# California Public Utilities Commission Small and Diverse Business Expo

Supplier Diversity
Representatives
Contact Information





# California Public Utilities Commission Small and Diverse Business Expo

October 9, 2024



### Procurement Insights for Savvy Vendors

Craig Gott

President

Suburban Water Timothy McLaughlin

Director

Supplier Diversity

San Jose Water

Tammy Tran

Supplier

Diversity

Principal Manager

Southern
California Edison

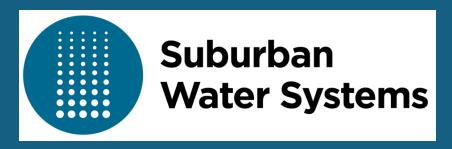
Karmin Bailey

Supplier

Diversity Manager

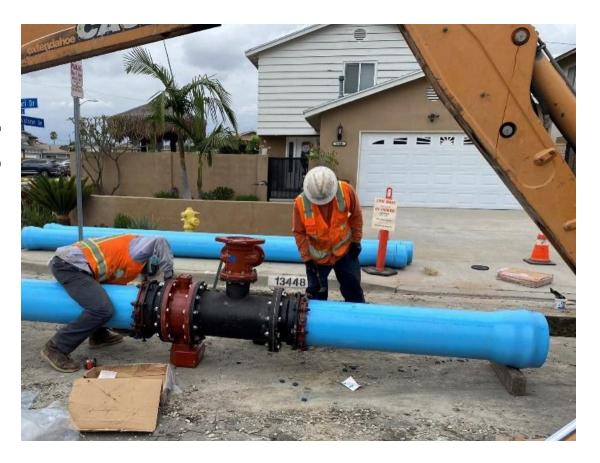
**Southwest Gas** 



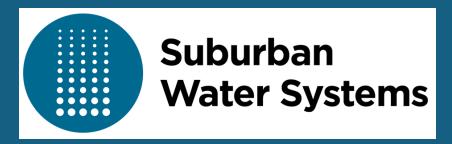


# Understanding General Rate Case and Vendor Opportunities

Craig Gott - President Suburban Water Systems



### Background



#### **Suburban Water Systems**

- 6<sup>th</sup> largest CA private water utility
- Serves 78,000 Connections, 300,000 people in east Los Angeles County
- Serves 40,000 AF of water through 860 miles of pipe.
- 137 employees
- \$100M/yr Annual Revenue
- \$40M/yr Annual Capital Expenditures

#### **Craig Gott**

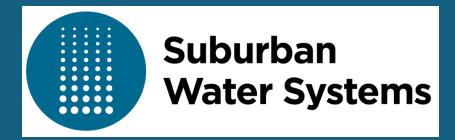
- Suburban employee 22 years
- Engineering 11 years (Ca P.E.)
- Operations 5 years (Ca D3, T2)
- President 6 years (M.B.A.)

#### Supplier Diversity Champion

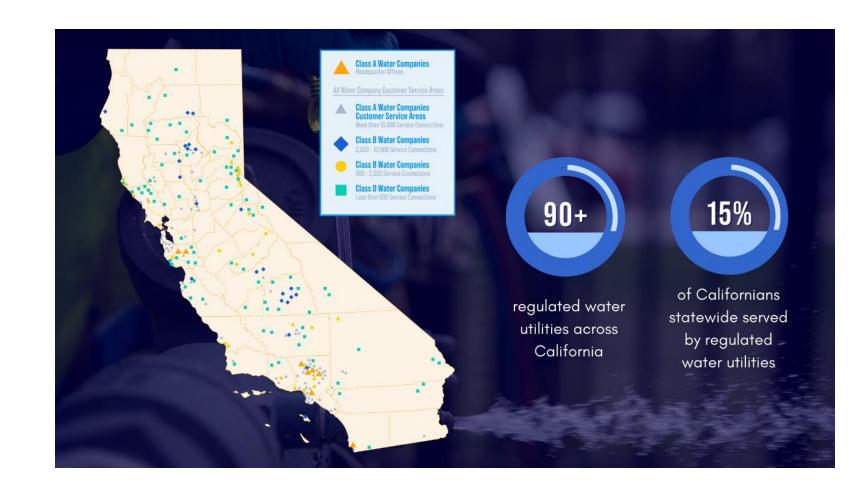
- Suburban's 2023 spend 67%
- Procurement opportunity



### California Water Association

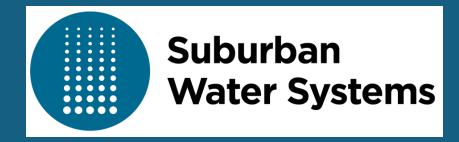


- Statewide association of water utilities regulated by CPUC
- Educates and encourages best practices in the water utility industry
- Coordinates supplier diversity education, outreach, partnerships, and programs for all the large water utilities





# California Public Utilities Commission



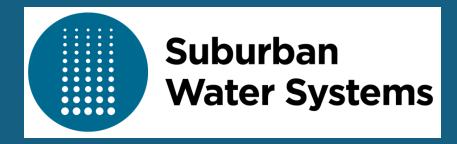
#### Regulation

- Large investment required to deliver water service makes utilities **natural monopolies**
- Regulations serves as substitute for competition
- Regulation balances affordability for customers with financial viability of utilities
- CPUC Regulates:
  - **Privately owned utilities** water, electric, gas, etc.
  - Rates prices that utilities charge customers
  - **Service** pressure, availability, billing accuracy, call wait times, etc.

#### **CPUC Personnel**

- **Commissioners (5)** appointed by CA Governor to serve 6-year terms decision makers for proceedings, rules, general rate cases, cost of capital, etc.
- Water Division administers CPUC rules and decisions
- Administrative Law Judge (ALJ) administers
   proceedings, review evidence and prepare proposed
   decisions for commissioner vote
- California Public Advocates:
  - Represent utility customers to obtain the lowest possible rate for service consistent with reliable and safe service levels
  - Present counter arguments to utility's requests in proceedings

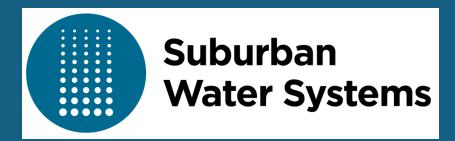
### Rate Making Formula



#### Revenue Requirement = Expenses + Financing Cost

- Revenue Requirement: amount utilities need to charge to remain financially viable
- Expenses: water, labor, power, gasoline, outside consultants, asset depreciation, taxes, etc.
- Financing Cost (rate base x cost of capital):
  - Rate Base Net book value of utility assets (pipes, pumps, etc.)
  - Cost of Capital Weighted cost of debt and equity
  - **Weighted** capital structure ratio of equity and debt (example: 65% equity: 35% debt)
  - **Debt** bond financing interest
  - Equity return on shareholder equity

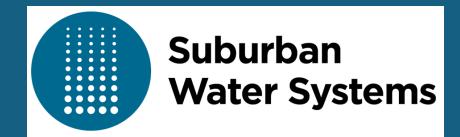
### General Rate Case (GRC)



- CPUC Water Plan requires utilities to file GRC every 3 years
- GRC's are forward looking and set rates for the next 3 years
- Utilities spend hundreds of thousands to millions of dollars on vendors to prepare and execute GRC proceedings depending on size and complexity
- Total Capital Expenditure (CapEX)
   budget is approved in GRC, not specific
   projects; utilities adjust projects to meet
   operations requirements



# General Rate Case (GRC)



Utility Application: request to change rates to collect revenue required to maintain financial viability and pay financing costs (includes written testimony, forecasted expenses and capital investment, historical results, etc.)

