BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

**Application for Registration License**

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| **1** Application of |
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|  | A. |
|  |
| for Registration as an Interexchange Carrier |
| Telephone Corporation Pursuant to the |
| Provisions of Public Utilities Code Section 1013. |
|  |
| (Insert the full legal name of applicant in blank above; see instruction 1) |
| Street address: |
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| Telephone: ( ) Fax No.: ( ) E-Mail: |
| 1.A. List all fictitious business names under which applicant has done business in the last five years: |
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| **2** Applicant is:  (Check only one; see instruction 2.) | A corporation (attach good standing certificate) | ¨ |
| A limited partnership (attach good standing certificate) | ¨ |
| A limited partnership (attach good standing certificate) | ¨ |
| A limited liability company (attach good standing certificate) | ¨ |
| A general partnership | ¨ |
| A sole proprietor | ¨ |
| A trust | ¨ |
| Other (describe) | ¨ |
|  | ***Attach name, street address, and telephone number of applicant's registered agent for service of process.*** | |
|  | ***Attach list of the names, titles, and street addresses of all officers and directors, general partners, trustees, members, or other persons authorized to conduct the business of applicant at a similar level.*** | |
|  | ***Attach resumes listing all employment for each officer and director.*** | |
|  | ***Attach list of all affiliated entities (see instruction 2)*** | |

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| **3** Legal domicile | California | ¨ |
| of applicant is: | Other (identify): | ¨ |
| (Check only one; see instruction 3.) | |  |

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| **4** Applicant will | Switchless reseller | ¨ |
| operate as: | Facilities-based carrier | ¨ |
| (Check only one; see instruction 4.) | |  |

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| **5** Applicant will | Statewide | ¨ |
| provide service: | In specific portions only (attach description and map) | ¨ |
| (Check only one; see instruction 5.) | |  |

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| **6** Applicant expects to begin offering service in California on: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_( date) |

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| **7** Applicant will | True | ¨ |
| provide: voice and data | Not true | ¨ |
| telecommunications only (Check only one; see instruction 6.) | |  |

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| **8** Neither applicant,  any of its affiliates,  officers, directors, | True | ¨ |
| Not true | ¨ |
| partners, agents, or owners (directly or indirectly) of more than 10% of applicant, or anyone acting in a management capacity for applicant: (a) held one of these positions with a company that filed for bankruptcy; (b) been personally found liable, or held one of these positions with a company that has been found liable, for fraud, dishonesty, failure to disclose, or misrepresentations to consumers or others; (c) been convicted of a felony; (d) been (to his/her knowledge) the subject of a criminal referral by judge or public agency; (e) had a telecommunications license or operating authority denied, suspended, revoked, or limited in any jurisdiction; (f) personally entered into a settlement, or held one of these positions with a company that has entered into settlement of criminal or civil claims involving violations of sections 17000 et seq., 17200 et seq., or 17500 et seq. of the California Business & Professions Code, or of any other statute, regulation, or decisional law relating to fraud, dishonesty, failure to disclose, or misrepresentations to consumers or others; (g) been found to have violated any statute, law, or rule pertaining to public utilities or other regulated industries; or (h) entered into any settlement agreements or made any voluntary payments or agreed to any other type of monetary forfeitures in resolution of any action by any regulatory body, agency, or attorney general. (Check only one; see instruction 2.)  If your answer to this question is anything other than an unqualified ‘True’, please attach documentation and describe any such bankruptcies, findings, judgments, convictions, referrals, denials, suspensions, revocations, limitations, settlements, voluntary payments or any other type of monetary forfeitures. | |  |

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| **9** To the best of applicant’s knowledge, | True  Not true | ¨  ¨ |
| neither applicant, any affiliate, officer, director, partner, nor owner of more than 10% of applicant, or any person acting in such capacity whether or not formally appointed, is being or has been investigated by the Federal Communications Commission or any law enforcement or regulatory agency for failure to comply with any law, rule or order. (Check only one; see instruction 2.)  If your answer to this question is anything other than an unqualified ‘True,’ please attach documentation and describe all such investigations, whether pending, settled voluntarily or resolved in another manner. | |  |

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| **10** Applicant will obtain | True (attach documentation) | ¨ |
| a continuous bond, issued | Not true | ¨ |
| by a corporate surety company authorized to transact surety business in California, in the amount of $25,000 that will be in effect during all periods of operation, and lists the California Public Utilities Commission as the obligee. Applicant will submit an Information‑Only advice letter to the Director of the Communications Division containing a copy of the Applicant’s executed bond within five business days after the effective date of the issuance of a registration license. | |  |
| (Check only one; see instruction 8.) | |  |

