CALIFORNIA PUBLIC UTILITIES COMMISSION

Utility Enforcement Branch

Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
Total Restitutions and Fines from 2004 through 2026		**$ 263,755,323**	**$ 221,740,384**	**$ 497,995,707**	
2026					
UEB-003-0631	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0636	Vista Energy Marketing, L.P.	$ 1,000		$ 1,000	Vista Energy Marketing L.P. was cited $1,000.00 for failure to provide proof of enrollment authorization.
TEL-744	TelAgility Corp	$ 45,992		$ 45,992	TelAgility remitted owed surcharges to the Commission in the amount of $45,992. CPED alleged that TelAgility operated without authority and failed to remit public purpose program surcharges.
UEB-003-0632 to 0635	Wave Energy, LLC	$ 4,000		$ 4,000	Wave Energy, LLC was cited $4,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0637	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0638	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0640	Big Tree Energy	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0641	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0642 to 0643	Wave Energy, LLC	$ 2,000		$ 2,000	Wave Energy, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
DVS-00002	Astound Broadband	$ 11,772		$ 11,772	Pursuant to Resolution T-17877, CPED assisted the Communications Division in investigating and directing Astound Broadband to comply and remit owed user fees to the CPUC in the amount of $11,772.
UEB-003-0639	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0644	Peak Six Power and Gas, LLC	$ 1,000		$ 1,000	Peak Six Power and Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0645	United Energy Trading, LLC	$ 1,000		$ 1,000	United Enery Trading, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0646 to 0648	Peak Six Power and Gas, LLC	$ 3,000		$ 3,000	Peak Six Power and Gas, LLC was cited $3,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0649	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0650	Big Tree Energy CA LLC	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0201	BP Energy Retail Company LLC	$ 10,000		$ 10,000	BP Energy Retail Company LLC was cited $10,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
UEB-006-664-922	Southern California Edison	$ 259,000		$ 259,000	SCE cited $259,000.00 for issuing a bill to a residential customer for a credit or service deposit to re-establish service.
CPUC-23-AEO	NetTalk.Com/NT Connect	$ 39,000		$ 39,000	CPED issued an Administrative Executive Order (AEO) to NetTalk.Com/NT Connect imposing a $39,000 penalty and a seven-year ban against its principals from participating in and benefiting from all Commission programs. CPED issued the AEO based on allegations that the company continued to operate without authority after its 2015 license revocation, ignored Commission orders, failed to report revenues or remit required surcharges, violated acquisition requirements, misrepresented information and refused to cooperate with CPED's investigation.
UEB-003-0651	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0654 to 0657	Wave Energy, LLC	$ 4,000		$ 4,000	Wave Energy, LLC was cited $4,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0658	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0652 to 0653	Wave Energy, LLC	$ 2,000		$ 2,000	Wave Energy, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0659 to 0661	Wave Energy, LLC	$ 3,000		$ 3,000	Wave Energy, LLC was cited $3,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0662 to 0665	Wave Energy, LLC	$ 4,000		$ 4,000	Wave Energy, LLC was cited $4,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0666	Peak Six Power and Gas, LLC	$ 1,000		$ 1,000	Peak Six Power and Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
D.26-06-010	Havasu Water Company	$ 33,000		$ 33,000	Havasu Water was cited $10,000 and fined $23,000 for violation of Public Utilities Code 2713(a), General Order 103-A, and Rule 1.1 for noncompliance and unresponsiveness at the Federal, State and County levels, together with mismanagement of the company which has resulted in health and safety risks to customers and the general public.
UEB-003-0667	Big Tree Energy CA, LLC	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0668	Peak Six Power and Gas LLC	$ 1,000		$ 1,000	Peak Six Power and Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0669	StateWise Energy CA, LLC	$ 1,000		$ 1,000	Statewise Energy California, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0670	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0671 to 0674	Wave Energy, LLC	$ 4,000		$ 4,000	Wave Energy, LLC was cited $4,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0675	Big Tree Energy CA LLC	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0676	SFE Energy Inc.	$ 1,000		$ 1,000	SFE Energy Inc. was cited $1,000.00 for failure to provide proof of enrollment authorization.
Resolution UEB-017	AAA Natural Gas	$ 300,000		$ 300,000	Resolution approving ACO and Settlement Agreement between CPED and AAA Natural Gas to pay a penalty of $300,000 to resolve all issues involving AAA's failure to comply with the standards for Change in Providers requirements established in D.18-02-002 and Res UEB-003 beginning in 2023.
UEB-003-0677	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
Resolution UEB-018	Southern California Edison	$ 700,000	$ 7,067,700	$ 7,767,700	Res UEB-018 approves ACO and Settlement Agreement between CPED and SCE to resolve all issues involving SCE's compliance with Resolutions M-4842, M-4849, UEB 006, and D.20-06-003 regarding customer service deposit requirements from April 1, 2020, to August 31, 2021. SCE agrees to a total penalty amount of $7,767,700.00 at shareholders' expense ($700,000 to the Ca. General Fund, $3,119,350.00 to residential accounts that were billed a service deposit on or after April 1, 2020, and $3,948,350.00 to SCE's Energy Assistance Fund).
UEB-003-0679	Big Tree Energy CA LLC	$ 1,000	$ -	$ 1,000	Big Tree Energy CA, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0715 to 0716	Spark Energy Gas, LLC	$ 2,000	$ -	$ 2,000	Spark Energy Gas, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
	2026 Total	**$ 1,448,764**	**$ 7,067,700**	**$ 8,516,464**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2025					
UEB-003-0575	Big Treey Energy CA, LLC	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0576	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0578 to 0598	Wave Energy, LLC	$ 21,000		$ 21,000	Wave Energy, LLC was cited $21,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0599	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0600 to 0604	Spark Energy Gas, LLC	$ 5,000		$ 5,000	Spark Energy Gas, LLC was cited $5,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0605	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-006-654	San Diego Gas & Electric	$ 1,000		$ 1,000	San Diego Gas & Electric was cited $1,000.00 for disconnecting a residential customer during an extreme weather condition.
D.25-03-011	TC Telephone	$ 9,800,000		$ 9,800,000	On March 13, 2025, the Commission adopted Decision 25-03-011, ordering TC Telephone to reimburse to the Lifeline program $8.2 million in over-collection and $1.6 million in interest.
UEB-003-0606	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0607 to 0610	Wave Energy, LLC	$ 4,000		$ 4,000	Wave Energy, LLC was cited $4,000.00 for failure to provide proof of enrollment authorization.
Res UEB-016	Bolt Energy Services, LLC	$ 150,000		$ 150,000	The Commission issued Resolution UEB-016 approving an Administrative Consent Order (ACO) and Settlement between CPED and Bolt to resolve all issues involving Bolt's improper billing of early termination fees in 2021. As part of the settlement, Bolt agrees to pay $150,000 in penalties to the State's General Fund.
UEB-003-0611	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0613	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0614	Big Tree Energy, LLC	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0192	Apple Valley Choice Energy	$ 153,446		$ 153,446	Apple Valley Choice Energy was cited $153,446.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0193	Rancho Mirage Energy Authority	$ 91,730		$ 91,730	Rancho Mirage Energy Authority was cited $91,730.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0194	Desert Community Energy	$ 20,000		$ 20,000	Desert Community Energy was cited $20,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0195	Santa Barbara Clean Energy	$ 40,493		$ 40,493	Santa Barbara Clean Energy was cited $40,492.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0196	Lancaster Choice Energy	$ 257,431		$ 257,431	Lancaster Choice Energy was cited $257,431.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0197	San Jacinto Power	$ 92,796		$ 92,796	San Jacinto Power was cited $92,796.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0198	Pomona Choice Energy	$ 197,758		$ 197,758	Pomona Choice Energy was cited $197,757.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-0615 to 0616	Wave Energy, LLC	$ 2,000		$ 2,000	Wave Energy, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
E-4195-0199	Pilot Power Group, LLC	$ 20,000		$ 20,000	Pilot Power Group, LLC was cited $40,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0200	Pico Rivera Innovative Municipal Energy	$ 123,077		$ 123,077	Pico Rivera Innovative Municipal Energy was cited $123,076.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-0612	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0617 to 0619	Wave Energy, LLC	$ 3,000		$ 3,000	Wave Energy, LLC was cited $3,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0620	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-006-655-656	Pacific Gas & Electric	$ 2,000		$ 2,000	Pacific Gas & Electric was cited $2,000.00 for disconnecting a residential customers during extreme weather conditions.
UEB-003-0621	StateWise Energy California, LLC	$ 1,000		$ 1,000	StateWise Energy California, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0622	Vista Energy Marketing, L.P.	$ 1,000		$ 1,000	Vista Energy Marketing L.P. was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0624	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
SCE Billing Errors and Delays	Southern California Edison	$ 20,840		$ 20,840	Southern California Edison issued refunds in the amount of $20,840 to its customers from the Housing Authority of the City of San Buenaventura housing development due to its billing errors and delays.
UEB-003-0623	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0626	Big Tree Energy	$ 1,000		$ 1,000	Big Tree Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0627	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-006-657-663	Southern California Edison	$ 7,000		$ 7,000	Southern California Edison was cited $7,000.00 for failure to provide disconnection notices via email to customers who opted to receive electronic communications from the utilities.
UEB-003-0625	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0628 to 0629	Big Tree Energy	$ 2,000		$ 2,000	Big Tree Energy, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0630	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
	2025 Total	**$ 11,032,571**	**$ -**	**$ 11,032,571**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2024					
E-4195-0157	Desert Community Energy	$ 124,409		$ 124,409	Desert Community Energy was cited $124,408.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
FETP - AEO	Foothill Education Technology Partnership	$ 7,000		$ 7,000	CPED issued an AEO to FETP that includes a penalty of $7,000 and a prohibition against the Executive Director of FETP, from benefiting or participating in any Commission program for a period of at least seven years for its violations of Decision (D.) 15-07-007 and Rule 1.1 of the Commission's Rules of Practices and Procedures. FETP did not directly provide educational services as it attested to on its California Teleconnect Fund (CTF) program application.
Citation CD-2020-11-001	NTT America, Inc	$ 1,601		$ 1,601	NTT America remitted owed surcharges in the amount of $1,601 to the Communications Division's (CD) public purpose programs. CPED alleges that NTT operated without authority and failed to remit public program surcharges, and assisted CD in the collections of the owed surcharges.
E-4195-0158	Valley Clean Energy Alliance	$ 375,979		$ 375,979	Valley Clean Energy Alliance was cited $375,979.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0159	Valley Clean Energy Alliance	$ 110,699		$ 110,699	Valley Clean Energy Alliance was cited $110,699.20 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0160	Valley Clean Energy Alliance	$ 5,000		$ 5,000	Valley Clean Energy Alliance was cited $5,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
UEB-003-189	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
Citation CD-2022-06-003	TelAgility	$ 3,000		$ 3,000	TelAgility Corp submitted a payment in the amount of $3,000 for a citation issued against the company for its failure to report and remit public program surcharges. CPED investigated TelAgility for its failure to pay the outstanding citation amount, and assisted CD in the collection of the penalty owed.
UEB-006-060-256	Southern California Edison	$ 197,000		$ 197,000	Southern California Edison was cited $197,000.00 for failure to offer to enroll a customer in all applicable benefit programs administered by the utility prior to disconnection.
UEB-006-257-437	San Diego Gas & Electric	$ 181,000		$ 181,000	San Diego Gas & Electric was cited $181,000.00 for charging residential customers a reconnection fee or charge for any reestablishment of service.
E-4195-0161	Rancho Mirage Energy Authority	$ 128,050		$ 128,050	Rancho Mirage Energy Authority was cited $128,049.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0162	Clean Energy Alliance	$ 2,519,345		$ 2,519,345	Clean Energy Alliance was cited $2,519,344.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0163	Apple Valley Choice Energy	$ 217,915		$ 217,915	Apple Valley Choice Energy was cited $217,915.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0164	Pilot Power Group, LLC	$ 5,000		$ 5,000	Pilot Power Group, LLC was cited $5,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0165	Regents of the University of California	$ 533,333		$ 533,333	Regents of the University of California was cited $533,332.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0166	Pico Rivera Innovative Municipal Energy	$ 181,507		$ 181,507	Pico Rivera Innovative Municipal Energy was cited $181,507.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0167	Redwood Coast Energy Authority	$ 753,290		$ 753,290	Redwood Coast Energy Authority was cited $753,290.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0168	Clean Energy Alliance	$ 35,431		$ 35,431	Clean Energy Alliance was cited $35,431.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0169	Desert Community Energy	$ 1,750,159		$ 1,750,159	Desert Community Energy was cited $1,750,159.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0170	Lancaster Choice Energy	$ 619,025		$ 619,025	Lancaster Choice Energy was cited $619,024.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0171	San Jacinto Power	$ 139,771		$ 139,771	San Jacinto Power was cited $139,771.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-190	Vista Energy Marketing L.P.	$ 1,000		$ 1,000	Vista Energy Marketing L.P. was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0172	San Diego Community Power	$ 8,489,956		$ 8,489,956	San Diego Community Power was cited $8,489,956.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0173	San Diego Community Power	$ 10,000		$ 10,000	San Diego Community Power was cited $10,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
UEB-006-438-651	Southern California Edison	$ 214,000		$ 214,000	Southern California Edison was cited $214,000.00 for disconnecting its residential customers during extreme weather conditions.
UEB-003-191	Vista Energy Marketing L.P.	$ 1,000		$ 1,000	Vista Energy Marketing L.P. was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-192	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-193 to 194	AAA Natural Gas	$ 2,000		$ 2,000	AAA Natural Gas was cited $2,000.00 for failure to provide proof of enrollment authorization.
D.24-06-002	Kloud Communications, Inc	$ 33,000		$ 33,000	The CPUC issued D.24-06-002 granting Kloud a CPCN and approving UEB's settlement with Kloud resolving issues raised in UEB's protest of Kloud's CPCN license application. As part of the settlement, Kloud agreed to pay $24,000 in penalties for its failure to disclose a prior settlement between CPED and its affiliate. The ALJ also imposed an additional $9,000 penalty for its unauthorized transfer of control and ordered to pay past-due surcharges to Commission.