Data Requests: Cal Advocates formally asks thousands of questions of utilities to better understand the request

Cal Advocates Report:
 detailed report listing
 counter arguments and
 alternative positions on
 the utilities request

Rebuttal: Utility
addresses arguments
raised in Cal
Advocate's report

**Briefing:** Parties summarize final positions, and address arguments in the other party's briefing

Hearings: Party attorneys cross examinationwitnesses about disputed items to add to the record of evidence

**Settlement:** Opportunity for Utility and Cal Advocates to negotiate and agree on some or all disputed items

Public Participation
Hearing (PPH): ALJ hosts
forum to receive comments
from the public

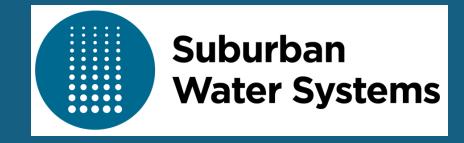
**Proposed Decision:** ALJ prepares written proposed decision that accepts or denies the parties settled items, and recommends resolution for disputed items

**Decision:** 

Commissioners review
 and then vote to accept or reject the proposed decision.

**GRC PROCESS** 

### Participation and Opportunities



#### Vendors can:

- Express their opinions on utility expenses and capital expenditure forecasts
  - Plan ahead: difficult to spend what is not recovered by the GRC
  - **Provide:** proposals, quotations, reports, industry information, etc.
- Get information visibility on utility spending and programs

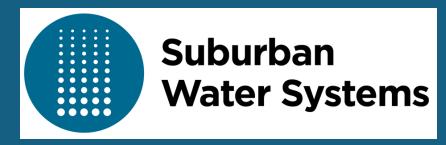
#### **Public Participation Hearings**

Vendors can participate in utility hearings to learn more about expenses and capital investments:

- Safety and reliability
- Local economy (investment, jobs, small businesses)
- Local workforce development
- Customer and community education
- Community service

### Takeaways





- Utilities spend money to provide high quality service to customers
- Utilities need to recover what they need to spend in GRC
- GRC's take a long time, involve lots of parties, and are complex
- Vendors can engage with utilities in their GRC planning process
- Vendors can speak at public participation hearings



### **Procurement Insights for Savvy Vendors**

### California Public Utilities Commission Small and Diverse Business Expo

**October 9, 2024** 



### Presenters



Timothy Mc Laughlin
Director, Supplier
Diversity
San Jose Water

Tammy Tran
Supplier Diversity
Principal Manger
Southern California
Edison

Karmin Bailey
Supplier Diversity
Manager
Southwest Gas

# **Topics for Today**

- What can you gain?
- What is the value?
- What am I viewing?
- How do you strategize?
- What are the challenges?
- Questions

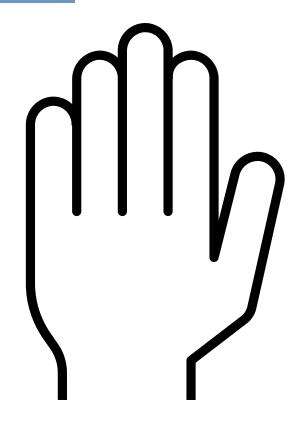
#### California utilities file:

- CPUC Annual Reports
- General Rate Case
- Supplier Diversity Annual Reports

### Audience Poll: About You

#### Tell us about yourself:

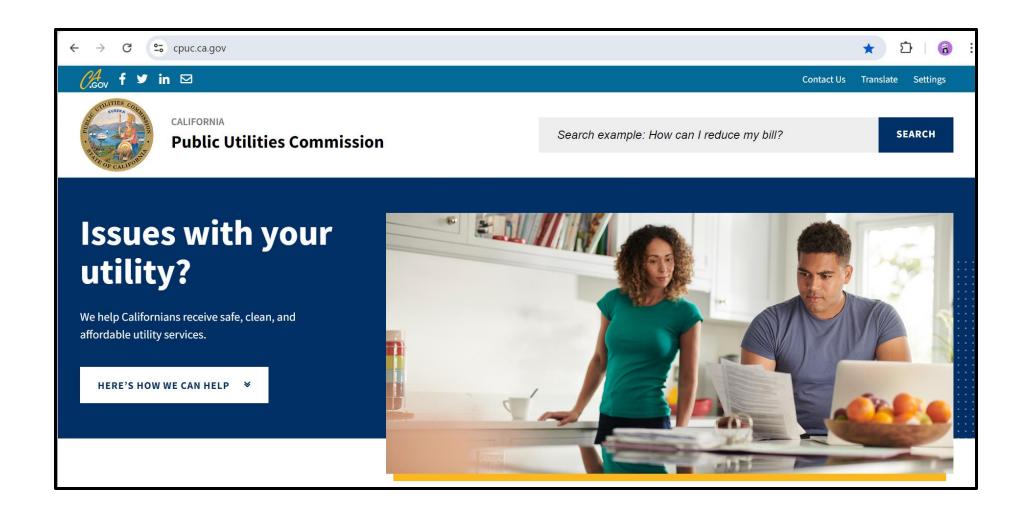
- a) Currently a supplier to utilities
- b) Like to become a supplier
- c) In Supplier Diversity
- d) PUC member or staff
- e) None of the above, but interested in this topic



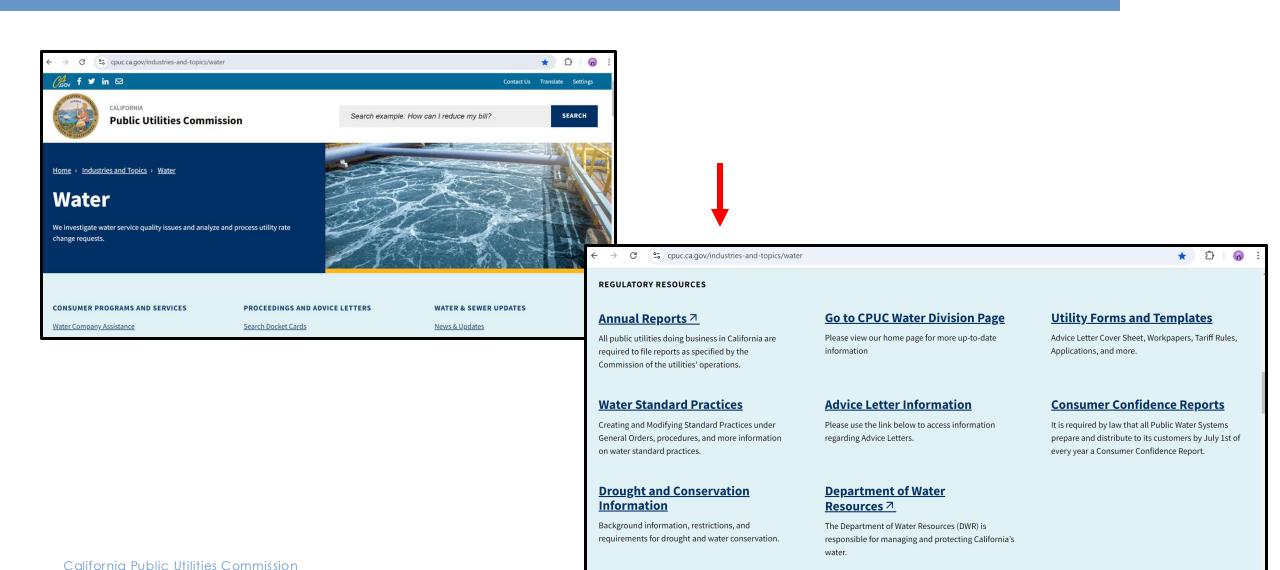
# **CPUC Annual Reports**

An annual report is a comprehensive report on a company's activities throughout the preceding year. Annual reports are intended to give the CPUC and other interested people information about the company's activities and finances.

