PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA HIGHWAY SAFETY REQUIREMENTS FOR CHARTER-PARTY CARRIERS

Before completing this form, refer to "A Guide to Filling Out Form TL706-I"

I. GENERAL HIGHWAY SAFETY REQUIREMENTS

Public Utilities Code Section 5374 states that:

(a) (1) Before a permit or certificate is issued or renewed, the Commission shall require the applicant to establish reasonable fitness and financial responsibility to initiate and conduct or continue to conduct the proposed or existing transportation services. The Commission shall not issue or renew a permit or certificate pursuant to this chapter unless the applicant meets all of the following requirements:

(A) It is financially and organizationally capable of conducting an operation that complies with the rules and regulations of the Department of the California Highway Patrol governing highway safety.

(B) It is committed to observing the hours of service regulations of state and, wherever applicable, federal law, for all persons, whether employees and subcarriers, operating vehicles in transportation for compensation under the permit or certificate.

(C) It has a preventive maintenance program in effect for its vehicles used in transportation for compensation that conforms to regulations of the California Highway Patrol in Title 13 of the California Code of Regulations.

(D) It participates in a program to regularly check the driving records of all persons, whether employees or sub-carriers, operating vehicles used in transportation for compensation.

(E) It has a safety education and training program in effect for all employees or sub-carriers operating vehicles used in transportation for compensation.

(F) It will maintain its vehicles used in transportation for compensation in a safe operating condition and in compliance with the Vehicle Code and with regulations contained in Title 13 of the California Code of Regulations relative to motor vehicle safety.

(G) It has filed with the Commission the certificate of workers' compensation coverage or statement required by Section 5378.1.

(H) It has provided the Commission an address of an office or terminal where documents supporting the factual matters specified in the showing required by this subdivision may be inspected by the Commission and the Department of the California Highway Patrol.

(I) It provides for a mandatory controlled substance and alcohol testing certification program as adopted

by the Commission pursuant to Section 1032.1.

(2) With respect to subparagraphs (B) and (F) of paragraph (1), the Commission may base a finding on a certification by the Commission that an applicant has filed, with the Commission, sworn declaration of ability to comply and intent to comply.

(b) In addition to the requirements in subdivision (a), charter-party carriers shall meet all other state and, where applicable, federal regulations as prescribed.

(c) The Commission may delegate to its executive director or that executive director's designee the authority to issue, renew, or authorize the transfer of, charter-party carrier permits or certificates and to make the findings specified in subdivision (a) that are necessary to that delegated authority.

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II. PREVENTIVE MAINTENANCE PROGRAM

Before completing Section II & III below, you must read the attached yellow printed document titled "A Guide To Filling Out Form PL706-I."

A. VEHICLE PREVENTIVE MAINTENANCE RECORD REQUIREMENTS

Attached to "A Guide To Filling Out Form PL 706-I" is a copy of a Bus Maintenance & Safety Inspection form (CHP 108A). You may use this form to record preventive maintenance completed on your company's vehicle(s). You may use another vehicle maintenance record, provided that it complies with the requirements outlined in California Vehicle Code Section 34505, and provided you attach a copy when submitting your PL 706-I. (See Section I to "A Guide To Filling Out Form PL706-I" for a written transcription of Section 34505.)

You <u>must certify</u> to one of the following choices listed below by marking a X in the space provided:

- □ I will use the Bus Maintenance & Safety Inspection form (Form CHP 108A) as my company's vehicle preventive maintenance record. I have read California Vehicle Code Section 34505, and I will comply with the preventive maintenance record keeping requirements.
- □ I will use another preventive maintenance record, which I have attached. (You must attach a copy of your preventive maintenance record to this form.) I have read California Vehicle Code Section 34505, and I will comply with the vehicle maintenance record keeping requirements.

B. DAILY VEHICLE RECORD MAINTENANCE REQUIREMENTS

Attached to "A Guide To Filling Out Form PL 706-I" is a daily driver maintenance record titled "Driver's Vehicle Inspection Report." You may use this form to record daily preventive maintenance completed on your company's vehicle(s). You may use another daily maintenance record, provided that it complies with the requirements outlined in the California Code of Regulations Title 13 (Motor Vehicles), Division 2, Chapter 6.5, Section 1215, and provided you attach a copy when submitting your PL 706-I. (See Section I to A Guide To Filling Out Form PL706-I for a transcription of Section 1215.)

You <u>must certify</u> to one of the following choices listed below by marking a X in the space provided:

- □ I will use the "Driver's Vehicle Inspection Report." I have read California Code of Regulations Title 13 (Motor Vehicles), Division 2, Chapter 6.5, Section 1215, and I will comply with the vehicle maintenance record keeping requirements.
- □ I will use another daily vehicle maintenance record, which I have attached. (You must attach a copy of your daily vehicle maintenance record to this form.) I have read California Code of Regulations Title 13 (Motor Vehicles), Division 2, Chapter 6.5, Section 1215 (c), and I will comply with the vehicle maintenance record keeping requirements.

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requirements.

Signature of Individual Applicant, or

Authorized Officer, Managing Member or LLP/LP Partner

Print Name

I (we) certify that I (we) have read and understand the requirements in Sections I through III above and that I am (we are) able to and will comply with each of them; and that the information I (we) have provided on this form, and in the attachments, is true and correct to the best of my (our) knowledge and belief. I (we) certify (or declare), under penalty of perjury, that the foregoing is true and correct.

vehicle preventive maintenance/ inspection requirements and the safety education and training program

education and training program conducted by my prime carrier. Provide that carrier's name and CPUC Permit Number (TCP or PSC-number) below:

III. SAFETY EDUCATION AND TRAINING PROGRAM

You <u>must certify</u> to one of the following choices listed below by marking a X in the space provided:

- □ I certify that I have a copy of the California Commercial Driver Handbook. I have read Section III to "A Guide To Filling Out Form PL706-I." I will read the California Commercial Driver Handbook at least every six months, and I will cover the chapters outlined in Section III to A Guide To Filling Out PL706-I describing the minimum carrier safety education training requirements.
- □ I certify that I have purchased a safety education and training program from a safety consultant. I have read Section III to "A Guide To Filling Out Form PL706-I." I will read my safety education training material every six months, covering the chapters outlined in Section III describing the minimum carrier safety education training requirements. (Attach a copy of the receipt from your safety consultant listing the training material you purchased.)
- □ I certify that I will operate my business exclusively as a sub-carrier, and that I will participate in a safety

Name of Prime Carrier: _____ TCP or PSC number:____

Note: Each prime carrier shall make reasonable efforts to ensure that its subcarriers comply with both the

IV. CERTIFICATION

Title

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Date