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
UEB-003-0195	StateWise Energy California, LLC	$ 1,000		$ 1,000	StateWise Energy California, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0196	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0197	AAA Natural Gas	$ 1,000		$ 1,000	AAA Natural Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0198	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0199	Smart One Energy, LLC	$ 1,000		$ 1,000	Smart One Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-200 to 202	SFE Energy Inc.	$ 3,000		$ 3,000	SFE Energy Inc. was cited $3,000.00 for failure to provide proof of enrollment authorization.
D.24-08-026	MetroPCS	$ 200,000		$ 200,000	On August 7, 2024, the Commission issued D.24-08-026, penalizing MetroPCS $200,000 for violation of Rule 1.1 and Public Utilities Code Section 314.
UEB-006-652-653	San Diego Gas & Electric	$ 2,000		$ 2,000	San Diego Gas & Electric was cited $2,000.00 for not offering to enroll a customer in applicable benefit programs administered by the utility before disconnecting a customer for non-payment; and for failing to offer a customer a 12-month payment plan before disconnecting a customer.
E-4195-0174	City of Palmdale	$ 1,500		$ 1,500	City of Palmdale was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0175	Pico Rivera Innovative Municipal Energy	$ 44,400		$ 44,400	Pico Rivera Innovative Municipal Energy was cited $44,400.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0176	Santa Barbara Clean Energy	$ 1,500		$ 1,500	Santa Barbara Clean Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0177	Pomona Choice Energy	$ 1,500		$ 1,500	Pomona Choice Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0178	Pico Rivera Innovative Municipal Energy	$ 1,500		$ 1,500	Pico Rivera Innovative Municipal Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0179	Apple Valley Choice Energy	$ 1,500		$ 1,500	Apple Valley Choice Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0180	Apple Valley Choice Energy	$ 67,488		$ 67,488	Apple Valley Choice Energy was cited $67,488.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0181	Sonoma Clean Power	$ 10,000		$ 10,000	Sonoma Clean Power was cited $10,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0182	Calpine Power America	$ 1,500		$ 1,500	Calpine Power America Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0183	Lancaster Choice Energy	$ 150,072		$ 150,072	Lancaster Choice Energy was cited $150,072.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0184	CleanPower SF	$ 10,000		$ 10,000	CleanPowerSF was cited $10,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0185	Clean Energy Alliance	$ 2,013,895		$ 2,013,895	Clean Energy Alliance was cited $2,013,895.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0186	Rancho Mirage Energy Authority	$ 73,704		$ 73,704	Rancho Mirage Energy Authority was cited $73,704.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0187	Clean Energy Alliance	$ 1,236,328		$ 1,236,328	Clean Energy Alliance was cited $1,236,328.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0188	San Jacinto Power	$ 60,384		$ 60,384	San Jacinto Power was cited $60,384.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0189	Rancho Mirage Energy Authority	$ 1,500		$ 1,500	Rancho Mirage Energy Authority was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0190	San Jacinto Power	$ 1,500		$ 1,500	San Jacinto Power was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
UEB-003-0574	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0577	Wave Energy, LLC	$ 1,000		$ 1,000	Wave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0191	Lancaster Choice Energy	$ 1,500		$ 1,500	Lancaster Choice Energy was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
UEB-003-203 to 573	AAA Natural Gas	$ 371,000		$ 371,000	AAA Natural Gas was cited $371,000.00 for failure to provide proof of enrollment authorization.
Res UEB-015	San Diego Gas & Electric	$ 2,625,000		$ 2,625,000	Under Res UEB-015, UEB and SDG&E entered into a settlement agreement whereby SDG&E agrees to pay SDG&E agrees to pay $1 million to its Neighbor-to-Neighbor Program at shareholders' expense and $1.625 million in penalties to the State's General Fund for the disenrollment of customers from the CARE, FERA, and MBL discount programs in 2021 and 2022.
	2024 Total	**$ 23,529,242**	**$ -**	**$ 23,529,242**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2023					
UEB-003-183	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
Res UEB-012	SoCalGas	$ 400,000	$ 2,300,000	$ 2,700,000	Under Res UEB-012, UEB and SoCalGas entered into a settlement agreement whereby SoCalGas agrees to pay $400K to the General Fund, submit $213,725 to the General Assistance Fund, and credit/refund customers $2,086,275 for the billing and collecting of service deposits despite CPUC directives to refrain from doing so.
UEB-003-184 to 186	Spark Energy Gas, LLC	$ 3,000		$ 3,000	Spark Energy Gas, LLC was cited $3,000.00 for failure to provide proof of enrollment authorization.
E-4195-0132	Central Coast Community Energy	$ 6,840,974		$ 6,840,974	Central Coast Community Energy was cited $6,840,974.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0133	Desert Community Energy	$ 374,647		$ 374,647	Desert Community Energy was cited $374,647.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0134	Orange County Power Authority	$ 147,408		$ 147,408	Orange County Power Authority was cited $147,408.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0136	San Jose Clean Energy	$ 10,000		$ 10,000	San Jose Clean Energy was cited $10,000 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0137	Peninsula Clean Energy	$ 2,950,407		$ 2,950,407	Peninsula Clean Energy was cited $2,950,407.20 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-006-001	Southern California Edison	$ 1,000		$ 1,000	Southern California Edison was cited $1,000.00 for failure to offer to enroll a customer in all applicable benefit programs administered by the utility prior to disconnection.
UEB-003-0187	SFE Energy California Inc	$ 1,000		$ 1,000	SFE Energy California Inc. was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0139	East Bay Community Energy	$ 10,000		$ 10,000	East Bay Community Energy was cited $10,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0140	EDF Industrial Power Services, LLC	$ 50,490		$ 50,490	EDF Industrial Power Services,LLC was cited $50,490.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0141	Regents of the University of California	$ 203,086		$ 203,086	Regents of the University of California was cited $203,085.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0135	Sonoma Clean Power Authority	$ 442,012		$ 442,012	Sonoma Clean Power Authority was cited $442,012.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0142	Constellation New Energy	$ 2,705,408		$ 2,705,408	Constellation New Energy was cited $2,705,408.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0143	Silicon Valley Clean Energy	$ 3,012,248		$ 3,012,248	Silicon Valley Clean Energy Authority was cited $3,012,248.00 for failing to file a Year-Ahead System Resource Adequacy Compliance Filing at the time and manner required, Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division, and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0144	EDF Industrial Power Services, LLC	$ 2,000		$ 2,000	EDF Industrial Power Services,LLC was cited $2,000.00 for failure to file a Preliminary/Adjusted Load Forecast at the time and manner required.
E-4195-0138	Clean Power Alliance of Southern California	$ 4,000,938		$ 4,000,938	Clean Power Alliance of Southern California was cited $4,000,938.40 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0146	Central Coast Community Energy	$ 159,130		$ 159,130	Central Coast Community Energy was cited $159,129.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-188	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0147	CleanPowerSF	$ 745,387		$ 745,387	CleanPowerSF was cited $745,387.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-006-002 - 059	Southern California Edison	$ 58,000		$ 58,000	Southern California Edison was cited $58,000.00 for failure to offer to enroll a customer in all applicable benefit programs administered by the utility prior to disconnection.
E-4195-0148	Redwood Coast Energy Authority	$ 139,150		$ 139,150	Redwood Coast Energy Authority was cited $139,149.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0149	Regents of the University of California	$ 34,898		$ 34,898	Regents of the University of California was cited $34,898.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
Res UEB-014	Lingo Telcom of the West	$ 320,000		$ 320,000	Under Res UEB-014, UEB and Lingo Telcom of the West entered into a settlement agreement whereby Lingo Telcom of the West agrees to pay $320,000 to the general fund for its failure to include the CAB toll-free number on its customer's bill.
E-4195-0150	Redwood Coast Energy Authority	$ 123,965		$ 123,965	Regents of the University of California was cited $123,964.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0151	Central Coast Community Energy	$ 1,455,610		$ 1,455,610	Central Coast Community Energy was cited $1,455,609.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.