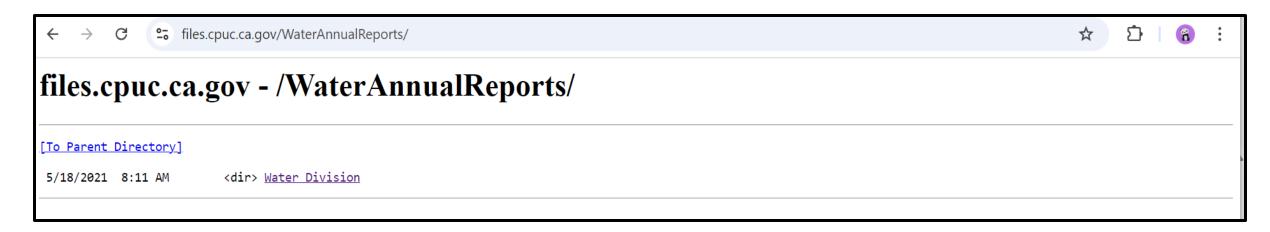
# **CPUC Website**

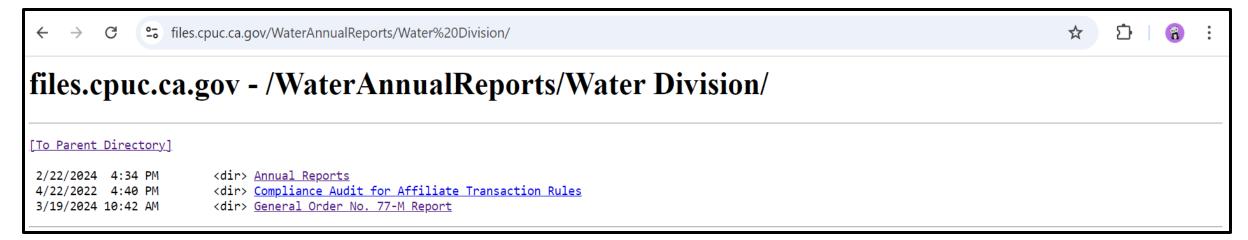


## **CPUC – Water Division Website**

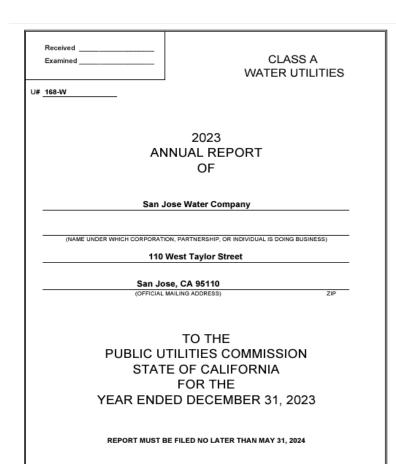


# Water Division – Annual Reports





# Water Division – Annual Reports



# SCHEDULE A-1a Account 100.1 - Utility Plant in Service (Continued) Balance Additions (Retirements)

|      |          |   | Balance          | Additions      | (Retirements)  | Other Debits | Balance          |  |
|------|----------|---|------------------|----------------|----------------|--------------|------------------|--|
| Line | lI       | Title of Account                          | Beg of Year      | During Year    | During Year    | or (Credits) |                  |  |
| No.  | Acct     | (a)                                       | (b)              | (c)            | (d)            | (e)          | (f)              |  |
| 32   | $\Box$   | VI. TRANSMISSION AND DIST. PLANT          |                  |                |                |              |                  |  |
| 33   | 341      | Structures and Improvements               | 35,421,379       | 3,877,060      | (471,341)      |              | \$ 38,827,098    |  |
| 34   | 342      | Reservoirs and Tanks                      | 164,165,535      | 22,171,503     | (525,169)      |              | \$ 185,811,869   |  |
| 35   | 343      | Transmission and Distribution Mains       | 943,811,172      | 59,102,220     | (1,427,470)    |              | \$ 1,001,485,922 |  |
| 36   | 344      | Fire Mains                                |                  |                |                |              | \$ -             |  |
| 37   | 345      | Services                                  | 298,836,289      | 25,406,300     | (59,412)       | ٠            | \$ 324,183,177   |  |
| 38   | 346      | Meters                                    | 30,867,246       | 1,248,344      | (470,628)      | ٠            | \$ 31,644,962    |  |
| 39   | 347      | Meter Installations                       | 22,760,123       | 742,616        | (6,213)        | ٠            | \$ 23,496,526    |  |
| 40   | 348      | Hydrants                                  | 103,862,400      | 9,244,445      | (282,320)      | •            | \$ 112,824,525   |  |
| 41   | 349      | Other Transmission and Distribution Plant | 1,447,211        |                |                | (937)        | \$ 1,446,274     |  |
| 42   |          | Total Transmission and Distribution Plant | \$ 1,601,171,354 | \$ 121,792,488 | \$ (3,242,552) | \$ (937)     | \$ 1,719,720,353 |  |
| 43   |          |   |                  |                |                |              |                  |  |
| 44   |          | VII. GENERAL PLANT                        |                  |                |                |              |                  |  |
| 45   | 371      | Structures and Improvements               | 43,705,464       | 4,072,538      | (590,594)      | 1,036,584    | \$ 48,223,991    |  |
| 46   | 372      | Office Furniture and Equipment            | 44,126,179       | 12,007,773     | (576,338)      | (1,036,584)  | \$ 54,521,030    |  |
| 47   | 373      | Transportation Equipment                  | 21,683,663       | 3,636,197      | (2,170,291)    |              | \$ 23,149,569    |  |
| 48   | 374      | Stores Equipment                          | 738,579          | 844,408        | (204,694)      |              | \$ 1,378,293     |  |
| 49   | 375      | Laboratory Equipment -                    |                  |                |                | \$ -         |                  |  |
| 50   | 376      | Communication Equipment                   | 12,901,177       | 9,557,810      | (343,164)      |              | \$ 22,115,824    |  |
| 51   | 377      | Power Operated Equipment                  | 3,867,766        | 1,035,887      |                |              | \$ 4,903,653     |  |
| 52   | 378      | Tools, Shop and Garage Equipment          | 2,684,846        | 520,304        | (157,199)      |              | \$ 3,047,951     |  |
| 53   | 379      | Other General Plant                       | 761,018          | 172,510        | (29,910)       |              | \$ 903,618       |  |
| 54   |          | Total General Plant                       | \$ 130,468,692   | \$ 31,847,427  | \$ (4,072,190) | \$ -         | \$ 158,243,929   |  |
| 55   |          |   |                  |                |                |              |                  |  |
| 56   |          | VIII. UNDISTRIBUTED ITEMS                 |                  |                |                |              |                  |  |
| 57   | 390      | Other - Pension NSC                       | (1,395,751)      | (12,735)       | 2,348          | 1,421        | \$ (1,404,717)   |  |
| 58   | 391      | Utility Plant Purchased                   |                  | ,,,            |                |              | \$ -             |  |
| 59   | 392      | Utility Plant Sold                        | -                |                |                |              | \$ -             |  |
| 60   | $\Box$   | Total Undistributed Items                 | \$ (1,395,751)   | \$ (12,735)    | \$ 2,348       | \$ 1,421     | \$ (1,404,717    |  |
| 61   | $\vdash$ | Total Utility Plant in Service            |                  |                | \$ (9.012,424) |              | \$ 2,289,301,681 |  |

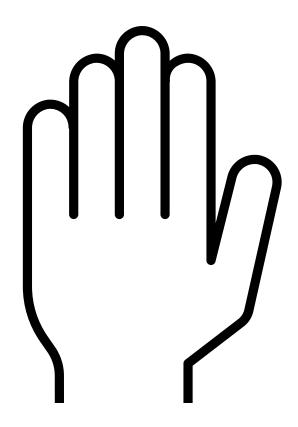
# **Audience Poll: Use of Reports**

Have you ever used a utility report to help with procurement:

