ELECTRIC SERVICE PROVIDER REGISTRATION APPLICATION FORM

nt:	ESP No
trant is doing business as (DB s business name statement wa rovide a copy of the proof of p	fictitious business name, list all the BA) in California, and the date and as filed (Attach additional page if publication of the fictitious business tatement. (See Business & Professions
Date	e County
Address	
State	Zip Code
ip 🛘 Corporation 🔻 Lin	mited Liability Company
\square Other	
n k and	FOR CPUC USE ONLY
INCOMPLETE APPLICATIONS CANNOT BE PROCESSED	Application Processed By: Date:
is the first state of the state	Address State Address State In Corporation

	he certificate from the California Secretary of State permitting the entity to transact business in California. (See Corporations Code Sections 2105, 15692, 17451)				
6.	a. If registrant is a corporation, the state in which the registrant is incorporated and Date of Incorporation: State of Incorporation:				
	Date of Incorporation: (Date) State of Incorporation: (State) b. List names and titles of corporate officers. (Attach additional page if necessary)				
7.	If a partnership list all general partners. (Attach additional page if necessary)				
8.	If a limited liability company list all managers and/or officers and their titles. (Attach additional page if necessary)				
9.	If registrant has listed type of ownership as "government entity" provide a brief description of the government entity, and list the names and titles of the personnel responsible for managing the sale of electricity to residential and small commercial customers. (Attach additional page if necessary)				
10.	If registrant has listed type of ownership as "other" describe the type of ownership, list the names and titles of all managers or officers, and attach a copy of the document establishing the entity as well and the bylaws or similar governing document of the entity. (Attach additional page if necessary)				

5. If a non-California corporation, limited liability company, or limited partnership, attach a copy of

11.	or entities that directly of the outstanding	ectly or indirectly own, contro voting securities of the registr	e a listing of the name and address of all person l, or hold the power to vote ten percent or more rant. In the alternative, attach any report ssion which details such an interest.
	registrant owns, co contained in any re	ntrols or hold five percent or i port required by the Securitie	porate registrant's affiliates in which the more of the affiliate. If this information is s and Exchange Commission, that report may NOTICE" section for the definition of an
			egistrant's principal place of business, if umber listed in line numbers 2 and 3:
		Street Address	
	City	State	Zip Code
	Teleph	one Number	
1.	a. Please provide a	brief description of the electr	rical services you plan to offer.
	b. Check the box or	boxes which best describe yo	ur business. (See P.U. Code Section 331)
		ter \Box Aggregator \Box Brol	
			istomer classes you plan to serve.
	Residential Cust	omers 🛘 Small Commercial	Customers

☐ Medium to Large Commercial ☐ Industrial Customers	Customers
d. Check the box or boxes which electrical services.	best describe the geographic area in which you plan to offer
☐ Statewide	☐ Northern California Counties
☐ Central California Counties	☐ Southern California Counties
e. Indicate the number of custom	ners you plan to serve.
f. Indicate the average number o	f kWhs you expect to provide per month.
a service agreement with each upplan to do business. State the national	as an electric service provider (ESP), you are required to execute tility distribution company (UDC) in whose service territory you me of each UDC for which you have a signed UDC-ESP service n executed copy of each UDC-ESP agreement.
Name of UDCs:	
a. Provide the names and titles of operational aspects of the business	f the key personnel that are involved in the technical and ess.
metering, and billing of energy s experience. ESPs may submit re- resume includes the requested in	
c. If your company is not providi companies who will provide those experience in those areas.	ng metering or billing services, provide the names of the se services on your behalf, and provide a description of their
17. Has your company been authoriz	zed by the California Independent System Operator to act as a
□ No □ Yes If yes, a	ttach a copy of such authorization.

	Name		Title	
	Address			
-	City	State	Zip Code	
	Telephone Number	FAX Number (If available)	E-Mail Address (If available)	
	Name and Address of Ager Must Be Located In Califo		de Sections 1505, 15800, 17057, 17060)	
1	Name:			
5	Street Address:			
(City and State:		Zip Code:	
a			corporate officers or directors, or limited r been convicted of any felony?	
	□ No □ Yes If	yes, please explain on additio	onal page.	
			any civil, criminal, or regulatory sanctions sumer protection law or regulation?	
	□ No □ Yes If y	ves, please explain on additio	nal page.	
a c se li c C w a	Il general partners; (3) if a company, all of the membe ervice to residential or smalled to a designated corporards included with this approximate to graphich is qualified to proving the second of t	a corporation, all corporate ors, managers and officers. If nall commercial customers, the porate officer(s) approved by oplication. Additional finger ints shall be performed by a defingerprint services. The reperson which provided the	forship, the registrant; (2) if a partnership, fficers; and (4) if a limited liability your company does not offer electric his fingerprinting requirement may be the Energy Division. Use the fingerprint print cards may be obtained from the law enforcement agency, or other person ESP registrant shall also provide the name fingerprint services, and the date on	
		NOTICE		