CALIFORNIA PUBLIC UTILITIES COMMISSION

Utility Enforcement Branch

Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
E-4195-0152	San Diego Community Power	$ 4,019,761		$ 4,019,761	San Diego Community Power was cited $4,019,760.80 for failure to file a Year-Ahead System Resource Adequacy Compliance Filing at the time and manner required, Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division, and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0153	Regents of the University of California	$ 69,797		$ 69,797	Regents of the University of California was cited $69,796.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0154	Clean Energy Alliance	$ 226,262		$ 226,262	Clean Energy Alliance was cited $226,262.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
D.23-11-093	Dagobah Systems, Inc.	$ 7,000		$ 7,000	On November 30, 2023, the Commission adopted and approved the Settlement Agreement between Dagobah and CPED. Pursuant to the settlement agreement, Dagobah shall pay a fine of $7,000. The Commission also granted Dagobah a certificate of public convenience and necessity to provide resold interexchange services in California.
E-4195-0155	Clean Energy Alliance	$ 390,365		$ 390,365	Clean Energy Alliance was cited $ $390,364.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0156	Desert Community Energy	$ 151,049		$ 151,049	Desert Community Energy was cited $151,048.80 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
	2023 Total	**$ 29,056,992**	**$ 2,300,000**	**$ 31,356,992**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2022					
E-4195-0114	Central Coast Community Energy	$ 2,075,878		$ 2,075,878	Central Coast Community Energy was cited $2,075,877.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0115	San Diego Community Power	$ 62,979		$ 62,979	San Diego Community Power was cited $62,979.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0117	CleanPowerSF	$ 1,292,361		$ 1,292,361	CleanPowerSF was cited $1,292,360.80 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0116	Orange County Power Authority	$ 1,962,845		$ 1,962,845	Orange County Power Authority was cited $1,962,845.20 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
D.22-04-059	Frontier Communications Corp.	$ 2,504,000	$ 317,256	$ 2,821,256	D.22-04-059 imposes penalties totaling $2,504,000 ($1,050,000 for release of customer address information and a $1,454,000 for outages and service interruptions during cutover from Verizon in 2016). In addition, Frontier ordered to give $6 bill credit to customers who did not receive bill credit and were potentially affected by the address disclosure in 2016.
UEB-003-058	Greenwave Energy, LLC	$ 1,000		$ 1,000	Greenwave Energy, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0118	CleanPowerSF	$ 2,500	$ -	$ 2,500	CleanPowerSF was cited $2,500.00. This violation is for failure to file Historic Load Data at the time and manner rquired.
UEB-003-059 to 060	Bolt Energy Services, LLC	$ 2,000		$ 2,000	Bolt Energy Services, LLC was cited $2,000.00 for failure to provide proof of enrollment authorization.
E-4195-0119	San Diego Gas & Electric	$ 11,000		$ 11,000	San Diego Gas & Electric was cited $11,000.00 for failure to file a Preliminary/Adjusted Load Forecast at the time and manner required.
UEB-003-061 to 180	Ambit California, LLC	$ 120,000		$ 120,000	Ambit California, LLC was cited $120,000.00 for failure to provide proof of enrollment authorization.
Res UEB-010	SoCalTel	$ 457,363		$ 457,363	Under Res UEB-010, UEB and SoCalTel entered into a settlement agreement whereby SoCalTel agrees to pay $457,363 to the Commission to resolve all issues relating to unpaid surcharges.
Res UEB-011	Southern California Edison (SCE)	$ 500,000	$ 2,500,000	$ 3,000,000	Res UEB-011 approves an ACO and Settlement Agreement between the Commission's Utilities Enforcement Branch and SCE to resolve alleged noncompliance with California Alternate Rates for Energy (CARE) and Level Payment Plan (LPP) communication requirements pursuant to Cal. Pub. Util. Code § 739.4 and Advice Letter 1566-E. SCE agrees to pay $2.5 million to the Energy Assistance Fund (EAF) and $500k to the State of California General Fund.
E-4195-0120	Silicon Valley Clean Energy Authority	$ 5,000		$ 5,000	Silicon Valley Clean Energy Authority was cited $5,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0121	EDF Industrial Power Services, LLC	$ 1,500		$ 1,500	EDF Industrial Power Service's LLC was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0122	CleanPowerSF	$ 20,000		$ 20,000	CleanPowerSF was cited $20,000.00 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division.
E-4195-0123	Direct Energy Business, LLC	$ 499,145		$ 499,145	Direct Energy Business, LLC was cited $499,145 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0124	Direct Energy Business, LLC	$ 1,733,021		$ 1,733,021	Direct Energy Business, LLC was cited $1,733,021 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0125	Orange County Power Authority	$ 415,406		$ 415,406	Orange County Power Authority was cited $415,406.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0126	Central Coast Community Energy	$ 25,000		$ 25,000	Central Coast Community Energy was cited $25,000.00 for failure to file a Preliminary/Adjusted Load Forecast at the time and manner required.
E-4195-0127	Central Coast Community Energy	$ 506,098		$ 506,098	Central Coast Community Energy was cited $506,098.40 for a Resource Adequacy deficiency cured within five business days from the date of notification by Energy Division and a deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0128	CleanPowerSF	$ 1,456,320		$ 1,456,320	CleanPowerSF was cited $1,456,320.00 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0129	East Bay Community Energy	$ 878,587		$ 878,587	East Bay Community Energy was cited $878,587.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0130	Constellation New Energy	$ 28,000		$ 28,000	Constellation New Energy was cited $28,000 for failure to file Preliminary/Adjusted Load Forecast at time and manner required.
E-4195-0131	EDF Industrial Power Services, LLC	$ 1,500		$ 1,500	EDF Industrial Power Service's LLC was cited $1,500.00 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
UEB-003-181	Bolt Energy Services	$ 1,000		$ 1,000	Bolt Energy Services, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-182	Spark Energy Gas, LLC	$ 1,000		$ 1,000	Spark Energy Gas, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
	2022 Total:	**$ 14,563,503**	**$ 2,817,256**	**$ 17,380,759**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2021					
E-4195-0093	Commercial Energy	$ 5,610	$ -	$ 5,610	Commercial Energy was cited $5,610 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0094	Commercial Energy	$ 4,795	$ -	$ 4,795	Commercial Energy was cited $4,795 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0098	San Diego Community Power	$ 388,288	$ -	$ 388,288	San Diego Community Power was cited $388,288. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0096	Monterey Bay Community Energy	$ 1,101,031	$ -	$ 1,101,031	Monterey Bay Community Energy was cited $1,101,031 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0097	Pilot Power Group	$ 41,292	$ -	$ 41,292	Pilot Power Group was cited $41,292 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0095	East Bay Community Energy	$ 1,486,034	$ -	$ 1,486,034	East Bay Community Energy was cited $1,486,034. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0099	Western Community Energy	$ 1,529,866	$ -	$ 1,529,866	Western Community Energy was cited $1,529,866. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division.
UEB-003-046	Bolt Energy Services, LLC	$ 1,000	$ -	$ 1,000	Bolt Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-047 to 049	Bolt Energy Services, LLC	$ 3,000	$ -	$ 3,000	Bolt Energy was cited $3,000.00 for failure to provide proof of enrollment authorization.
UEB-003-050	Bolt Energy Services, LLC	$ 1,000	$ -	$ 1,000	Bolt Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
Res UEB-008	Assurance Wireless USA, (F/K/A Virgin Mobile USA, wholly owned by Sprint) (U-4327-C)	$ 41,686,931	$ -	$ 41,686,931	Settlement between Sprint and CPED where Sprint failed to comply with federal non-usage rules by claiming reimbursement for discounts provided to CA LifeLine participants who should have been de-enrolled from the LifeLine Program between July 2017 to August 2019. Sprint agreed to deposit $34,684,170.70 to the Commission's Advance Collection Account which will be released to the CA LifeLine Program. Sprint was also ordered to remit an additional $7,002,760.60 for interest, and any potential benefits Sprint may have accrued due to overcollection resulting in a total settlement amount of $41,686,931.
UEB-003-051	Bolt Energy Services, LLC	$ 1,000	$ -	$ 1,000	Bolt Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0101	East Bay Community Energy	$ 5,000	$ -	$ 5,000	East Bay Community Energy was cited $5,000. This violation is for a Resource Adequacy deficiency that was cured within five business days from the date of notification by the Energy Division.
UEB-003-052	AAA Natural Gas	$ 1,000	$ -	$ 1,000	AAA Natural Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
E-4195-0100	Commercial Energy	$ 1,121,788	$ -	$ 1,121,788	Commercial Energy was cited $1,121,780 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all. This citation was appealed on August 2, 2021, in K.21-08-001.
UEB-003-053	AAA Natural Gas	$ 1,000	$ -	$ 1,000	AAA Natural Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-054	Bolt Energy Services	$ 1,000	$ -	$ 1,000	Bolt Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-055	Spark Energy Gas	$ 1,000	$ -	$ 1,000	Spark Energy Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
Res UEB-009	Appia Communications Inc. and CallTower Inc.	$ 258,687	$ 839	$ 259,526	On August 19, 2021, the Commission adopted a settlement between UEB and CallTower. CallTower agreed to pay $258,687 in resolution of unpaid surcharges (including interest) and penalties. CallTower has further agreed to credit a total of $839 to Appia's customers for user fees previously collected from Appia's customers.
UEB-003-056	Bolt Energy Services	$ 1,000	$ -	$ 1,000	Bolt Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
D.21-09-021	Community Union		$ 162,109	$ 162,109	On September 17, 2021, a Presiding Officer's Decision became the decision of the Commission (D.21-09-021) directing Community Union to return $162,109 it received unlawfully to the California Advanced Services Fund.
E-4195-0103	Central Coast Community Energy	$ 1,121,899	$ -	$ 1,121,899	Central Coast Community Energy was cited $1,121,899 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0107	San Diego Community Power	$ 581,818	$ -	$ 581,818	San Diego Community Power was cited $581,817.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0104	East Bay Community Energy	$ 1,171,094	$ -	$ 1,171,094	East Bay Community Energy was cited $1,171,094.40 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0106	Central Coast Community Energy	$ 1,612,963	$ -	$ 1,612,963	Central Coast Community Energy was cited $1,612,963 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0111	Silicon Valley Clean Energy Authority	$ 571,250	$ -	$ 571,250	Silicon Valley Clean Energy Authority was cited $571,250 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0109	Pilot Power Group	$ 181,063	$ -	$ 181,063	Pilot Power Group was cited $181,063 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0110	Pilot Power Group	$ 325,274	$ -	$ 325,274	Pilot Power Group was cited $325,274 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0108	San Jose Clean Energy	$ 758,263	$ -	$ 758,263	San Jose Clean Energy was cited $758,263.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
E-4195-0105	EDF Industrial Power	$ 92,974	$ -	$ 92,974	EDF Industrial Power Services was cited $92,974 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0113	Shell Energy North America	$ 10,000	$ -	$ 10,000	Shell Energy North America was cited $567,133 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division. Pursuant to the order issued in D.25-04-043 from Shell's Application for Rehearing, the citation amount is reduced from $567,132.50 to $10,000.
E-4195-0102	East Bay Community Energy	$ 652,530	$ -	$ 652,530	East Bay Community Energy was cited $652,529.60 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0112	Pilot Power Group	$ 105,528		$ 105,528	Pilot Power Group was cited $105,527.50 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-057	Bolt Energy Services, LLC	$ 1,000		$ 1,000	Bolt Energy Services, LLC was cited $1,000.00 for failure to provide proof of enrollment authorization.
	2021 Total:	**$ 54,825,978**	**$ 162,948**	**$ 54,988,926**	

