements set forth in D.21-01-003 and its Appendix A, and subsequent open access requirements applied to RDOF Kicker funding in subsequent Commission decisions.

#### E. Tribal Commitment

Anza will use the Commission's Tribal Consultation Policy as guidance for meaningful engagement and discussion regarding service on Tribal lands.<sup>16</sup>

### F. Providing Voice Service/ LifeLine Commitment

To receive RDOF kicker funding, grant recipients must provide California LifeLine (voice and broadband subsidies to low-income individuals) within the Eligible Telecommunications Carrier (ETC) footprint for the 10-year period of RDOF support. Anza has certified that their basic analog telephone service (POTS) and/or Voice over IP (VoIP) meet the California LifeLine requirement and FCC standards for E-911 service and battery backup.

# G. Application for CASF Funding for Nearby Areas

Anza will submit an application(s) for CASF Infrastructure funding to expand service to areas nearby its RDOF funding.<sup>17</sup>

# H. Affordability

Anza will provide low-income, affordable broadband plans for low-income consumers served by RDOF-funded infrastructure, for the 10-year duration of RDOF funding. Plans should be equivalent, at minimum, to those described in D.18-12-018: an affordable plan for low-income consumers.<sup>18</sup> Pricing must comply with the FCC

<sup>&</sup>lt;sup>16</sup> D.21-01-003, p. A-5 & n. 8.

<sup>&</sup>lt;sup>17</sup> D.21-01-003, Appendix A, Sec. 10.

<sup>&</sup>lt;sup>18</sup> D.21-01-003, p. A-7, citing D.18-12-012 at 21-22; CASF Infrastructure Guidelines at 5.

directive of being reasonably comparable to the rates for similar service in urban areas.<sup>19</sup> The FCC uses its annual Urban Rate Survey to determine the range of rates that are reasonably comparable.<sup>20</sup>

# I. Indefeasible right of use or Carrier of Last Resort

Anza must either offer Indefeasible Rights of Use at no cost for fibers for all California Tribes located within 40-miles of RDOF-funded last-mile or middle-mile routes, or it must be designated as a Carrier of Last Resort in the service provider's RDOF-funded areas<sup>21</sup>

# J. Prevailing Wage

Section 1720 of the California Labor Code specifies that CASF-subsidized projects are subject to prevailing wage requirements. Anza has committed to follow state prevailing wage requirements with regards to this project.

# K. Reporting and Accountability

Recipients of the CASF kicker funds are required to report the following:

- Buildout status or attainment of service milestones (no less frequently than reported to the FCC).
- Attestation to compliance with the RDOF and CASF prohibitions against double dipping.<sup>22</sup>
- Reporting and documentation of costs not covered by the CASF program, including information on the amount separately, of RDOF and kicker funds to service each location or household.
- Any requests for payment, including substantiation of costs.
- Certain information regarding all contractors and subcontractors undertaking a contract or subcontract in excess of twenty-five thousand dollars on CASF-subsidized projects, pursuant to Section 281(l)(1) of the California Public Utilities Code.

# VI. Payments to CASF Recipients

The Commission may reimburse Anza's expenses in accordance with Pub. Util. Code § 281(f)(10). Details of reimbursable expenses are as follows:

<sup>19</sup> https://www.fcc.gov/auction/904/factsheet.

<sup>&</sup>lt;sup>20</sup> https://www.fcc.gov/economics-analytics/industry-analysis-division/urban-rate-survey-data-resources.

<sup>&</sup>lt;sup>21</sup> D.21-01-003, pp. 19-20, A-6 & A-8,

<sup>&</sup>lt;sup>22</sup> Kicker funding recipients may not receive CASF funding for expenses that are paid for by federal funding.

Funding is anticipated to be paid in RDOF program years one to three and can be applied towards any reasonable project expenses. Staff will release the first kicker funds payment upon submission of a funding recipient's first report. This submission must also include an invoice, request for funding, and other required information (e.g., contact information) as described in Attachment A of D.21-01-003.<sup>23</sup> Subsequent payments will be made no less frequently than twice per year; after the close of each fiscal year and in response to substantiated requests. Payments will be based on receipts, invoices and documentation of expenditures incurred for the project. Total payments cannot exceed the kicker funding budget authorized for the project. Kicker funding recipients must email the following associated documentation:

- A copy of any funding requests submitted to the Financing Agency
- A copy of any approval or rejection notification provided by the Financing Agency
- Documentation of any ensuing funds transfers

Documentation must be submitted within 15 days of the relevant event. Invoices submitted are subject to a financial audit by the Commission at any time, from the date of the first kicker funding award until 3 years following completion of the project. If portions of the reimbursements are found to be out of compliance, grantees will be responsible for refunding any disallowed amounts along with appropriate interest at rates determined in accordance with applicable Commission decisions. The Commission may reimburse Anza's expenses in accordance with Pub. Util. Code § 281(f)(10). Before full payment of the project is made, each applicant must submit a project completion report. The applicants shall also include test results on the download and upload speeds in the final completion report. Anza must certify that each progress report is true and correct under penalty of perjury.