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| **11** Applicant has a | True (attach documentation) | ¨ |
| minimum of (a) $25,000 in | Not true | ¨ |
| the case of a switchless reseller OR (b) $100,000 in the case of a facilities based | |  |
| applicant, in each case reasonably liquid and available to meet the firm's first-year | |  |
| expenses, including deposits required by local exchange carriers or interexchange | |  |
| carriers or (c) has profitable interstate operations to generate the required cash flow. | |  |
| (Check only one; see instruction 9.) | |  |

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| **12** Applicant has the required expertise to | True | ¨ |
| Not true | ¨ |
| operate as an interexchange carrier of the type indicated in the application. | |  |

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| **13** Applicant is eligible for an exemption from | True | ¨ |
| Not true | ¨ |
| tariffing requirements as set out in Commission Decision 98-08-031 and seeks such an exemption. (Check only one; see instruction 10.) | |  |

I hereby declare under penalty of perjury under the laws of the State of California that the forgoing information, and all attachments are true, correct, and complete to the best of my knowledge and belief after due inquiry, and that I am authorized to make this application on behalf of the applicant named above.

Signed:

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| **Name** |  |
| **Title** |  |
| **Dated** |  |
| **Street Address** |  | | |
| **Telephone No.** |  | | |
| **Fax No.** |  | | |
| **E-mail Address** |  | | |

**Principal Place of Business (if different from address on page 1).**

**Street Address**

**City**

**State**

**Zip**

**Phone No.**

**Instructions:**

1. Enter the legal name of applicant exactly as it appears on its articles or certificate of corporation or similar charter document.
2. Good standing certificates are available from the office of the Secretary of State of the State of California and should be dated of a date not more than 60 days prior to the date of filing the application. An original certificate must be attached to the manually signed copy of the application. An affiliated entity is any entity under common control with applicant. Common control exists if the same individuals or entities have the direct or indirect power to determine the action of applicant and such entity through the right to vote shares, by contract or agreement, or otherwise. Note whether any such entity is a reporting company for purposes of the Securities Exchange Act of 1934, as amended. Attach current resumes, listing all employment, for all officers and directors of applicant.
3. For individuals, domicile is the place of legal residence; for entities, it is the state of incorporation or organization.
4. A switchless reseller only uses the switch of another carrier; a facilities based carrier uses its own switch as well as the facilities of another carrier. Only facilities which meet the requirements for exemption from the California Environmental Quality Act (CEQA) pursuant to Commission Rule of Practice and Procedure 2.4 may be included in a CPCN registration. All other facilities will require a formal application.
5. If service is to be provided to less than the entire State of California, specify the exact area for which authority is requested.
6. Enter the date that applicant expects to begin offering service in California.
7. Applicants which will provide services other than ordinary voice and data communications may not use the registration system.
8. All registrants are required to obtain a performance bond, pursuant to Public Utilities Code § 1013(f). Applicant must provide the Executive Director a copy of its executed bond within five business days after the effective date of the issuance of a registration license.
9. Applicants without existing profitable interstate operations must attach one of the financial instruments listed in [D.95-12-056](https://files.cpuc.ca.gov/gopher-data/telecom/clc_documents/d9512056.rtf), Appendix C, Sections 4.B(4)(b)-(h) and 4.B(5) to satisfy the applicable financial requirements. New applicants may not submit unaudited balance statements to satisfy D.95-12-056 requirements unless they have profitable interstate operations. Section 4.B(4)(a) cash or cash equivalent, including cashier's check, sight draft, performance bond proceeds, or traveler's checks can only be utilized by CPCN applicants and not by 1013 Simplified Registration applicants.

Applicants with profitable interstate operations may meet the minimum financial requirements by either attaching one of the financial instruments as described above or all of the following three items: 1) an audited balance sheet for the most recent fiscal year; 2) an unaudited balance sheet for the previous quarter; and 3) a bank statement as of the month prior to the date of filing the application or a third-party undertaking to provide the required amounts on behalf of applicant. If the balance sheet shows current liabilities in excess of current assets or negative equity, explain how applicant will be able to maintain sufficient liquidity for its first year of operations.

1. All NDIECs exempt from tariffing requirement must comply with the Consumer Protection Rules adopted in Decision 98-08-031.
2. Material changes in the entries for this application, such as discontinuing operation or bankruptcy, or change of name (DBA), change of address, telephone, fax number or E-mail address should be reported by a simple letter (for non-tariffed carriers) and by advice letter (quadruplicate) for tariffed carriers, referencing your CPCN number.