2020					
	Comcast		$ 4,484,000.00	$ 4,484,000	Comcast voluntarily agreed to extend to this incident the terms in the 2015 Commission approved settlement between CPED and Comcast (in D. 15-09-000). Although Comcast has not been ordered by the Commission to compensate the affected customers in this incident, Comcast initiated and issued credits of $100 to all impacted customers, and $2,000 to customers identified with safety concerns based on the 2015 Settlement Agreement. Comcast issued credits to all impacted customers and those identified with having possible safety concerns, consistent with the terms of the previous settlement. The credits totaled approximately $4,484,000.
UEB-003-007 to 026	Smart One Energy	$ 20,000	$ -	$ 20,000	Smart One Energy was cited $20,000.00 for failure to provide proof of enrollment authorization.
UEB-003-027 to 036	Spark Energy	$ 10,000	$ -	$ 10,000	Spark Energy was cited $10,000.00 for failure to provide proof of enrollment authorization.
D.20-02-050	San Jose Water Company	$ 5,000,000	$ 2,107,238	$ 7,107,238	The Commission issued a Presiding Offier's Decision adopting a settlement between San Jose Water and CPED requiring San Jose Water to make refunds totaling $2,107,238 and capital investments totaling $5,000,000.
E-4195-0073	American PowerNet Management, LP	$ 30,441	$ -	$ 30,441	American PowerNet Management was cited $30,441.20 for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0074	San Jose Clean Energy	$ 1,116,149	$ -	$ 1,116,149	San Jose Clean Energy was cited $1,116,149.40. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0075	Commercial Energy	$ 350,597	$ -	$ 350,597	Commercial Energy was cited $350,597.40. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0076	Peninsula Clean Energy	$ 10,000	$ -	$ 10,000	Peninsula Clean Energy was cited $10,000. This violation is for a Resource Adequacy deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0077	East Bay Community Energy	$ 614,618	$ -	$ 614,618	East Bay Community Energy was cited $614,618.10. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0079	Just Energy Solutions	$ 5,261	$ -	$ 5,261	Just Energy Solutions was cited $5,261.40. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0078	Commercial Energy	$ 3,330	$ -	$ 3,330	Commercial Energy was cited $3,330. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0080	Tiger Natural Gas	$ 1,500	$ -	$ 1,500	Tiger Natural Gas was cited $1,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0082	Clean Power Alliance of Southern California	$ 10,000	$ -	$ 10,000	Clean Power Alliance was cited $10,000. This violation is for a Resource Adequacy deficiency that was cured within five business days from the date of notification by the Energy Division.
E-4195-0081	Just Energy Solutions	$ 35,864	$ -	$ 35,864	Just Energy Solutions was cited $35,864. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0083	Commercial Energy	$ 7,495	$ -	$ 7,495	Commercial Energy was cited $7,495. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0084	Commercial Energy	$ 7,495	$ -	$ 7,495	Commercial Energy was cited $7,495. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-037 to 039	Smart One Energy	$ 3,000	$ -	$ 3,000	Smart One Energy was cited $3,000.00 for failure to provide proof of enrollment authorization.
E-4195-0085	Commercial Energy	$ 3,330	$ -	$ 3,330	Commercial Energy was cited $3,330. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0086	Commercial Energy	$ 3,996	$ -	$ 3,996	Commercial Energy was cited $3,996. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0087	Commercial Energy	$ 4,990	$ -	$ 4,990	Commercial Energy was cited $4,990. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
D.20-07-013	Cox	$ 303,182	$ -	$ 303,182	In D.20-07-013 Cox was obligated to collect and remit CPUC Public Purpose Programs surcharges and CPUC user fees on its intrastate directory listings service intrastate revenue for fiscal years 2012-2013, 2013-2014, and 2014-2015, as set forth in the 2016 Audit Report and 2017 Analysis Report.
UEB-003-040 to 041	Ambit California, LLC	$ 2,000	$ -	$ 2,000	Ambit California was cited $2,000.00 for failure to provide proof of enrollment authorization.
UEB-003-042	Smart One Energy	$ 1,000	$ -	$ 1,000	Smart One Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
E-4195-0088	Just Energy Solutions	$ 132,388	$ -	$ 132,388	Just Energy Solutions was cited $132,387.50. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0089	Commercial Energy	$ 6,660	$ -	$ 6,660	Commercial Energy was cited $6,660. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0090	Commercial Energy	$ 6,660	$ -	$ 6,660	Commercial Energy was cited $6,660. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0091	Commercial Energy	$ 19,998	$ -	$ 19,998	Commercial Energy was cited $19,998. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0092	Monterey Bay Community Energy	$ 336,663	$ -	$ 336,663	Monterey Bay Community Energy was cited $336,663. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-0043	Smart One Energy	$ 1,000	$ -	$ 1,000	Smart One Energy was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-005	Cox Communications	$ -	$ 350,000	$ 350,000	Commission adopted Resolution UEB-005 approving the settlement agreement between CPED and Cox. Cox will make a contribution of funds in the amount of $350,000 to its Connect2Compete program in full settlement of CPED's investigation into Cox's billing practices for its failure to include the appropriate telephone number of the Commission's Consumer Affairs Branch on certain bills during the time period of January 2015 to January 2018.
UEB-003-0044	Spark Energy Gas, LLC	$ 1,000	$ -	$ 1,000	Spark Energy Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
UEB-003-0045	AAA Natural Gas	$ 1,000	$ -	$ 1,000	AAA Natural Gas was cited $1,000.00 for failure to provide proof of enrollment authorization.
D.20-12-055	Korean Churches for Community Development	$ 15,000	$ -	$ 15,000	The CPUC approved the settlement between CPED and Korean Churches for Community Development where Korean Churches for Community Development must pay a $15,000 penalty to the State of California General Fund.
	2020 Total:	**$ 8,064,618**	**$ 6,941,238**	**$ 15,005,856**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2019					
E-4195-0048A	Just Energy Solutions	$ 3,330.00	$ -	$ 3,330	Just Energy Solutions was cited $3,330. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0052	San Jose Clean Energy	$ 6,791,155.40	$ -	$ 6,791,155	San Jose Clean Energy was cited a total of $6,791,155.40. This citation included two separate citations one of which was in the amount of $6,644,292.40 and the second citation was for $146,863. Both were for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0053	Agera Energy	$ 51,481.80	$ -	$ 51,482	Agera Energy was cited $51,481.80 for resource adequacy deficiency that was remedied after 5 business days from the date of Energy Division's notification or not remedied at all.
E-4195-0054	Just Energy Solutions	$ 362,304.00	$ -	$ 362,304	Just Energy Solutions was cited $362,304. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
Res T-17278	Locus Communications	$ 336,388.61	$ -	$ 336,389	Locus Communications' WIR was revoked on 8/12/10 per Res T-17278. Locus filed for WIR reinstatement and thus must pay 25% of fees and surcharges on all applicable fees and surcharges.
E-4195-0049	Pioneer Community Energy	$ 137,462.40	$ -	$ 137,462	Pioneer Community Energy was cited $137,462.40. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0051	East Bay Community Energy	$ 1,552,589.20	$ -	$ 1,552,589	East Bay Community Energy was cited for a deficiency cured within five business days from the date of notification by ED and for a deficiency remedied after five bussiness days from the date of notification by ED or not remedied at all
E-4195-0050	Valley Clean Energy	$ 3,330.00	$ -	$ 3,330	Valley Clean Energy Alliance was cited $3,330. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0055	Commercial Energy	$ 299,520.20	$ -	$ 299,520	Commercial Energy of CA was cited $299,520.20. This citation included two separate citations one of which was in the amount of $269,540.20 and the second citation was for $29,980. Both were for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-002	Spark Energy Gas, LLC	$ 1,000.00	$ -	$ 1,000	Spark Energywas cited $1,000.00 for failure to provide valid proof of enrollment authorization.
UEB-003-001	SFE Energy California	$ 1,000.00	$ -	$ 1,000	SFE Energy was cited $1,000.00 for failure to provide valid proof of enrollment authorization.
I.17-04-021 D.19-04-041	SoCalGas	$ 3,365,000.00	$ -	$ 3,365,000	The Commission approved D.19-04-041, ordering SoCalGas to pay penalties in the amount of $3,000,000 for the 13.57 million Tariff Rules 12.A, 14.A and 14.D violations. The Decision also impose a penalty in the amount of $5,058,200 ($365,000 to General fund and $4,693,200 as $100 bill credits to affected customers) on SoCalGas for the 47,000 Tariff Rule 12.A and 14.A violations. However, in D.21-12-067, The Commission ordered the penalty in the sum of $4,693,200 to be paid as a $100 bill credit to 46,932 customers be vacated, reaheearing of the Decision as modified is denied, and the stay of the $100 credit as imposed pursuant to D.19-08-015 is vacated as moot.
A.18-10-005 D.19-04-029	Miron Telecom	$ 21,547.71		$ 21,548	The Commission approved D.19-04-029, granting Miron a CPCN to provide resold interexchange service in California and adopting the settlement agreement between CPED and Miron. Under the settlement agreement, Miron will pay a $20,000 penalty and retroactive surcharge and user fees in the amount of $1,547.71.
E-4195-0056	Just Energy Solutions, Inc.	$ 11,988.00	$ -	$ 11,988	Just Energy Solutions was cited $11,988. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0058	Just Energy Solutions, Inc.	$ 5,000.00	$ -	$ 5,000	Just Energy was cited $5,000 for a resource adequacy deficiency that was cured within five business days from the date of notification.
E-4195-0060	Just Energy Solutions, Inc.	$ 22,444.20	$ -	$ 22,444	Just Energy Solutions was cited $22,444. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0057	Valley Clean Energy	$ 3,330.00	$ -	$ 3,330	Valley Clean Energy was cited $3,330. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0059	Commercial Energy	$ 26,573.40	$ -	$ 26,573	Commercial Energy was cited $26,573.40. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-003	GreenWave Energy	$ 1,000.00	$ -	$ 1,000	GreenWave Energy was cited $1,000.00 for failure to provide valid proof of enrollment authorization. Citation was later withdrawn
A.16-06-006 D.19-05-008	Ceretel Incorporated	$ 188,000.00	$ -	$ 188,000	The Commission approved D.19-05-008, denying Ceretel's CPCN application to provide interexchange service. The Commission finds that Ceretel is unfit to operate in CA and imposes a fine of $188,000 for operating without authority and failing to truthfully respond to its application.
D.19-06-020	Lake Alpine	$ 7,500.00	$ -	$ 7,500	D.19-06-020 approved an all party settlement resolving the taking by Respondent Lake Alpine Water Company of two unsecured shareholder loans that were improperly classified as short-term notes payable. The settlement proposes that Lake Alpine violated certain provisions of the PU Code and should be assessed a penalty of $7,500.
E-4195-0062	Just Energy Solutions	$ 46,586.70	$ -	$ 46,587	Just Energy Solutions was cited $46,586.70. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0064	Just Energy Solutions	$ 39,926.70	$ -	$ 39,927	Just Energy Solutions was cited $39,926.70. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0065	Agera Energy	$ 2,500.00	$ -	$ 2,500	Agera Energy was cited $2,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0063	Commercial Energy	$ 6,660.00	$ -	$ 6,660	Commercial Energy was cited $6,660.00. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
E-4195-0061	Commercial Energy	$ 70,972.30	$ -	$ 70,972	Commercial Energy was cited $77,632.30. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all and deficiency that was cured within five business days from the date of notification..
D. 19-09-037	PG&E	$ -	$ 1,274,200.00	$ 1,274,200	PG&E was ordered to provide a $100 bill credit to each of the 6,371 customers impacted by PG&E's July 2016 and October 2018 disconnections. PG&E was also ordered to contribute $637,100 to Relief for Energy Assistance through Community Help (REACH) program.
E-4195-0067	Agera Energy	$ 4,500.00	$ -	$ 4,500	Agera Energy was cited $4,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0068	Commercial Energy	$ 3,300.00	$ -	$ 3,300	Commercial Energy was cited $3,300. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0066	Just Energy Solutions	$ 33,300.00	$ -	$ 33,300	Just Energy Solutions was cited $33,300. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0069	Just Energy Solutions	$ 19,980.00	$ -	$ 19,980	Just Energy Solutions was cited $19,980. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
D.19-10-033	Preferred Long Distance	$ 250,000.00	$ -	$ 250,000	Preferred Long Distance, Inc. (PLD) shall pay $250,000 to the State of California General Fund, over a period of 24 months under the terms as outlined in the Settlement Agreement
E-4195-0070	Commercial Energy	$ 17,449.20	$ -	$ 17,449	Commercial Energy was cited $17,449.20. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
UEB-003-004	Spark Energy Gas, LLC	$ 2,000.00	$ -	$ 2,000	Spark Energy was cited $2,000.00 for failure to provide valid proof of enrollment authorization.
UEB-003-005	Just Energy Solutions, Inc.	$ 1,000.00	$ -	$ 1,000	Just Energy Solutions was cited $1,000.00 for failure to provide valid proof of enrollment authorization.
UEB-003-006	SFE Energy California, Inc.	$ 1,000.00	$ -	$ 1,000	SFE Energy California was cited $1,000.00 for failure to provide valid proof of enrollment authorization.
Slamming Citation # 1308-1426 (ALJ-373)	TC Telephone	$ 76,000.00	$ -	$ 76,000	Pursuant to Resolutions UEB-001 and UEB-002, CPED issued 119 citations against TC Telephone for failure to comply with the Third-Party Verification (TPV) requirements in Public Utilities Code Section 2889.5 and/or Code of Federal Regulations (47 CFR 64.1120(c)(3)(iii)). This represents Settlement resolving Citations 1308-1426 of K.18-10-001 between TC Telephone and CPED in the amount of $76,000.
E-4195-0071	Commercial Energy of Montana, Inc	$ 31,368.60	$ -	$ 31,369	Commercial Energy of Montana was cited $31,368.60. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0072	Just Energy Solutions, Inc.	$ 5,994.00	$ -	$ 5,994	Just Energy Solutions was cited $5,994.00. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
	2019 Total:	**$ 13,804,482**	**$ 1,274,200**	**$ 15,078,682**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2018					
E-4195-0040	Just Energy Solutions, Inc.	$ 33,300.00		$ 33,300	This violation is for a deficiency cured four business days after the revised filing due date.
E-4195-0041	American PowerNet Management, LP	$ 10,000.00		$ 10,000	This violation is for a deficiency cured within 5 days of the revised filing due date
E-4195-0042	Pilot Power Group, Inc.	$ 100,709.20	$ -	$ 100,709	This violation is for a deficiency cured within five business days from the date of notification and for a deficiency cured four business days after the revised filing due date.
E-4195-0043	Just Direct Energy Business	$ 5,000.00		$ 5,000	This violation is for a deficiency cured within five business days from the date of notification by ED
E-4195-0044	Just Energy Solutions, Inc.	$ 10,000.00		$ 10,000	This violation is for a deficiency cured within five business days from the date of notification by Energy Division
Res T-17596	Budget PrePay Inc.	$ 1,121,013.00		$ 1,121,013	California LifeLine Program overpayment to Budget Pre-pay in the amount of $1,121,013.10. Budget must comply with ongoing audit being conducted by SCO. Of $1,121,013.10, Budget will pay $817,730.00 to the California LifeLine fund. The remaining $303,283.10 will be deducted from Budget's filed claims from Feb through July 2017.
E-4195-0045	Just Energy Solutions, Inc.	$ 5,328.00		$ 5,328	This violation is for a Resource Adequacy deficiency that was either not remedied at all or remedied after five business days from the date of notification by the Energy Division
E-4195-0046	Pioneer Community Energy	$ 1,305,360.00		$ 1,305,360	This violation is for a Resource Adequacy deficiency that was either not remedied at all or remedied after five business days from the date of notification by the Energy Division