- a) Yes
- b) No

Have you ever used a utility general rate case to help with procurement

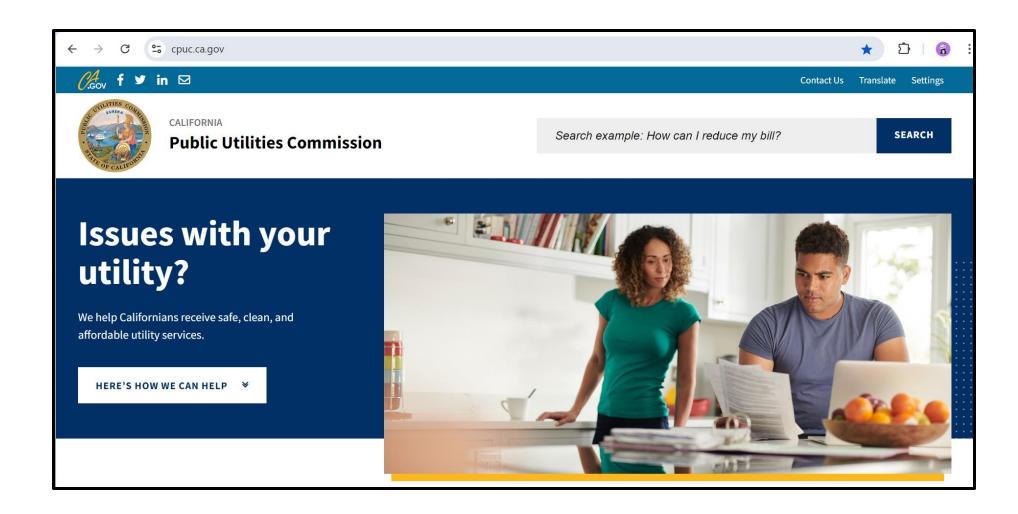
- a) Yes
- b) No



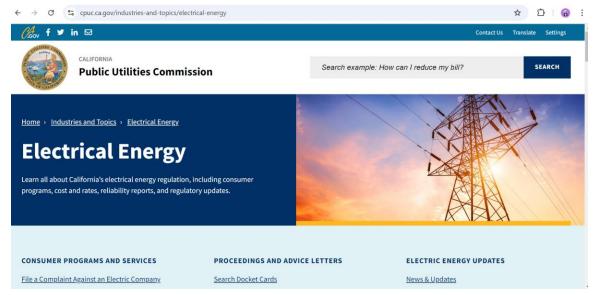
## **CPUC General Rate Case**

The General Rate Case is a process that examines the costs of operating and maintaining a utility system. The CPUC uses GRCs to review a utility's revenues and expenses, and to determine reasonable rates for customers.

# **CPUC Website**

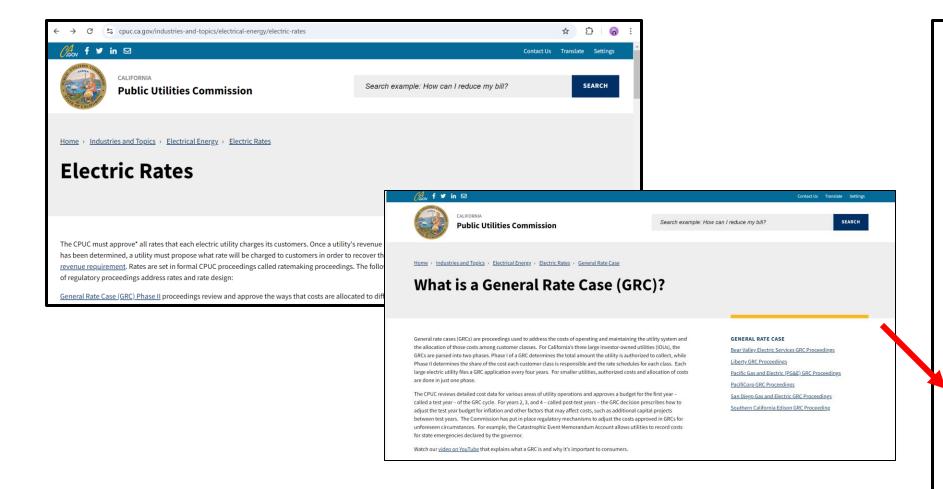


# **CPUC – Energy Division Website**





# Electric Rates/General Rate Cases



#### ELECTRIC RATES

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2016

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2017

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2018

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2019

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2020

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature May 2021

Actions-to-Limit-Utility-Cost-and-Rates-Annual-Report-tothe-Governor-and-Legislature-May-2022

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature-May 2023

Actions to Limit Utility Cost and Rates Annual Report to the Governor and Legislature-May 2024

Electric Rate Forum 2017

Energy Disconnections and Reconnections Rulemaking (R.18-07-005)

General Rate Case

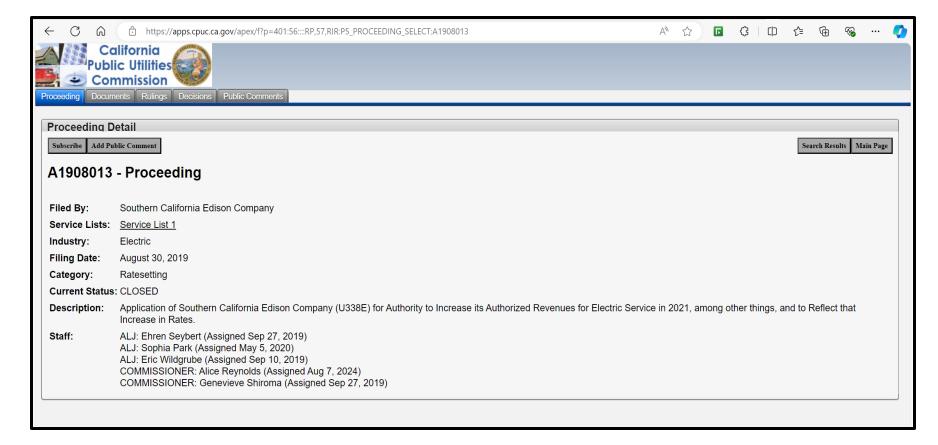
General Rate Case GRC Phase II

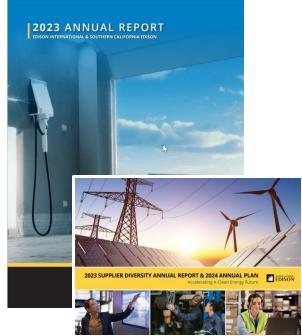
Green Tariff/Shared Renewables Program (GTSR)

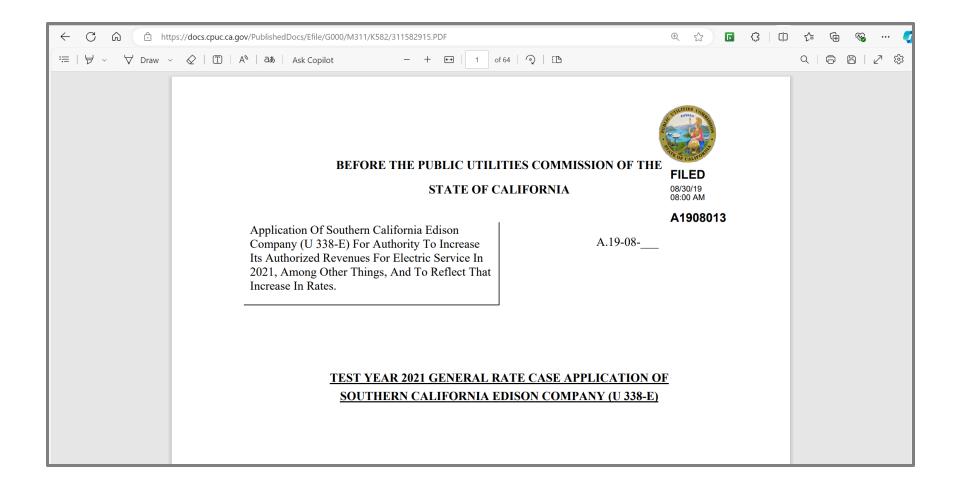
Rate Change Advisories

Residential Rate Reform / R.12-06-013

Time of Use Rulemaking R 15-12-012







#### TEST YEAR 2021 GENERAL RATE CASE APPLICATION OF SOUTHERN CALIFORNIA EDISON COMPANY (U 338-E)

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#### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Application Of Southern California Edison Company (U 338-E) For Authority To Increase Its Authorized Revenues For Electric Service In 2021, Among Other Things, And To Reflect That Increase In Rates.