Each ESP registered with the California Public Utilities Commission (CPUC) should be familiar with all the laws and decisions pertaining to the offering of electrical services to customers in California. This notice mentions some of the provisions that the ESP must abide by.

To be issued an ESP Registration number, you are required to provide the CPUC with a cash (cashier's check) security deposit in the minimum amount of \$25,000 or post a financial guarantee bond in favor of the CPUC in that amount. This deposit must be received by the CPUC before your ESP registration will be considered complete. The deposit or financial guarantee bond shall be delivered to the Energy Division address listed on the first page of this form. In the alternative, the ESP may elect to open a customer trust account in a format approved by the CPUC's General Counsel.

Pending such an approval, the ESP must post the cash deposit or the financial guarantee bond. This deposit requirement is subject to change.

Unless you are an ESP that has been authorized by the California Independent System Operator to act as a scheduling coordinator, each registered ESP is required to submit to the Energy Division a copy of all of its scheduling coordinator agreements. The agreements are to be submitted on or before the date when the ESP signs up its first customer.

Prior to signing up and initiating a direct access service request on behalf of any residential or small commercial customer, all registered ESPs serving such customers are required to provide the potential customer with a written notice which describes the price, terms, and conditions of service. The notice shall include all of the elements required by Public Utilities Code § 394.5, and the requirements set forth in the CPUC's consumer protection decision, D.98-03-072, as modified by D.99-05-034.

Public Utilities Code § 394.7 prohibits all ESPs from soliciting those residential and small commercial consumers whose names appear on the list that is maintained pursuant to that code section.

In order to change the electricity provider of a residential or small commercial customer, all ESPs must follow the verification procedures set forth in Public Utilities Code § 366.5.

Each registered ESP serving residential or small commercial customers is required to submit annual filings containing information regarding its standard service plans. This information is to be submitted on the form adopted by the CPUC. (Public Utilities Code § 392.1(a); D.98-03-072.)

Each ESP who offers to sell electricity to end-use customers is required to disclose its electricity sources to those customers in accordance with Public Utilities Code § 398.4 and as directed by the California Energy Commission.

In addition to the \$100 registration fee, you are required to pay the annual fee required by Public Utilities Code § 394.3(b). This fee is assessed on each registered ESP on September 1st of each year.

For the purposes of this form, the following definition of an "affiliate" applies:

"Affiliate" means any legal entity in which five percent or more of the outstanding shares are owned, controlled, or held with power to vote, directly or indirectly either by the ESP or any of its subsidiaries; or by the ESP's controlling entity or any of its subsidiaries; or by any company in which the ESP, its controlling entity, or any of the ESP's affiliates, exert substantial control over the operation of the company or indirectly have substantial financial interests in the company which is exercised through means other than ownership. For purpose of this definition, "substantial control" include, but is not limited to, the possession, directly or indirectly and whether acting alone or in conjunction with others, of the authority to direct or cause the direction of the management or policies of the company. A direct or indirect voting interest of five percent or more by the ESP in an entity's company creates a rebuttable presumption of control.

Any material change in the information required by this form shall be provided to the CPUC within 60 days, except for any change in the ESP's telephone number or address, which shall be reported within five days of such a change. (Public Utilities Code § 394.1(d).)

Submit the original signed form together with all required documents, and three additional copies.

I, (print name and	title)		hereby certify that I
am empowered to a	act on behalf of		(registrant's name)
and to make this a	pplication on its behalf.	I declare under the pe	nalty of perjury under the laws of
the State of Califor	rnia that I have read the	e above notice, that the	above statements and information
submitted in conne	ection with this applicat	tion are true and corre	ct, and that any documents
furnished in conne	ection with this applicat	ion are true and correc	ct copies.
Dated this		, at	
	(date)		(place of execution)
Signatura			

If this registration form is verified outside of California, the verification must be made by an affidavit sworn or affirmed before a notary public.)