E-4195-0047	Just Energy Solutions, Inc.	$ 4,861.80		$ 4,862	This violation is for a Resource Adequacy deficiency that was either not remedied at all or remedied after five business days from the date of notification by the Energy Division
E-4195-0048	Pioneer Community Energy	$ 1,118,880.00		$ 1,118,880	This violation is for a Resource Adequacy deficiency that was either not remedied at all or remedied after five business days from the date of notification by the Energy Division
D.18-09-025	NetFortris	$ 300,000.00		$ 300,000	Commission adopted settlement agreement between CPED and NetFortris to resolve all issues in investigative proceeding. D18-09-025 directs NetFortris to pay $300,000 in penalty and implement other corrective measures.
	2018 Total:	**$ 4,014,452**	**$ -**	**$ 4,014,452**	
2017					
D.17-01-011	Mesa Water Crest	$ 105,000	$ -	$ 105,000	Mesa Crest Water Company was ordered to be sold according to specific terms of an All-Party Settlement Agreement. Under that agreement Patrick Flynn was ordered to pay $105,000 to the State of CA General Fund.
E-4195-0034	Commercial Energy of CA	$ 4,500.00	$ -	$ 4,500	Commercial Energy was fined $4,500 for failure to file a month-ahead system resource adequacy compliance filing at the time and manner required.
D.17-03-005	SP Licenses, Inc.	$ 6,000.00	$ -	$ 6,000	SP Licenses must pay a penalty of $6,000 for Rule 1.1 violation.
D.17-03-004	M5 Networks. LLC	$ 20,000.00	$ -	$ 20,000	M5 Networks shall pay a penalty of $20,000 for operating without authority pursuant to PU Code Section 2107
Slamming Citation # 730-896	Onelink Communications, Inc.	$ 167,000.00	$ -	$ 167,000	Pursuant to Resolutions UEB-001 and UEB-002, SED issued 167 citations against Onelink Communications for failure to comply with the Third-Party Verification (TPV) requirements in Public Utilities Code Section 2889.5 and/or Code of Federal Regulations (47 CFR 64.1120(c)(3)(iii)).
Res T-17570	Lycamobile USA, Inc	$ 10,000.00	$ -	$ 10,000	Lycamobile shall pay a penalty of $10,000 for operating without authority pursuant to PU Code Section 2107
Slamming Citation # 897-1307	Quasar Communications	$ 411,000.00	$ -	$ 411,000	Pursuant to Resolutions UEB-001 and UEB-002, SED issued 411 citations against Quasar Communications for failure to comply with the Third-Party Verification (TPV) requirements in Public Utilities Code Section 2889.5 and/or Code of Federal Regulations (47 CFR 64.1120(c)(3)(iii)).
E-4195-0035	CleanPowerSF	$ 10,000.00	$ -	$ 10,000	Resource Adequacy citation issued to CleanPowerSF for an "RA Deficiency cured within five business days from the date of notification by Energy Division."
E-4195-0036	Southern California Edison Company	$ 10,000.00	$ -	$ 10,000	Resource Adequacy citation issued to Southern California Edison Company for an "RA Deficiency cured within five business days from the date of notification by Energy Division."
Res T-17571	Republic Wireless	$ 88,483.00	$ -	$ 88,483	Republic Wireless must pay $74,619.26 for past due surcharges, $3,863.33 for past due user fees, and a $10,000 fine for operating without authority in accordance with PU Code § 2107.
D.17-09-002	Comnet	$ 20,000.00	$ -	$ 20,000	ComNet, LLC shall pay a penalty of $20,000 for operating without authority pursuant to P.U. Code Section 2107
E-4195-0037	Direct Energy Business, LLC	$ 113,153.40	$ -	$ 113,153	Direct Energy Business was cited 113,153.40 for a deficiency that was remedied 13 business days after the date of Energy Division's deficiency notice.
E-4195-0038	Tiger Natural Gas	$ 2,000.00	$ -	$ 2,000	Tiger Natural Gas was cited for $2,000 for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0039	Commercial Energy of Montana	$ 10,456.00	$ -	$ 10,456	Commercial Energy of Montana, Inc. was cited for deficiency remedied after five business days from the date of Energy Division notification or not remedied at all.
	2017 Total:	**$ 977,592**	**$ -**	**$ 977,592**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2016					
D.16-12-002	Ilatanet, LLC	$ 228,000		$ 228,000	Ilatanet, LLC, ordered to pay a fine of $228,000 to the California Public Utilities Commission
E-4550-0001	Southern California Gas Company	$ 250,000.00	$ 25,000.00	$ 275,000	CEQA citation issued to Southern California Gas Company for "Failure to comply with mitigation measures outlined in the Final Environmental Impact Report included in the projects' Permit to Construct." Based on the length of BMP deficiencies committed by SCG, corresponding fine that should be assessed is $699,500.
D.16-10-030	Granite Telecommunications, LLC	$ 15,000	$ -	$ 15,000	Pursuant to the terms of the Settlement Agreement, Granite shall pay a $15,000 penalty to the State of California General Fund within thirty (30) calendar days after the effective date of this decision.
D.16-09-048	TC Telephone LLC, dba, Horizon Cellular	$ 200,000	$ -	$ 200,000	T C Telephone LLC, doing business as, Horizon Cellular, must pay a $200,000 penalty to the State of CA General Fund
E-4195-0033	Shell Energy North America (SENA)	$ 5,500	$ -	$ 5,500	SENA is being cited $5,500 for failure to meet its Resource Adequacy ("RA") obligation for July 2016.
D.16-08-012	Global Calling Corporation	$ 30,000	$ -	$ 30,000	Pursuant to the terms of the Settlement Agreement, Global Calling Corporation (Global Calling) shall pay a penalty in the amount of $30,000.
D.16-08-009	Velocity The Greatest Phone Company Ever, Inc. (Velocity)	$ 70,000	$ -	$ 70,000	In accordance with the Settlement Agreement, Velocity The Greatest Phone Company Ever, Inc. (Velocity) shall pay the entire penalty amount of $70,000 to the CPUC for violation of Rule 1.1.
D.16-08-007	Quality Speaks LLC dba Broadvoice	$ 40,866	$ -	$ 40,866	Pursuant to the terms of the Settlement Agreement, Quality Speaks LLC dba Broadvoice shall pay retroactive state-mandated public purpose program surcharges and the associated interest penalty in the sum of $40,866.16
D.16-07-005	Angel Americas, LLC	$ -		$ -	Since Angel Americas has failed to respond as required by the Commission, we do not have sufficient information on its operations and financial condition to assess an appropriate penalty. SED is directed to initiate enforcement actions if Applicant is continuing to operate in California without proper authority.
D.16-06-020	Silicon Business System (SBS)	$ 20,250		$ 20,250	Silicon Business System (SBS) shall pay a total penalty of $20,000. Silicon Business System shall submit surcharge reports to the Communication's Division and pay user fees and interest for 2013 and 2014 totaling $250.
D.16-06-009	Vertex Telecom	$ 17,500	$ -	$ 17,500	Vertex Telecom, Inc. (Vertex) shall comply with all terms of the Settlement Agreement. Specifically, Vertex shall pay a penalty of $17,500 to the State of California General Fund pursuant to the Settlement Agreement
D.16-04-018	NobelTel	$ 146,500	$ -	$ 146,500	The Commission adopted D.16-04-018 denying NobelTel's application for a certificate of public convenience and necessity and assessing a fine of $146,500 for operating without a license.
D.16-04-024	WorldVox Corporation	$ 13,500	$ -	$ 13,500	The Commission adopted a joint settlement between SED and WorldVox in D.16-04-024 conditionally granting a CPCN to WorldVox and ordered WorldVox to pay $13,000 in penalties.
E-4195-0032	Glacial Energy Of California	$ 5,000	$ -	$ 5,000	Glacial Energy of California (Glacial) failed to meet its Resource Adequacy (RA) obligation for March 2016. Glacial was deficient with their March 2016 month-ahead RA by 0.72 Megawatts (MW). After receiving a deficiency notice from the Energy Division on February 5, 2016, Glacial cured its deficiency and resubmitted its March 2016 filing to ED on February 10, 2016. The scheduled penalty prescribed by Resolution E-4195 specifies penalties for deficiencies cured within five business days from the date of notification by the ED with a prescribed penalty of $5,000 per incident if the deficiency is 10 MW or smaller. Glacial's revised filing cured a deficiency of 0.72 MW within five business days from the date of notification by ED. The fine for this violation is $5,000.
D.16-03-012	OSP	$ 362,918	$ -	$ 362,918	The Billing Resource LLC, a billing agent used by OSP for billing and collection services, shall make a payment of $362,917 to the California Public Utilites Commission under a joint settlement agreement.
E-4195-0031	Tiger Natural Energy	$ 3,000	$ -	$ 3,000	Tiger Natural Energy filed its February 2016 month-ahead Resource Adequacy (RA) compliance on December 21, 2015. The RA guide issued on August 14, 2015 shows a filing due date of December 17, 2015. The scheduled penalty prescribed by Resolution E-4195 specified penalties for a failure to file a Month-Ahead System RA Compliance Filing at the time and manner required. The fine for this violation is $3,000.
Slamming Citation # 189-586	TeleDias Communications, Inc.	$ 398,000	$ -	$ 398,000	Pursuant to Resolutions UEB-001 and UEB-002, SED issued 398 citations against TeleDias for failure to comply with the Third-Party Verification (TPV) requirements in Public Utilities Code Section 2889.5 and/or Code of Federal Regulations (47 CFR 64.1120(c)(3)(iii)). All citations are under appeal in proceeding K.16-04-004.
Slamming Citation # 587 - 727	TeleUno, Inc.	$ 141,000	$ -	$ 141,000	Pursuant to Resolutions UEB-001 and UEB-002, SED issued 141 citations against TeleUno for failure to comply with the Third-Party Verification (TPV) requirements in Public Utilities Code Section 2889.5 and/or Code of Federal Regulations (47 CFR 64.1120(c)(3)(iii)). All citations are under appeal in proceeding K.16-04-003.
D.16-02-002	EZETOP	$ 25,000	$ -	$ 25,000	The Commission granted the CPCN application of EZETOP to provide prepaid calling services in CA. The Commission also adopted the Settlement Agreement between SED and EZETOP, which required EZETOP to make a payment of $25,000 for operating without a license and paying unpaid user fees.
	2016 Total:	**$ 1,972,033.66**	**$ 25,000.00**	**$ 1,997,034.16**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2015					
D.15-12-031	TracFone Wireless, Inc.	$ 10,000,000	$ -	$ 10,000,000	This decision approves the settlement agreement between the California Public Utilities Commission Safety and Enforcement Division and TracFone Wireless, Inc. The settlement agreement resolves all outstanding issues in this Investigation and related court action concerning TracFone's collection of public purpose program surcharges and user fees on intrastate revenue since 2000 and provides that TracFone shall pay a $10 million penalty.
D.15-09-009	Comcast	$ 12,500,000	$ 7,909,400	$ 32,909,400	This decision approves a Settlement Agreement between Comcast Phone of California, LLC (U-5698-C) (Comcast Phone) and the Safety and Enforcement Division. This proceeding was instituted to investigate issues relating to the unauthorized disclosure and publication of directory listing information (i.e., name, telephone number, and address) by Comcast Phone and its related entities. Approximately 75,000 Comcast customers in California had a non-published or non-listed feature on their XFINITY Voice service. As a result of Comcast's unauthorized disclosure and publication, the names, telephone numbers, and addresses of these customers became available on Comcast's online directory, in a rural telephone company's phone books, and via directory assistance. Thus Comcast was required (a) to pay a $25 million penalty (half to the State of California General Fund, and half to the California Attorney General), (b) to provide further restitution to affected customers, as set forth in the Settlement, and (c) to undertake specified operational reforms to enhance the security of Comcast customers' directory listing information (and the privacy of those customers seeking non-published status).
D.15-04-010	Five9, Inc	$ 172,021	$ -	$ 172,021	This decision adopts the proposed Settlement Agreement between the Safety and Enforcement Division and Five9, Inc. to provide limited facilities-based and resold interexchange service within California. Among other things the Settlement Agreement requires Five9 to pay a penalty of $10,000 to the General Fund, to pay retroactive surcharges and fees plus interest and comply fully with all applicable regulatory and legal requirements. Pursuant to Public Utilities Code Section 1001, we grant Five9, a Certificate of Public Convenience and Necessity to provide resold interexchange service in California subject to the terms and conditions set forth in the Ordering Paragraphs.
D.15-01-032	Logical Telecom	$ 120,000	$ -	$ 120,000	Logical acknowledged that it allowed its prepaid phone cards to be sold in California without having authority to do so under Section 885. Logical also acknowledged that some of its prepaid phone cards and advertising materials did not meet the requirements of California's Business and Professional Code Section 17538.9. Logical and SED have agreed on a settlement in which Logical will pay $120,000 to the State of California General Fund. The Settlement Agreement was subsequently approved by the Commisson in D.15-01-032.
D.15-06-003	Dial World Communications	$ 65,000	$ -	$ 65,000	Pursuant to Pub. Util. Code § 1001, we grant the application of Dial World Communications, LLC (Dial World) a certificate of public convenience and necessity (CPCN) for authority to provide resold interexchange service in California subject to the terms and conditions set forth in this order. As a basis for granting the CPCN, and we are requiring Dial World to pay retroactive surcharges and fees totaling $65,000.
D.15-12-009	Dynalink Communications, Inc	$ 12,652	$ -	$ 12,652	This decision approved and adopted the December 3, 2014 settlement agreement between Dynalink Communications Inc. and the Safety and Enforcement Division. The settlement resolved the issues raised in the April 18, 2014 protest filed by SED concerning Dynalink's: 1) operation in California after November 2009 when Dynalink's certification to provide telecommunication's services was revoked pursuant to Resolution T-17228 for failure to comply with the Commission's reimbursement account fee filing and reporting requirements; 2) failure to disclose prior adverse regulatory actions in other jurisdictions, and 3) erroneous statements of fact in its Application, in violation of Rule 1.1.
D.15-12-026	New Day Broadband	$ 10,000	$ -	$ 10,000	This decision regarding the Investigation into the actions of New Day Broadband One, LLC, finds that New Day violated the laws, rules and regulations of this state by operating without the required state franchise from the California Public Utilities Commission from 2008 through 2014 in violation of Public Utilities Code § 5840, failing to collect and remit franchise fees in violation of Pub. Util. Code § 5860, and failing to notify the Federal Communications Commission of its acquisition of video franchises in violation of 47 CFR 76.1610. This Decision imposes a penalty of $10,000 against New Day pursuant to Pub. Util. Code §§ 2108 and 2111 for these violations.
D.15-12-007	Toly Digital	$ 6,000	$ -	$ 6,000	Pursuant to Pub. Util. Code § 1001, we grant the application of Toly Digital Networks, Inc. (Toly) a certificate of public convenience and necessity (CPCN) to provide resold interexchange service in California subject to the terms and conditions set forth in this order. We also adopt and approve the Settlement Agreement as set forth in Attachment D, as discussed below. Toly seeks authority from the Commission to operate as a switchless reseller statewide in California. Toly's principal place of business is 1005 West Indiantown Road, Ste. 201, Jupiter, Florida 33458.
E-4195-0023	Commerce Energy	$ 5,000	$ -	$ 5,000	On January 23, 2015, Energy Division emailed Commerce a correction notice. Commerce is deficient with their March 2015 month-ahead RA obligation. Commerce has a system RA requirement of 47.00 MW. California Independent System Operator (CAISO)'s T-45 supply plans has confirmed Commerce for 45.35 MW of system RA. This is 1.65 MW (47.00 minus 45.35) short of their RA obligation. The correction notice is to procure the 1.65 MW of system capacity and file with the CPUC by January 30, 2015. The RA Citation Program creates a specified violation for a deficiency cured within five business days from the date of notification by Energy Division with a prescribed penalty of $5,000 per incident if the deficiency is 10 Megawatts (MW) or smaller, or $10,000 for a deficiency larger than 10 MW. Commerce's revised filing on January 30, 2015 cured a deficiency of 1.65 MW within five business days from the date of notification by Energy Division. The fine for this violation is $5,000.