A.19-08-\_\_

#### TEST YEAR 2021 GENERAL RATE CASE APPLICATION OF SOUTHERN CALIFORNIA EDISON COMPANY (U.338-E)

•

#### INTRODUCTION

Southern California Edison Company (SCE or Company) respectfully files this application for a Test Year 2021 General Rate Case (GRC). In this application, SCE asks the California Public Utilities Commission (CPUC or Commission) for an authorized base revenue requirement (ABRR) of \$7.601 billion to become effective January 1, 2021, and to reflect the ABRR in distribution, generation and new system generation rates. Including sales reductions and \$87.1 million in one-time memorandum accounts recoveries, our request represents a \$1.295 billion, or 20.1 percent, increase in 2021 over currently authorized and requested base rates. As discussed in Section II.B below, SCE also requests authorization through separate sub-tracks of this proceeding to recover 2019 and 2020 recorded expenditures currently being tracked in various wildfire-related memorandum accounts.

Table 1 summarizes SCE's requested ABRR and CPUC-jurisdictional base-rate revenue changes for Test Year 2021 and the two post-Test Years, 2022 and 2023.

affordable and increasingly clean electricity to our customers. That mission is not changing in this GRC cycle, and as the effects of the global climate crisis are being experienced in our region, SCE has provided and continues to provide the infrastructure and programs necessary to implement the State's ambitious public policy goals, including wildfire mitigation, de-carbonization of the economy through electrification, and integration of distributed energy resources across a rapidly modernizing grid.

The bulk of SCE's revenue requirement request in this GRC relates to the foundational work that SCE has always performed to maintain and improve the grid and the support functions necessary to provide our services, while continuing the investments necessary to implement the State's primary policy objective to reduce greenhouse gas (GHG) emissions. SCE is dedicated to performing these crucial functions in a manner that is affordable for customers and is fundamentally committed to spending customer dollars wisely and prudently to provide commensurate value for the important services that we provide. As the Legislature recently recognized, SCE needs to continue to do the important work and make the investments that are crucial to fostering the health of the California economy. SCE's requests in this GRC are vital to that goal.

"The increased risk of catastrophic wildfires poses an immediate threat to communities and properties throughout the state." 2

As Mr. Payne also observes in his testimony, California's residents, and the electric utilities who serve them, are confronted with the substantial challenges posed by our global climate crisis. As SCE continues to take action in the coming years to dramatically reduce GHG emissions and improve air quality, the State is faced with immediate and unprecedented safety risks from catastrophic wildfires, the magnitude of which even one rate case cycle ago was unforesceable. On March 22, 2019, Governor Newsom issued a State of Emergency proclamation because of the "conditions of extreme peril to the safety of persons and property [that] exist" related to wildfires across the state. SCE's primary and foremost mission is the safety of the public, our customers, and our workers. SCE's fundamental mission to protect public safety underlies the requests set forth throughout this application.

3

<sup>2</sup> Assembly Bill (AB) 1054, Section 1(a)(1).

SCE then divided these BPGs into more granular underlying "Business Planning Elements" (BPEs) and work activities. These more granular work activities are very similar to and consistent with how SCE has organized past rate cases. To align Commission-authorized capital and O&M funding with SCE's internal operating model, SCE's 2021 GRC showing is based on the new BPG/BPE structure. Figure 2 sets forth SCE's new testimony structure.

Figure 2 SCE's 2021 GRC Testimony Structure<sup>§</sup>

| SCE-02 Gr  | id Activities  | SCE-04 Resiliency   | SCE-06 Enterprise Support  |  |
|--|--|---|--|--|
| Distribution Grid  Inspections & Maintenance Poles Infrastructure Replacement Capital Related Expense & Other Vegetation Management Meter Activities   | System Augmentation     Engineering     Grid Modernization     Load Growth     Transmission Projects     New Service Connections     Customer Requested System   | Resiliency     Business Continuation     Emergency Management     Cybersecurity     Physical Security     Wildfire Management                               | Enterprise Support Financial Oversight & Transaction Processing Employee Benefits & Programs Employee Support Employee Support Employee Support Employee Support Employee Support Employee Support Employee Enterprise Employee Support Employee Emplo |  |
| - Grid Monitoring & Operability  - Substation - Inspections & Maintenance - Infrastructure Replacement - Capital Rel ated Expense & Other - Grid Monitoring & Operability  - Transmission Grid | Modifications - Grid Technology Assessments, Pilots and Adoption - Energy Stora general - Capital Related Expense & Coher - Customer Interactions - Billing & Payments - Communication, Education & Outreach - Communications - Communication - Contaction - Country Communication - Country Country Country - Country Country Country - | SCE-05 Generation & Energy Procurement Generation Fossil Yud Generation Hydro Solar Palo Verde Tenergy Procurement Energy Procurement Fud & Purchased Power |  |  |
| - Inspections & Maintenance - Poles - Infrastructure Replacement - Capital Related Expense & Other - Vegetation Management   |  | Non-  Distributed Energy Resources  Energy Efficiency  Demand Response  Distributed Generation*  Transportation Electrification*                            |  |  |
| Business Planning Gro     Business Planning Elemer   |  |   | Electrification and Distributed Generation<br>ided in <u>SCE-03 Customer Interactions</u>  |  |

# 2021 General Rate Case Exhibit List SCE-01 - Policy SCE-01 Vol. 01 - Policy SCE-01 Vol. 02 - Risk Informed Strategy & Business Plan SCE-02 - Grid Activities

SCE-02 Vol. 01 Pt. 1 – Distribution Infrastructure Replacement

SCE-02 Vol. 01 Pt. 2 - Distribution Inspections & Maintenance and Capital-Related

SCE-02 Vol. 01 Pt. 3 - Meter Activities

SCE-02 Vol. 02 - Transmission Grid

SCE-02 Vol. 03 - Substation

SCE-02 Vol. 04 Pt. 1 - Grid Modernization, Grid Technology, and Energy Storage

SCE-02 Vol. 04 Pt. 2 - Load Growth, Transmission Projects, and Engineering

SCE-02 Vol. 04 Pt. 3 – New Service Connections and Customer Requested System Modifications

