

**Part I:**

**Pre-Application Reports Reporting:**

**a) Total since Rule 21 Revision in September 2012**

**441**

- i. Number requested: 441
- ii. Number issued: 433
- iii. Number currently in process: 3
- iv. Number withdrawn (if any): 5

**b) Total for 2nd Quarter 2019:**

**9**

**Rule 21 Fast Track Reporting:**

**a) Rule 21 Fast Track applications received since 9/13/2012**

Queued: 1177

**b) Rule 21 Fast Track applications for 3Q**

Queued: 49<sup>1</sup>

**Initial Review**

a. Number of Fast Track Applications received for **all** types of generating facilities:

|                                |           |
|--------------------------------|-----------|
| <b>1177</b>                    | <b>49</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019   |

b. Number of Fast Track applications received for exporting generating facilities only (excluding Net Energy Metering and non-export):

|                                   |          |
|-----------------------------------|----------|
| <b>224</b>                        | <b>0</b> |
| From Rule 21 Reform to Through 3Q | 3Q 2019  |

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<sup>1</sup> Three projects from previous reporting quarters were removed due to being incorrectly listed as Fast Track





a fast track interconnection that would avoid failing the two most frequently failed supplemental review screens.

Please refer to answer provided for Part (h).

- q. Number of Fast Track projects that signed GIAs:

|                         |          |
|-------------------------|----------|
| <b>41</b>               | <b>1</b> |
| From Rule 21 Through 3Q | 3Q 2019  |

**Ombudsman Role and Dispute Resolution Reporting:**

- a. Number of phone calls that the Ombudsman has received from September 2012 to date (calls related to Rule 21 issues that were within the Ombudsman’s responsibilities or function):

|                         |          |
|-------------------------|----------|
| <b>99</b>               | <b>5</b> |
| From Rule 21 Through 3Q | 3Q 2019  |

- b. Number of emails the Ombudsman has received from September 2012 to date:

|                         |          |
|-------------------------|----------|
| <b>191</b>              | <b>7</b> |
| From Rule 21 Through 3Q | 3Q 2019  |

- c. Number of cases that the Ombudsman took an active role in handling: (“active role” means the Ombudsman sought out information from another source to provide that information to an interconnection customer or other third party)

|                         |          |
|-------------------------|----------|
| <b>82</b>               | <b>0</b> |
| From Rule 21 Through 3Q | 3Q 2019  |

- d. Number of disputes initiated in writing by a Party that invokes Rule 21, Section K.2 Dispute Resolution Procedures (DRP).

|                         |          |
|-------------------------|----------|
| <b>17</b>               | <b>0</b> |
| From Rule 21 Through 3Q | 3Q 2019  |

e. Number of disputes resolved within 45 calendar days of the original notice.

|                                |          |
|--------------------------------|----------|
| <b>10</b>                      | <b>0</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019  |

f. Number of disputes where an additional 45 days was sought for resolution (second part of original question e).

|                                |          |
|--------------------------------|----------|
| <b>4</b>                       | <b>0</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019  |

g. Number of disputes mediated by a member of the CPUC’s ALJ Division:

|                                |          |
|--------------------------------|----------|
| <b>2</b>                       | <b>0</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019  |

h. Number of disputes mediated by an outside third-party mediator:

|                                |          |
|--------------------------------|----------|
| <b>0</b>                       | <b>0</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019  |

i. Number of disputes in which a Formal Complaint has been filed at the CPUC and served on the IOU:

|                                |          |
|--------------------------------|----------|
| <b>2</b>                       | <b>0</b> |
| From Rule 21 Reform Through 3Q | 3Q 2019  |

**Accounting of Exemptions from Rule 21 Interconnection Fees, Including the Value of Those Exemptions:**

In accordance with the Public Utilities Code Section 2827 and D.02-03-057, NEM customer generators are exempt from interconnection application fees, supplemental review fees, costs for distribution upgrades and standby charges. Reporting is provided in accordance with Commission decisions representing socialized NEM costs.

*Please refer to reporting made in accordance with 12-11-005 for NEM related fees.*