CALIFORNIA PUBLIC UTILITIES COMMISSION

Utility Enforcement Branch

Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
E-4195-0024	EDF Industrial Power Services	$ 1,000	$ -	$ 1,000	On March 18, 2015, EDF filed its May 2015 month-ahead RA compliance. The 2015 RA guide issued on September 9, 2014 shows a filing due date of March 17, 2015 for the May month-ahead 2015 RA. The RA Citation Program creates a specified violation for a failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required. EDF's filing on March 18, 2015 was one day late. The fine for this violation is $1,000.
E-4195-0025	Glacial Energy of California	$ 5,000	$ -	$ 5,000	On April 6, 2015, Energy Division emailed Glacial a deficiency notice. Glacial is deficient with their May 2015 month-ahead RA obligation. Glacial has a system RA requirement of 5.00 MW. California Independent System Operator (CAISO)'s T-45 supply plans have confirmed Commerce for 4.00 MW of system RA. Glacial has a 0.34 MW Demand Response (DR) allocation. This is 0.66 MW (5.00 minus 4.00 minus 0.34) short of their RA obligation. The deficiency notice is to procure the 0.66 MW of system capacity and file with the CPUC by April 13, 2015.
E-4195-0026	3 Phases Renewables	$ 10,000	$ -	$ 10,000	On May 5, 2015, Energy Division emailed 3 Phases a correction notice. 3 Phases was deficient with their June 2015 month-ahead RA obligation. 3 Phases had a system RA requirement of 53.00 MW. California Independent System Operator's T-45 supply plans confirmed 3 Phases for 36.95 MW of system RA. 3 Phases had a 1.44 MW DR allocation, 14.61 MW (53.00 minus 36.95 minus 1.44) short of their RA obligation. The correction notice is to procure 14.61 MW of system capacity of which at least 12.81 MW must be procured from north resources and file with CPUC by May 12, 2015.
E-4195-0027	3 Phases Renewables	$ 10,000	$ -	$ 10,000	3 Phases Renewables, LLC has failed to meet its Resource Adequacy obligation for November 2015. On September 11, 2015, 3 Phases Renewables, LLC. (3 Phases) filed its November 2015 month-ahead RA compliance. After receiving a deficiency notice from Energy Division, 3 Phases cured its deficiency and resubmitted its November 2015 filing to ED within five business days. This violation is for a deficiency cured within five business days and for the second deficiency in this calendar year, the scheduled penalties prescribe a $10,000 penalty for a deficiency 10 Megawatts or smaller. Accordingly, 3 Phases is being cited $10,000 for its MW deficiency.
E-4195-0030	Commerce Energy	$ 10,000	$ -	$ 10,000	Commerce Energy, Inc. has failed to meet its Resource Adequacy obligation for June 2015. Commerce submitted its June 2015 Month Ahead RA Filing with a 1.00 MW deficiency in Flexible RA. After receiving a deficiency notice from Energy Division, Commerce cured its deficiency and resubmitted its June 2015 filing to ED within five business days. This violation constitutes Commerce's second violation within a calendar year. For the second and subsequent calendar year deficiencies cured within five days, the scheduled penalties prescribe a $10,000 penalty per incident if the deficiency is 10.00 MW or smaller. Accordingly, Commerce is being cited $10,000 for its 1.00 MW deficiency.
Slamming Citation # 187	U.S. Telepacific Corp.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 188	Pacific Bell	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
	2015 Total:	**$ 22,928,673**	**$ 7,909,400**	**$ 43,338,073**	

Note: In D.15-09-009 Comcast was required to pay a $12.5 million penalty to the California Attorney General. This amount was not included in the "Fines Payable to the State" or "Restitution for Customers" but is included in the "Total Fines and Restitutions."