SCE-02 Vol. 05 - Poles

SCE-02 Vol. 06 - Vegetation Management

SCE-02 Vol. 07 - Other Costs & OOR

#### SCE-03 – Customer Interactions

SCE-03 Vol. 01 - Billing & Payments

SCE-03 Vol. 02 - Communications, Education & Outreach

SCE-03 Vol. 03 - CS Re-platform

SCE-03 Vol. 04 - Customer Contacts

SCE-03 Vol. 05 - Customer Care Services

SCE-03 Vol. 06 - Other Operating Revenue, Service Fees & Service Guarantees

#### SCE-04 - Resiliency

SCE-04 Vol. 01 - Business Continuation

SCE-04 Vol. 02 - Emergency Management

SCE-04 Vol. 03 - Cybersecurity

SCE-04 Vol. 04 - Physical Security

SCE-04 Vol. 05 - Wildfire Management



| $\alpha$   |  |  |  |  |
|--|--|--|--|--|
| 2021 General Rate Case<br>Exhibit List   |  |  |  |  |
| SCE-05 - Generation and Energy Procurement   |  |  |  |  |
| SCE-05 Vol. 01 – Generation  |  |  |  |  |
| SCE-05 Vol. 02 - Energy Procurement  |  |  |  |  |
| SCE-06 - Enterprise Support  |  |  |  |  |
| SCE-06 Vol. 01 Pt. 1 – Enterprise Technology   |  |  |  |  |
| SCE-06 Vol. 01 Pt. 2 - Enterprise Technology- OU Capital Software                        |  |  |  |  |
| SCE-06 Vol. 02 – Enterprise Planning & Governance  |  |  |  |  |
| SCE-06 Vol. 03 Pt. 1 – Employee Benefits, Training & Support                             |  |  |  |  |
| SCE-06 Vol. 03 Pt. 2 – Total Compensation Study  |  |  |  |  |
| SCE-06 Vol. 04 - Environmental Services, Audit, Ethics & Compliance, and Safety Programs |  |  |  |  |
| SCE-06 Vol. 05 – Enterprise Operations   |  |  |  |  |
| SCE-06 Vol. 06 - Policy, External Engagement and Ratemaking                              |  |  |  |  |
| SCE-07 – Results of Operations   |  |  |  |  |
| SCE-07 Vol. 01 – Results of Operations <sup>2</sup>                                      |  |  |  |  |
| SCE-07 Vol. 02 - Rate Base, Depreciation Expense, and Taxes                              |  |  |  |  |
| SCE-07 Vol. 03 – SCE Asset Depreciation Study  |  |  |  |  |
| SCE-07 Vol. 04 – Affordability, Post-Test Year Ratemaking                                |  |  |  |  |
| SCE-08 - Compliance Requirements   |  |  |  |  |
| SCE-09 – Accessibility Issues  |  |  |  |  |
| SCE-10 – Witness Qualifications  |  |  |  |  |

#### D. Summary of Application

This Application is structured as follows: In Chapter II.A, SCE first describes the various recent, current, or future CPUC regulatory proceedings that influence and interact with this GRC. Chapter II.B describes SCE's proposal to review certain wildfire mitigation-related costs set forth in this GRC on a separate schedule (or "track"). Chapter II.C sets forth SCE's procedural proposal to potentially update its wildfire mitigation and infrastructure replacement forecasts during the pendency of the proceeding.

<sup>§</sup> For a more detailed depiction of these exhibits and their Business Planning elements, see Appendix E.

This volume includes GRC balancing account and memorandum account proposals, and other items.



| GRC Exhibit                 | GRC<br>Vol. | Volume Title                                    | Business Plan Element<br>(BPE)   |  |
|-----------------------------|-------------|---|--|--|
|                             | VOI.        |   | Infrastructure Replacement   |  |
|                             | 1           | Distribution Grid                               | Inspections & Maintenance, Capital Related Expense & Other   |  |
|                             |             | Distribution Grid                               | Meter Activities   |  |
|                             |             |   | Inspections & Maintenance  |  |
|                             | 2           | Transmission                                    | Capital Related Expense & Other  |  |
|                             |             |   | Grid Monitoring & Operability  |  |
|                             |             |   | Infrastructure Replacement   |  |
|                             | 3           | Substation                                      | Inspections & Maintenance  |  |
|                             |             |   | Capital Related Expense & Other  |  |
|                             |             |   | Grid Modernization   |  |
|                             |             |   | Grid Technology Assessments, Pilots & Adoption   |  |
| SCE-2 Grid Activities       |             |   | Energy Storage   |  |
|                             |             |   | Load Growth  |  |
|                             | 4           | System Augmentation                             | Transmission Projects  |  |
|                             |             |   | Engineering  |  |
|                             |             |   | New Service Connections  |  |
|                             |             |   | Customer Requested System Modifications  |  |
|                             | 5           | Poles   | Poles  |  |
|                             | 5           | Poles   | Vegetation Management  |  |
|                             | 6           | Vegetation Management                           | Wildfire Management  |  |
|                             |             |   | Capital Related Expense & Other  |  |
|                             | 7           | Other Costs & Other Operating Revenue           |  |  |
|                             | 1           | Billing & Payments                              | Other Operating Revenue Billing & Payments   |  |
|                             |             | Communications. Education & Outreach            |  |  |
|                             | 2           |   | Communications, Education & Outreach CS Replatform   |  |
|                             | 3           | CS Replatform                                   | The second secon |  |
| SCE-3 Customer Interactions | 4           | Customer Contacts                               | Customer Contacts Customer Care Services   |  |
|                             | _           | Customer Care Services                          |  |  |
|                             | 5           |   | Distributed Generation   |  |
|                             |             |   | Transportation Electrification   |  |
|                             | 6           | Other Operating Revenue, Service Fees & Service | Other Operating Revenue  |  |
|                             | 1           | Business Continuation                           | Business Continuation  |  |
|                             | 2           | Emergency Management                            | Emergency Management   |  |
| SCE-4 Resiliency            | 3           | Cybersecurity                                   | Cybersecurity  |  |
|                             | 4           | Physical Security                               | Physical Security  |  |
|                             | 5           | Wildfire Management                             | Wildfire Management  |  |

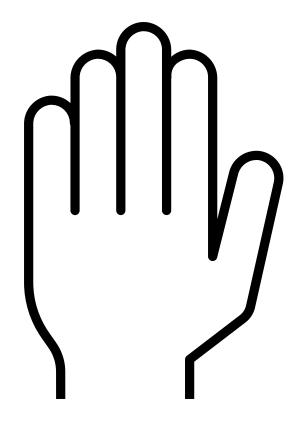
| GRC Exhibit               | GRC<br>Vol. | Volume Title   | Business Plan Element<br>(BPE)                 |  |
|---------------------------|-------------|--|--|--|
|                           | 1           | Generation   | Fossil Fuel Generation                         |  |
| SCE-5 Generation & Energy |             |  | Hydro  |  |
| Procurement               |             |  | Solar  |  |
| Procurement               |             |  | Palo Verde                                     |  |
|                           | 2           | Energy Procurement   | Energy Resource Management                     |  |
|                           | 1           | Enterprise Technology  | Enterprise Technology                          |  |
|                           |             | Enterprise Planning & Governance   | Financial Oversight & Transactional Processing |  |
|                           |             |  | Insurance                                      |  |
|                           |             |  | Legal  |  |
|                           |             |  | Business & Financial Planning                  |  |
|                           |             |  | Supply Chain Management                        |  |
|                           |             | Employee Benefits, Training & Support                                      | Employee Benefits & Programs                   |  |
| SCE-6 Enterprise Support  |             |  | Employee Support                               |  |
| SCE-6 Enterprise Support  |             |  | Employee Training & Development                |  |
|                           |             | Environmental Services, Audit, Ethics & Compliance, and<br>Safety Programs | Environmental Services                         |  |
|                           |             |  | Audit, Ethics & Compliance                     |  |
|                           |             |  | Safety Programs                                |  |
|                           | 5           | Enterprise Operations  | Facility & Land Operations                     |  |
|                           |             |  | Transportation Services                        |  |
|                           |             | 0.5.05.15  | Policy & External Engagement                   |  |
|                           | 6           | Policy & External Engagement   | Pricing & Ratemaking                           |  |