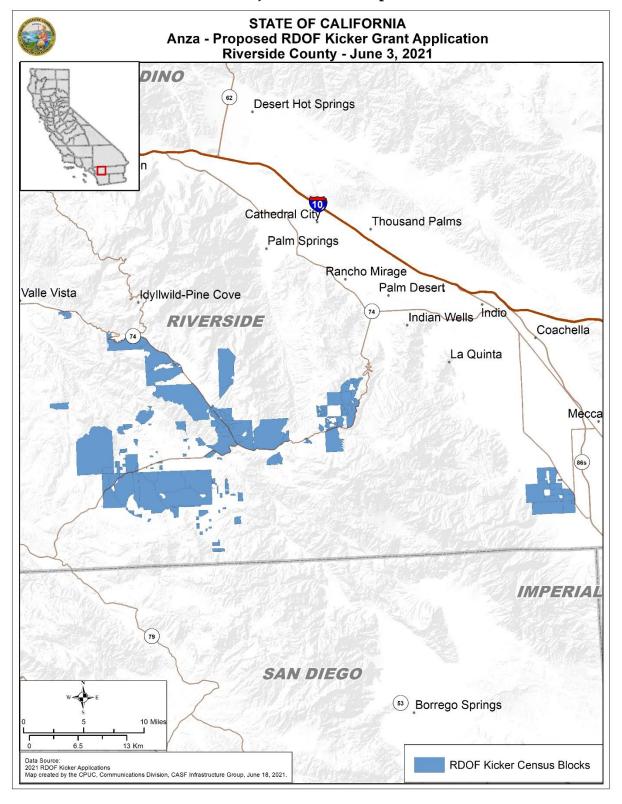
Payment to grantees shall follow the process adopted for funds created under Public Utilities Code § 270. The Commission generally processes payments within 20-25 business days, including Communications Division and Administrative Services review time. The State Controller's Office (SCO) requires an additional 14-21 days to issue payment from the day that requests are received by SCO from Administrative Services.

<sup>&</sup>lt;sup>23</sup> D.21-01-003, Attachment A, at A-19 https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M360/K409/360409360.PDF

# APPENDIX A CASF Applicant Key Information

RDOF Kicker Project Plan	The project proposes to provide supplemental RDOF "kicker" funding to Anza Electric Cooperative, Inc. in the amount of \$205,125.60 to provide service to 959 unserved households.				
Download/Upload speed	Gigabit-capable network infrastructure				
Location	Anza Community in Riverside County				
Census Block Groups	Anza 060650444022 060650444023 060650444024 060650444032 060650444033 060650451241				

APPENDIX B
Anza Electric Cooperative, Inc. Connect Anza Phase III Kicker Project
Project Location Map



# ATTACHMENT 2 CONSENT FORM



PUBLIC UTILITIES COMMISSION
STATE OF CALIFORNIA
505 VAN NESS AVENUE I SAN FRANCISCO, CALIFORNIA 94102
300 CAPITOL MALL I SACRAMENTO, CALIFORNIA 95814

#### **CONSENT FORM**

Name of Grantee/Pro	ject:	
The California Advan	ced Services Fund (CASF)	Grantee identified above
acknowledgesreceipt	of either a Resolution or a l	etter from Commission Staff
approving a grant und	ler the Ministerial Review p	rocess. The Grantee agrees to
comply with all grant	terms, conditions, and requi	irements set forth in Resolution
T	or letter dated	, as well as all CASF
rules, including those	in the Broadband Infrastruc	cture Accounts Requirements,
Guidelines and Appli	cation Materials	

#### Licensed Contractor Reporting Requirement

All CASF Broadband Infrastructure Accounts grantees approved on or after July 21, 2021 must fulfill the monthly reporting requirements set forth in Public Utilities Code section 281(1)(1) when work done on this project by a licensed contractor or subcontractor to undertake a contract or subcontract exceeds twenty-five thousand dollars (\$25,000). Grantee must submit this information monthly in an email to CASF\_Infrastructure\_Grant\_Administrator@cpuc.ca.gov with the subject line "<Project Name> Contractor monthly report <Month> <Year>." Please note that pursuant to section 281(1)(2), the Commission will post this information on its website. The grantee must include the following:

 The name and contractor's license number of each licensed contractor and subcontractor undertaking a contract or subcontract in excess of twenty-five thousand dollars (\$25,000) to perform work on a project funded or financed pursuant to this section.