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2014					
D.14-02-007	Global Telco Group Inc.	$ 12,500	$ -	$ 12,500	This decision adopts a settlement between SED and Global Telco Group and requires Global Telco Group to pay a $12,500 penalty to the General Fund forselling prepaid phone cards in California without Commission authority.
D.14-08-033	Telseven, LLC, Calling 10 LLC dba Calfiornia Calling 10 and Patrick Hines	$ 19,760,000	$ 21,000,000	$ 40,760,000	The Commission found all charges placed on California subscribers' telephone bills by Telseven LLC, and Calling 10 LLC dba California Calling 10, and Mr. Patrick Hines acting through them, were unauthorized, and odered Telseven, LLC, and Calling 10 LLC dba California Calling 10, and Mr. Patrick Hines to pay reparations to each subscriber so billed in the total amount collected from that subscriber and to pay a fine of $19,760,000.
Slamming Citation # 173	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 174	Frontier Communications	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 175	Teledias Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 176	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 177	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 178	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 179	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 180	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 181	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 182	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 183	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 184	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 185	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
Slamming Citation # 186	TC Telephone LLC	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPED staff issues citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
E-4195-0022	3 Phases Renewables, LLC	$ 5,000	$ -	$ 5,000	Resource Adequacy citation issued to 3 Phases Renewables, LLC for "deficiency cured within five business days from the date of notification by Energy Division."
D.14-01-037	TracFone Wireless, Inc.	$ -	$ 24,397,441	$ 24,397,441	This decision finds that TracFone must pay $24,397,441 which equals the full amount of user fees and public purpose program surcharges, including interest, accrued prior to February 24, 2012.
	2014 Total:	**$ 19,791,500**	**$ 45,397,441**	**$ 65,188,941**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2013					
D.13-04-012	Pacific Gas & Electric Co. (PG&E)	$ 390,000	$ -	$ 390,000	This decision approves a settlement between SED, PG&E and The Utility Reform Network concerning alleged improper activities on the part of PG&E employees regarding anti-smart meter groups. The settlement requires PG&E to pay $390,000 to the general fund of the State of California; carry through with improvements to the social media components of its employee policies and with education about those policies; sponsor three regulatory industry trainings, which a third-party will teach; and verify the completion of these things to SED by 2015.
D.13-07-029	West Corporation and Intrado Communications, Inc.	$ 5,000	$ -	$ 5,000	This decision approves a Settlement Agreement entered into between SED, West and Intrado resolving all issues in this application regarding West's violation of Public Utilities Code Section 854 in failing to obtain Commission authorization prior to the transfer of indirect control of Intrado to West and imposes a $5,000 penalty payable to the General Fund.
D.13-09-001	OSP Communications LLC and John Vogel	$ 2,985,400	$ 5,700,000	$ 8,685,400	This decision adopts a settlement between SED and OSP Communications and John Vogel, an individual, regarding alleged cramming violations.
Slamming Citation # 172	Verizon California, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, SED staff issue citations to telecommunications companies who fail to provide a third party verification recording in compliance with Commission and Federal Communications Commission rules.
E-4195-0019	Commerce Energy	$ 4,000	$ -	$ 4,000	Commerce Energy was cited $4,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0020	Liberty Power Holdings	$ 5,000	$ -	$ 5,000	Liberty Power was cited $5,000 for a resource adequacy deficiency that was cured within five business days from the date of notification.
E-4195-0021	3 Phases Renewables, LLC	$ 7,500	$ -	$ 7,500	Commerce Energy was cited $4,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0021-A	Liberty Power Holdings	$ 5,000	$ -	$ 5,000	Liberty Power was cited $5,000 for a resource adequacy deficiency that was cured within five business days from the date of notification.
	2013 Total:	**$ 3,402,900**	**$ 5,700,000**	**$ 9,102,900**	
2012					
D.12-01-009	Pacific Gas & Electric Co. (PG&E)	$ 100,000	$ 50,000	$ 150,000	Decision approved settlement between CPSD and PG&E regarding alleged violations of mitigation measures set forth in Mitigation Monitoring Plan adopted in D.09-10-049 and required PG&E to make settlement payment of $100,000 to General Fund and a $50,000 donation to the Endangered Species Recovery Program at Stanislaus State Univ.
D.12-02-030	Pacific Gas & Electric Co. (PG&E)	$ 215,000	$ -	$ 215,000	Decision approved settlement between CPSD and PG&E regarding alleged violations of system resource adequacy requirements for Mar, Apr and July 2010 and required PG&E to make a $215,000 payment to the General Fund.
D.12-08-002	Kingstone Telecommunications, Inc.	$ 6,500	$ -	$ 6,500	Decision approved settlement between CPSD and Kingstone regarding alleged Rule 1.1 violations in Kingstone's application (A.11-09-003) and required Kingstone to make a $6,500 penalty payment to the General Fund.
D.12-11-043	Tele Circuit Network Corporation	$ 32,500	$ -	$ 32,500	This decision adopts a settlement between CPSD and Tele Circuit and requires Tele Circuit to pay a $32,500 penalty to the General Fund for operating in California without Commission authority.
E-4195-0012*	Glacial Power	$ 6,660	$ -	$ 6,660	Glacial Power was cited $6,660. This violation is for a Resource Adequacy deficiency that was remedied after five business days from the date of notification by the Energy Division or not remedied at all.
E-4195-0013	Shell Energy North America (SENA)	$ 1,500	$ -	$ 1,500	Shell Energy North America was cited $1,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0014	San Diego Gas & Electric	$ 1,500	$ -	$ 1,500	San Diego Gas and Electric was cited $1,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0015	Direct Energy Business, LLC	$ 5,000	$ -	$ 5,000	Direct Energy Business was cited $5,000 for a resource adequacy deficiency that was cured within five business days from the date of notification.
E-4195-0016	San Diego Gas & Electric	$ 5,000	$ -	$ 5,000	San Diego Gas and Electric was cited $5,000 for a resource adequacy deficiency that was cured within five business days from the date of notification.
	2012 Total:	**$ 373,660**	**$ 50,000**	**$ 423,660**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2011					
D.11-01-017	Contractor Strategies Group, Inc. (CSGI)	$ 66,511	$ 51,597	$ 118,108	Decision approves settlements between CPSD and CSGI and associates regarding alleged violations related to connection of automatic dial-around devices to customer-owned pay telephones. Settlement fines totaling $66,511 payable to CA General Fund and reparations of $51,597 to Telecom Consumer Education Fund.
D.11-03-006	Constellation NewEnergy, Inc. (CNE)	$ 300,000	$ -	$ 300,000	Decision approves a settlement between CPSD and CNE regarding alleged violations of system resource adequacy requirements for Jan 09 and requires CNE to make a $300,000 settlement payment to General Fund.
D.11-04-009	NobelBiz VoIP Services, Inc. (NobelBiz)	$ 12,000	$ -	$ 12,000	Decision approves a settlement between CPSD and NobelBiz regarding alleged Rule 1.1 violations in NobelBiz's application (A.10-04-003) and requires NobelBiz to make a $12,000 penalty payment to the General Fund.
D.11-05-008	Americatel Corporation (Americatel)	$ 503,000	$ 47,000	$ 550,000	This decision approves a settlement between CPSD and Americatel and provides for Americatel to assure appropriate credits have been issued and to make a $503,000 settlement payment to the General Fund.
D.11-07-021	Metropolitan Telecommunications of California Inc. dba MetTel	$ 8,000	$ -	$ 8,000	Decision approves a settlement between CPSD and MetTel regarding alleged Rule 1.1 violations and requiring MetTel to pay an $8,000 penalty to the General Fund.
D.11-10-017	Legacy Long Distance International, Inc.	$ 215,000	$ 20,491	$ 235,491	Decision approves settlement between CPSD and Legacy regarding alleged unauthorized billings. Legacy ordered to pay $215,000 penalty to General Fund and issue refunds/credits to CA consumers who complained about Legacy's billing or were double-billed between 2005 and 2008.
Slamming Citation # 170	Teleuno, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, SED staff issue citations to telecom companies who fail to provide third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 171	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, SED staff issue citations to telecom companies who fail to provide third party verification recording in compliance with Commission and FCC rules.
E-4195-0011	Liberty Power Holdings	$ 4,000	$ -	$ 4,000	Liberty Power Holdings was cited $4,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0012	Tiger Natural Gas	$ 3,000	$ -	$ 3,000	Tiger Natrual Gas was cited $4,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
	2011 Total:	**$ 1,113,511**	**$ 119,088**	**$ 1,232,599**	
2010					
D.10-04-033	Calpine PowerAmerica	$ 225,000	$ -	$ 225,000	The Commission approved the settlement between Calpine and CPSD regarding alleged violations of system and local resource adequacy requirements in its 2007 year-ahead compliance filings. By the terms of the settlement, Calpine agreed to pay a fine of $225,000.
D.10-05-028	Speedypin Prepaid	$ 13,000	$ -	$ 13,000	This decision adopts a settlement between CPSD and Speedypin Prepaid and grants a registration CPCN to Speedypin Prepaid to provide services in California. Among other things, the Settlement Agreement requires Applicant to pay a penalty of $13,000 to the General Fund.
D.10-06-033	Talton Communications	$ 11,000	$ -	$ 11,000	This decision adopts the Settlement between CPSD and Talton and requires Talton to pay a penalty of $11,000 for operating in California without Commission authority.
D.10-07-023	Broadvox, LLC	$ 5,000	$ -	$ 5,000	Decision adopts settlement agreement between CPSD and Broadvox regarding alleged Rule 1.1 violations in Broadvox's application (A.09-06-004) in which includes Broadvox agrees to pay a $5,000 fine to the General Fund.
D.10-09-036	Krush Communications LLC (Krush)	$ 7,500	$ -	$ 7,500	This decision adopts a settlement between CPSD and Krush and requires Krush to pay a $7,500 penalty to the General Fund for operating in California without Commission authority.
Slamming Citation # 169	Optic Internet Protocol	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
E-4195-0002	San Diego Gas & Electric	$ 1,500	$ -	$ 1,500	San Diego Gas & Electric was cited $1,500.00 for a Resource Adequacy deficiency violation.
E-4195-0003	Pilot Power Group, Inc.	$ 6,000	$ -	$ 6,000	Pilot Power Group was cited $6,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required ($4,500) and for a procurement deficiency ($1,500).
E-4195-0004	Commerce Energy	$ 13,000	$ -	$ 13,000	Commerce Energy was cited for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required for Oct, Nov and Dec 2009.
E-4195-0005	Commerce Energy	$ 18,000	$ -	$ 18,000	
E-4195-0006	Commerce Energy	$ 2,500	$ -	$ 2,500	
E-4195-0007	Pilot Power Group, Inc.	$ 14,500	$ -	$ 14,500	Pilot Power Group was cited $14,500.00 for a Resource Adequacy deficiency violation.
E-4195-0008	Direct Energy Business, LLC	$ 1,500	$ -	$ 1,500	Direct Energy was cited $1,500.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4195-0009	Commerce Energy	$ 2,000	$ -	$ 2,000	Commerce Energy was cited $2,000.00. This violation is for failure to file a Month-Ahead System Resource Adequacy Compliance Filing at the time and manner required.
E-4257-0001	Pilot Power Group, Inc	$ 7,000	$ -	$ 7,000	Pilot Power Group was cited $7,000.00. This violation is for failure to file a verified RPS Compliance Report at the time required.
	2010 Total:	**$ 328,500**	**$ -**	**$ 328,500**	
2009					