E-1

# Audience Poll: Business Development

# What tools do you use to gather intelligence about potential clients?

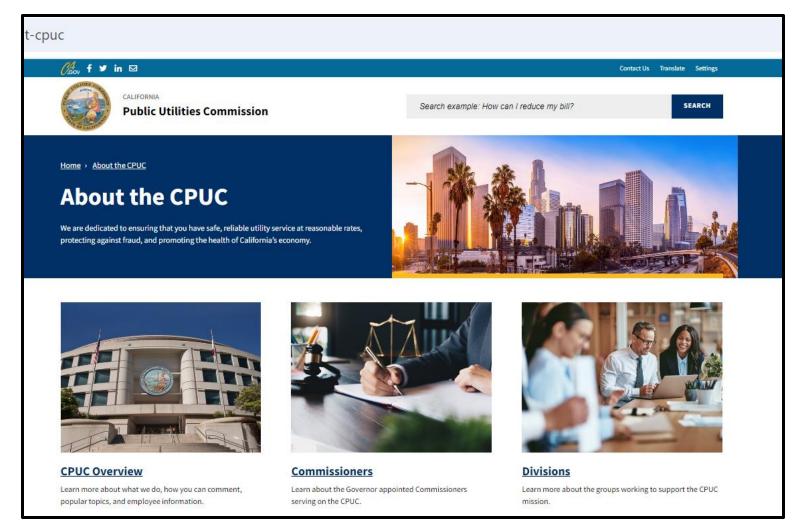
- a) Social media
- b) Industry reports
- c) Word of mouth
- d) Other



# Supplier Diversity Annual Report

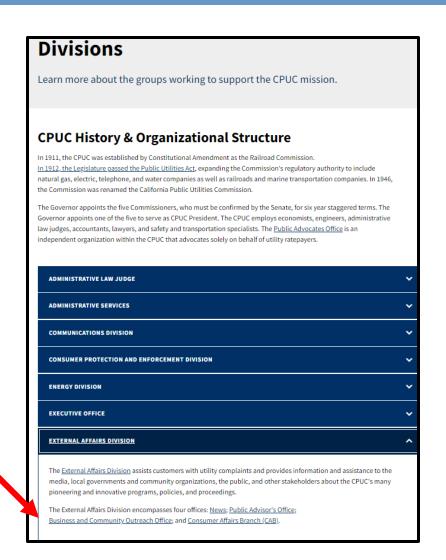
Utilities are required to submit an Annual Report to the CPUC by March 1 of each year. The report provides details on the utilities' programs created to comply with the Supplier Diversity Program

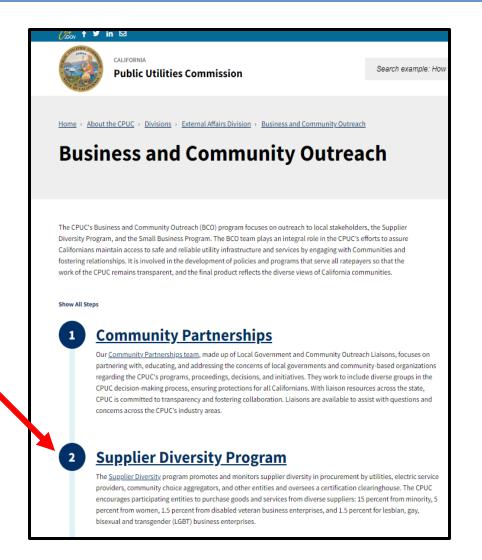
# **CPUC Website**



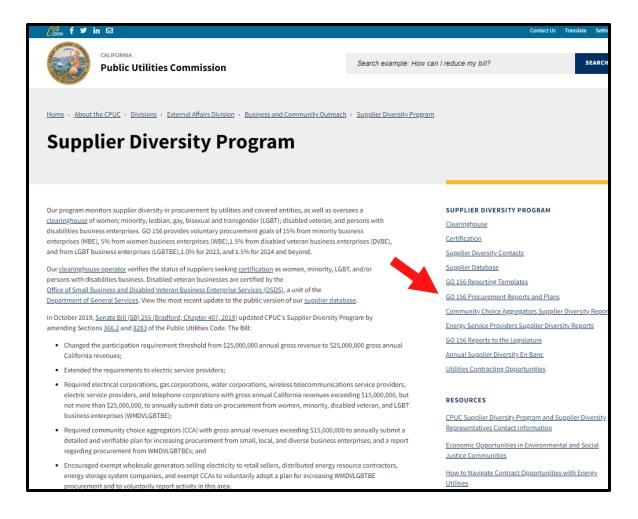
https://www.cpuc.ca.gov/about-cpuc

## **CPUC – External Affairs Division**





# **Supplier Diversity Program**





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|   |

Throughout 2023, Southwest Gas persisted in fortifying relationships with our partner organizations and chambers of commerce that align with the vision of cultivating diverse businesses, fostering economic opportunities, and enhancing supplier diversity. Southwest Gas actively engaged in and/or sponsored the following outreach activities:



Manager, Diversity Programs, Telma Lopez, and Supplier Diversity Manager, Karmin Bailey, participate at the Veterans in Business Conference.

May 25 – National Utility Diversity Council (NUDC) Conference

June 1 - BuildOut California Founders Day

June 28 - WRMSDC Program Managers Meeting

July 16-18 – American Indian Chamber of Commerce Expo

July 19 - NUDC Virtual Panel Discussion

July 26 – Women's Business Enterprise Council (WBEC) West Unconventional Women's Conference

August 16-18 – National LGBT Chamber of Commerce (NGLCC) Conference

August 18-19 – California Hispanic Chamber of Commerce Conference

August 25 – WRMSDC Multi-Industry Supplier Diversity Expo

September 27 – California Public Utilities Commission (CPUC) Small & Diverse Business Expo

#### External Activities

January 26 – American Indian Chamber of Commerce Panel

April 30 - Diversity Professional Women of Excellence

May 11 – Western Regional Minority Supplier Development Council (WRMSDC) Excellence in Supplier Diversity Awards

May 15 – National Association of Women Business Owners (NAWBO) California Propel Conference



Supplier Diversity Manager, Karmin Bailey, participates in the WRMSDC Multi-Industry Supplier Diversity Expo.

#### **External Activities**

October 19 - High Desert Opportunity Summit

November 13-14 – Veterans In Business (VIB) Conference

December 1 – American Indian Chamber of Commerce (AICC) Native American Heritage Month Luncheon

December 7 - Energy Career Pathways Summit



Supplier Diversity Manager, Karmin Bailey, attends American Indian Chamber of Commerce Native American Heritage Month Luncheon.