(continued)

#### CALIFORNIA PUBLIC UTILITIES COMMISSION

2.	The location where a contractor or subcontractor described in subparagraph (1) will be performing the work.
3.	The anticipated dates when that work will be performed
	Undersigned representative of [Name of Grantee] is duly authorized to execute this Consent Form on behalf of the Grantee and to bind the Grantee to the terms, conditions, and requirements set forth in California Public Utilities Commission Resolution T or the letter referenced above.
Dated	thisday of, 20
	ed Nam e
Signa	ature
Title	:
Orga	nization or Name of Company:
Busin	ness Address (include street address, suite/apt. number, city, state, and ZIP Code):
Telep	ohone Number (include area code): ()
Emai	l Address:

# ATTACHMENT 3 Payee Data Record (Sample)

TATE OF CALIFORNIA – DEPARTMENT OF F	INANCE	Print Form	Reset For	rm		
Required when receiving payment from the TD 204 (Rev. 03/2021)	e State of California	in lieu of IRS W	-9 or W-7)			
		Section 1 - F				
NAME (This is required. Do not leave	this line blank. M	ust match the pa	ayee's federal t	ax return)		
BUSINESS NAME, DBA NAME o	r DISREGARDE	D SINGLE MI	EMBER LLC	NAME (If	f different fro	m above)
MAILING ADDRESS (number, street	et, apt. or suite no	) (See instruction	ons on Page 2)			
CITY, STATE, ZIP CODE				E-MAIL	ADDRESS	
			2 - Entity T			
Check one (1) box only that mat		type of the Pa				
SOLE PROPRIETOR / INDIVID	All the second of the second				e instructions	
☐ SINGLE MEMBER LLC Disrega	rded Entity owned	by an individual				opractic, etc.)
☐ PARTNERSHIP					ney services)	
☐ ESTATE OR TRUST			□ EXEMP		inprofit)	
			ALL OT			
		tion 3 - Tax			ber	
Enter your Tax Identification Numb match the name given in Section : The TIN is a 9-digit number. Note	1 of this form. D	o not provide i	more than on	e (1) TIN.	Occidi c	Security Number (SSN) or al Tax Identification Number (ITIN)
<ul> <li>For Individuals, enter SSN.</li> </ul>						
<ul> <li>If you are a Resident Alien, a SSN, enter your ITIN.</li> </ul>						
<ul> <li>Grantor Trusts (such as a Rev not have a separate FEIN. Th</li> </ul>	ose trusts must	enter the indiv	ridual grantor	s SSN.		Employer Identification Number
<ul> <li>For Sole Proprietor or Single sole member is an individual prefers SSN).</li> </ul>					(FEIN)	Employer identification rumber
<ul> <li>For Single Member LLC (dis- business entity, enter the ow- entity's FEIN.</li> </ul>						*
For all other entities including estates/trusts (with FEINs), en			tion or partne	rship,		
	Section 4 -	Payee Resid	dency Statu	s (See i	instruction	s)
CALIFORNIA RESIDENT - Qua	alified to do busin	ess in California	a or maintains	a perman	nent place o	f business in California
☐ CALIFORNIA NONRESIDENT						
LI CALIFORNIA NONRESIDENT	- Payments to no	arresidents for s	services may i	be subject	to state inc	ome tax withholding.
No services performed in C	alifornia					
Copy of Franchise Tax Boa	rd waiver of state	withholding is at	tached.			
I hereby certify under penalty of		e information		this doc		true and correct.
Should my residency status change, I will promptly notify the NAME OF AUTHORIZED PAYEE REPRESENTATIVE			TITLE			E-MAIL ADDRESS
SIGNATURE		DATE TELEPHO		TELEPHON	HONE (include area code)	
	S	ection 6 - P	aving State	Agenc	٧	
Please return completed form to						
STATE AGENCY/DEPARTMENT			UNIT/SECT	ION		
MAILING ADDRESS			FAX TELEPHONE (include a		TELEPHONE (include area code)	
CITY	STATE	ZIP CODE		E-MAIL	ADDRESS	S