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
D.09-02-015	NextG Networks of California, Inc. (NextG)	$ 200,000	$ -	$ 200,000	In this decision, the Commission adopted a settlement agreement between NextG and CPSD to resolve issues relating to the allegation that NextG violated the terms of its limited facilities-based Certificate of Public Convenience and Necessity (CPCN) by engaging in ground-disturbing activities related to construction and Rule 1.1 of the Commission's Rules of Practice and Procedure. NextG agreed to pay the state General Fund $200,000.
D.09-04-009	Bigredwire	$ 20,000	$ 41,265	$ 61,265	In this decision, the Commission adopted the settlement agreement between Bigredwire.com, Inc. (BRW) and CPSD, wherein BRW agreed to pay a fine of $20,000 to the state General Fund, for operating in this state without a Certificate of Public Convenience and Necessity (CPCN) and for its failure to pay required fees and surcharges and to file required reports with the Commission. BRW also agreed to pay all fees and surcharges owed to this Commission, plus simple interest at the rate of 10% year, which amounts to $41,264.80, in installment payments.
D.09-05-032	88 Telecom	$ 8,000	$ -	$ 8,000	In this decision, the Commission adopted the settlement agreement between 88 Telecom Corporation and CPSD that resolved issues brought up in CPSD's protest regarding 88 Telecom selling prepaid phone cards in California without authority. 88 Telecom Corporation agreed to pay a fine of $8,000 to the state General Fund.
D.09-05-027	Southern California Edison Co. (SCE)	$ -	$ 6,000,000	$ 6,000,000	In this decision, the Commission adopted the settlement agreement between Southern California Edison Company (SCE) and CPSD, which resolved all outstanding issues in Phase 2 of the Performance-Based Ratemaking (PBR) case. SCE agreed to: 1) Credit $4.0 million to the distribution subaccount of SCE's existing Base Revenue Requirement Balancing Account (BRRBA). Ratepayers will receive the credit as a reduction to SCE's distribution rates when the BRRBA is amortized in rates; 2) forgo its claim for a net system reliability reward of $2 million, which is comprised of a reward of $5 million for frequency in 2001 and a penalty of $3 million for average customer minutes of interruption in 2003; and, 3) not propose any PBR customer satisfaction or employee safety shareholder incentive mechanism before the completion of its 2015 General Rate Case cycle.
D.09-06-013	Birch Communications	$ 10,000	$ -	$ 10,000	The Commission approved a settlement between CPSD and Birch that provides for its operating authority to be granted, but Birch admits that its initial application violated Rule 1.1 of the Commission's Rules of Practice and Procedure and agreed to pay a fine of $10,000.
D.09-07-018	San Diego Gas & Electric (SDG&E)	$ 500,000	$ 420,000	$ 920,000	The Commission adopted the settlement agreement between CPSD and SDG&E which provides that while SDG&E does not admit to any violation of Rule 1.1 of the Commission's Rules of Practice and Procedure, it acknowledges its failure to fully comply with Rule 8.3 and tenders an apology for imprecision in its communications with decision-makers in connection with certain ex parte meetings held during Phase 2. SDG&E commits to pay a total of $920,000 in shareholder funds in amounts specified for charitable contributions, reimbursement to the Commission for expenses related to this proceeding, and payment to the State's General Fund. Further, SDG&E commits to develop a professional responsibility class and an ex parte best practices manual, both in consultation with the Commission.
D.09-09-005	Alliance Group Services (AGS)	$ 2,500	$ -	$ 2,500	The Commission found that AGS violated the Settlement Agreement between AGS and CPSD, by failing to file a status report due on September 7, 2007 until after CPSD protested AGS's application for transfer of control and filed a data request asking for a list of AGS's carrier customers. The Settlement Agreement required AGS to file status reports with CPSD every six months.
D.09-11-010	Cheap2Dial Telephone	$ 10,000	$ 3,108	$ 13,108	The Commission adopted a settlement between CPSD and Cheap2Dial. The settlement requires Cheap2Dial to pay a penalty of $10,000 to the General Fund and required surcharges, fees, and interest that it owed to the Commission, totaling $3,108.43.
Slamming Citation # 141	Teledias Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 142	Blue Casa Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 143	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 144	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 145	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 146	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 147	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 148	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 149	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 150	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 151	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 152	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 153	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
Slamming Citation # 154	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 155	Optic Internet Protocol	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 156	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 157	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 158	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 159	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 160	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 161	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 162	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 163	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 164	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 165	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 166	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 167	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 168	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
E-4195-0001	Constellation New Energy	$ 1,500	$ -	$ 1,500	Pursuant to Commission Resolution, CPSD staff issue citations to Load Serving Entities who fail to comply with specific requirements of the Commission's Resource Adequacy Requirements.
	2009 Total:	**$ 780,000**	**$ 6,464,373**	**$ 7,244,373**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2008					
D.08-09-038	Southern California Edison Co. (SCE)	$ 30,000,000	$ 80,714,000	$ 110,714,000	This decision concludes that Southern California Edison Company (SCE) employees and management manipulated and submitted false data in claiming Performance Based Ratemaking (PBR) rewards. SCE is ordered to: 1) Refund to its ratepayers $28 million in PBR customer satisfaction rewards it has received and forgo an additional $20 million in rewards that it has requested; 2) Refund to its ratepayers all $20 million in PBR health and safety rewards it has received and forgo an additional $15 million in rewards that it has requested; 3) Refund to its ratepayers the portion of its 2003 to 2005 revenue requirement related to the utility's Results Sharing program that was affected by fraudulent data, which the decision finds to be $32,714,000; and, 4) Pay a fine of $30 million to the state General Fund for violations of the Public Utilities Code.
Slamming Citation # 123	Clear World Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 124	Startec Global Operating Co.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 125	Startec Global Operating Co.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 126	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 127	Telscape Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 128	Telscape Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 129	Blue Casa Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 130	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 131	Startec Global Operating Co.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 132	Startec Global Operating Co.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 133	Telscape Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 134	Telscape Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 135	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 136	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 137	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 138	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 139	Frontier Communications	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 140	Peak Communications, Inc.	$ 1,000		$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
	2008 Total:	**$ 30,018,000**	**$ 80,714,000**	**$ 110,732,000**	

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
2007					
CGC07462325	Devine Communications	$ 118,000	$ -	$ 118,000	As result of CPUC's work with CA AG, CA Superior Court issued judgment requiring Devine Communications and Megalink Telecom to clearly disclose all fees, surcharges, and other costs associated with use of prepaid calling cards. Judgment imposed civil penalties of $118,000 on Devine and prohibited misleading advertising.
D.07-09-041	Pacific Gas & Electric Co. (PG&E)	$ -	$ 35,000,000	$ 35,000,000	PG&E violated tariff Rule 9A (failed to issue bills at regular intervals) & tariff Rule 17.1 (issued backbills related to: 1) periods of no bills ("delayed bills) and 2) periods of estimated bills, where estimation was within PG&E's control beyond time limits permitted). CPUC ordered PG&E to refund $35 million for unauthorized charges.
D.07-05-054	Sebastian Enterprises	$ 15,000	$ -	$ 15,000	CPUC approved settlement agreement between Sebastian Enterprises, Inc, its subsidiary Fortel, Inc, or Foresthill Telephone Company and CPSD for violations resulting from respondents' obtaining a loan and entering into a merger without Commission's prior approval. SEI and FTC agreed to pay CA General Fund $15,000.
Slamming Citation # 101	Wholesale Air-Time, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 102	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 103	Startec Global Operating Co.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 104	Telscape Communications, Inc.	$ 2,000	$ -	$ 2,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 105	Americatel Corporation	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 106	Americatel Corporation	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 107	Americatel Corporation	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 107A	Americatel Corporation	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 108	Blue Casa Communications	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 109	Access One, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 110	Cognigen Networks, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 111	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 112	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 113	Blue Casa Communications	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 114	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 115	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 116	Time Warner Cable Info Svc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 117	Clear World Communications	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 118	AT&T Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 119	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 120	Startec Global Operating Co.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 121	Americatel Corporation	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
Slamming Citation # 122	Peak Communications, Inc.	$ 1,000	$ -	$ 1,000	Pursuant to Commission Resolution, CPSD staff issue citations to telecom companies who fail to provide a third party verification recording in compliance with Commission and FCC rules.
	2007 Total:	**$ 157,000**	**$ 35,000,000**	**$ 35,157,000**	
2006					

CALIFORNIA PUBLIC UTILITIES COMMISSION
Utility Enforcement Branch
Enforcement Actions, 2004 through 2026

Citation / Decision Number	Utility	Fines Payable to the State	Restitution for Consumers	Total Fines and Restitutions	Description
D.06-10-027	Qwest	$ 150,000	$ 30,000	$ 180,000	In this decision, the Commission approved the settlement agreement between Qwest and CPSD concerning compliance with statutes, decisions, and other requirements applicable to the utility's installation of facilities in California for providing telecommunications service. Under the settlement agreement, Qwest agreed to pay $150,000 to the State's General Fund and to contribute $30,000 to three groups that promote awareness of Native American sites, archaeology and history within California.
D.06-04-035	MCI	$ 1,300,000	$ 2,000,000	$ 3,300,000	Commission approved the settlement agreement between MCI, WorldCom, and MCI WorldCom (collectively, MCI) and CPSD that resolved the Commission's investigation into MCI's alleged slamming and cramming activities. MCI agreed to pay $2.3 million, in addition to credits already paid to affected customers. (MCI estimates its past credits to be in excess of $1 million.) $2.3 million was comprised of $1 million in refunds or credits to affected customers and $1.3 million as penalties payable to CA General Fund.
	2006 Total:	**$ 1,450,000**	**$ 2,030,000**	**$ 3,480,000**	
2005					
05-02-001	Miko Communications	$ 45,350	$ -	$ 45,350	This decision finds that Miko and its sole owner and President Margaret Currie conducted operations in California without operating authority, failed to pay the Commission's telecommunications fees and surcharges, made a material misrepresentation in response to a data request from the Commission's Telecommunications Division, and engaged in a pattern of slamming, i.e., violated regulations governing how telephone subscribers are switched from one interexchange carrier to another. The Commission permanently revoked respondents' operating authority, and fined respondents $45,035
05-03-004	Vycera Communications	$ 100,000	$ -	$ 100,000	In this decision, the Commission approved a settlement between CPSD and Vycera and its officers and primary shareholders resolving an investigation into allegations that Vycera made unauthorized transers of telephone service and billed for unordered services. The settlement agreement provides for numerous changes to Vycera's operations, enhanced CPSD oversight, and a $100,000 fine.
05-06-033	Clear World Communications	$ 100,000	$ -	$ 100,000	The Commission found that two predecessor companies of Clear World operated as unauthorized resellers of long distance service in California between the years 1997 and 1999 and that the assets of one of these companies were transferred to Clear World without authorization. The Commission imposed a fine of $100,000.
	2005 Total:	**$ 245,350**	**$ -**	**$ 245,350**	
2004					
D.04-06-017	NOS Communications	$ 2,900,000	$ 50,000	$ 2,950,000	The Commission adopted a settlement agreement between CPSD and NOS which requires NOS to make payments to the Commission totaling $2,950,000. Of this sum, $2,900,000 will be deposited into the State's General Fund, and $50,000 will be set aside for customer restitution and claims administration. Each of about 1400 customers will receive a restitution payment of $25.
D.04-09-007	Verizon	$ 4,836,000	$ -	$ 4,836,000	Commission ordered CPSD to retain and supervise consultants to conduct review of Verizon's operations and practices related to Individual Csse Basis (ICB) contracts and Verizon to reimburse the Commission for consultants' costs. Commission adopted a settlement between Verizon and CPSD under which Verizon will pay $4,836,000 to the CA General Fund in satisfaction of any fines or other remedies that could have been sought or imposed for violation of theP.U. Code and of Commission rules relating to ICB and express contracts.
D.04-09-062 and D.07-03-048	Cingular Wireless	$ 12,140,000	$ 17,717,740	$ 29,857,740	Cingular's corporate policy and practice in CA did not allow any "grace period" or trial of its wireless service and prohibited early termination of wireless service unless customer paid an early termination fee (ETF) of $150. Given Cingular's own testimony that testing wireless service by using the phone is best way for a customer to ascertain whether service meets his or her needs, binding that customer in advance to a one or two-year contract constituted an unjust and unreasonable rule and resulted in inadequate, unjust, and unreasonable service in violation of PU Code Section 451 and Commission Decision (D.) 95-04-028. Commission imposed penalty of $12,140,000 and ordered Cingular to reimburse customers who paid part or all of ETF.
	2004 Total:	**$ 19,876,000**	**$ 17,767,740**	**$ 37,643,740**	