|  |          | African An | nerican  |             | Asian Pacific Ar | merican | Hispanic A | merican      | Native Ar   | merican    |      | Minority<br>Business | Wor<br>Busi<br>Enter | ness           | Lesbian, Gay,<br>Bisexual,<br>Transgender<br>Business<br>Enterprise | Disabled Veteran<br>Business | Persons with<br>Disabilities<br>Business<br>Enterprise | Other | Total Supplier     | Total         |
|--|----------|------------|----------|-------------|------------------|---------|------------|--------------|-------------|------------|------|----------------------|----------------------|----------------|---|------------------------------|--|-------|--------------------|---------------|
| SIC Category   |          | Male       | Female   | e           | Male             | Female  | Male       | Female       | Male        | Female     | Ent  | terprise (MBE)       | (W                   |                | (LGBTBE)  | Enterprise (DVBE)            | (PDBE)   | 8(a)* | Diversity Spend    | Procurement   |
|  | \$ \$    |            | \$       | - \$        |                  |         |            |              | +           |            | \$   |                      | \$                   | ,              | \$ -  |                              |  | v     | \$ 153,852         |               |
| 07 - Agricultural Services   | %        | 0.0%       | C        | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.0%                 |                      | 0.1%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 0.1%               | 0.1%          |
| 15 - Building Construction General   | \$ \$    | -          | \$ 189,3 | 161 \$      | - \$             | - 5     | -          | \$ -         | \$ -        | \$ -       | \$   | 189,161              | \$                   | -              | \$ -  | \$ -                         | \$ -   | \$ -  | \$ 189,161         | \$ 189,161    |
| Contractors and Operative Builders   | %        | 0.0%       | 0        | ).2%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.2%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 0.2%               | 0.2%          |
| ,  | 5 5      |            | \$       | - 5         | - \$             | - 5     |            | \$ -         |             | \$ -       | s    | -                    | S                    | 21,863         |   | \$ 34,295,916                | \$ -   | \$ -  | \$ 34,317,778      |               |
| 16 - Underground Utility Contractor  | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.0%                 |                      | 0.0%           | 0.0%  | 33.1%                        | 0.0%   | 0.0%  | 33.2%              |               |
|  | \$ \$    | 3,466,779  | \$       | - \$        | - \$             | - 5     | 47,152     | \$ -         | \$ -        | \$ -       | \$   | 3,513,931            | \$ 9                 | 977,636        | \$ -  | \$ -                         | \$ -   | \$ -  | \$ 4,491,567       | \$ 4,491,567  |
| 17 - Special Trade Contractor  | %        | 3.3%       | 0        | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 3.4%                 |                      | 0.9%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 4.3%               | 4.3%          |
|  | \$ \$    | -          | \$       | - \$        | - \$             | 2,637   | -          | \$ -         | \$ -        | \$ -       | \$   | 2,637                | \$                   | 3,512          | \$ -  | \$ -                         | \$ -   | \$ -  | \$ 6,149           | \$ 6,149      |
| 23 - Apparel & Other Finished Products   | %        | 0.0%       | (        | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.0%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 0.0%               | 0.0%          |
|  | \$ \$    | -          | \$       | - \$        | - \$             | - 5     | -          | \$ -         | \$ -        | \$ -       | \$   | -                    | \$                   | 344            | \$ -  | \$ -                         | \$ -   | \$ -  | \$ 344             | \$ 344        |
| 26 - Paper and Allied Products   | %        | 0.0%       | 0        | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.0%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 0.0%               | 0.0%          |
| 30 - Rubber and Miscellaneous Plastics   | \$       |            | \$       | - \$        | - \$             | - 5     | -          | \$ -         | \$ -        | \$ -       | \$   | -                    | \$                   | -              | \$ -  | \$ -                         | \$ 36,300  | \$ -  | \$ 36,300          | \$ 36,300     |
| Products   | %        | 0.0%       | C        | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.0%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  |                    |               |
|  | \$ \$    |            |          | - \$        |                  |         |            |              | \$ -        | •          | \$   | -                    | \$                   | 4,540          | \$ -  | •                            | •  | \$ -  |                    |               |
| 33 - Primary Metal Industries  | %        | 0.0%       |          | ).0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | -    | 0.0%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   |       | 0.0%               |               |
|  | \$ \$    | -          | \$       | - \$        | •                | ,       |            |              | •           | \$ -       | ~    |                      | \$                   | 313,412        | *   | \$ -                         | •  | •     | \$ 313,412         |               |
| 34 - Fabricated Metal Products   | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       |      | 0.0%                 |                      | 0.3%           | 0.0%  | 0.0%                         | 0.0%   |       |                    |               |
|  | \$       |            | \$       | - \$        |                  |         | 1,185      |              |             | •          | \$   | 1,185                | \$                   |                | •   | \$ -                         | •  |       | \$ 1,185           |               |
| 35 - Industrial and Commercial Machinery   |          | 0.0%       |          | ).0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | -    | 0.0%                 | _                    | 0.0%           | 0.0%  | 0.0%                         | 0.0%   |       |                    |               |
|  | \$ \$    |            | \$       | - \$        |                  |         |            |              | \$ -        |            | \$   | -                    | \$                   | 63,427         |   | •                            | -  | \$ -  | ,                  |               |
| 37 -Transportation Equipment   | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       |      | 0.0%                 | •                    | 0.1%           | 0.0%  | 0.0%                         | 0.0%   |       |                    |               |
| 38 - Instruments and Related Products  | \$ \$    | 0.0%       |          | - \$        |                  | 0.0%    | •          |              | \$ -        | 0.0%       | , \$ | 0.0%                 | \$                   | 35,034<br>0.0% | \$ -  | *                            | *  | \$ -  | +,                 |               |
| 38 - Instruments and Related Products  | %<br>S S |            | Ś        | ).0%<br>- S | 0.0%<br>- \$     |         | 0.0%       | 0.0%<br>\$ - | 0.0%<br>S - |            | Ś    | 374,803              | _                    | 0.0%           | 0.0%<br>\$ 119,363  | 0.0%<br>\$ -                 | 0.0%   |       | 0.0%<br>\$ 494,166 |               |
| 47 - Transportation Services   | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.4%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | ~    | 0.4%                 | ٥                    | 0.0%           | 0.1%  | 0.0%                         | 0.0%   |       |                    |               |
| 47 - Hansportation services  | 5 5      | 10,544     | S        | - Ś         |                  |         | 0.0%       |              |             | \$ 388,415 |      | 1,948,203            | ٠,                   | 442,964        | \$ -  |                              |  |       | \$ 2,391,167       |               |
| 50 - Wholesale Trade Durable Goods   | %        | 0.01%      | *        | 00%         | 1,544,525 \$     | 0.00%   | 0.00%      | 0.00%        | 0.00%       | 0.38%      |      | 1.88%                | , .                  | 0.43%          | 0.00%   | 0.00%                        | 0.00%  |       | 2.31%              |               |
| So Wholesale Hade Balable Goods  | 5 5      |            | \$       | - S         |                  |         |            |              | \$ -        |            | 5    | 418,781              | <                    | 5,256          |   |                              |  | \$ -  |                    |               |
| 51 - Wholesale Trade NonDurable Goods  | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.4%         | 0.0%        | 0.0%       | ~    | 0.4%                 | -                    | 0.0%           | 0.0%  | 0.0%                         | 0.0%   | *     |                    |               |
| The state of the s | 5 5      |            | S        | - S         |                  |         |            |              |             |            | S    | -                    | S                    | 29,312         |   |                              |  | \$ -  |                    |               |
| 52 - Building Materials  | %        | 0.0%       | -        | ).0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       |      | 0.0%                 |                      | 0.0%           | 0.0%  | 0.0%                         | 0.0%   |       | 0.0%               |               |
|  | 5 5      |            |          | 993 \$      |                  |         |            |              |             |            | s    |                      | \$ 2.0               | 092,642        |   |                              | \$ 4,994,079   |       | \$ 7,150,782       |               |
| 73 - Business Services   | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.0%       | 0.0%         | 0.0%        | 0.0%       | 6    | 0.1%                 |                      | 2.0%           | 0.0%  | 0.0%                         | 4.8%   |       |                    |               |
|  | \$ \$    | -          |          | - \$        |                  | - 5     | 59,507     |              |             | \$ -       | \$   | 753,165              | \$                   | 106,120        | \$ -  |                              | \$ -   | \$ -  |                    |               |
| 87 - Engineering & Management Services   | %        | 0.0%       |          | 0.0%        | 0.0%             | 0.0%    | 0.1%       | 0.0%         | 0.7%        | 0.0%       | 6    | 0.7%                 |                      | 0.1%           | 0.0%  | 0.0%                         | 0.0%   | 0.0%  | 0.9%               |               |
| TOTAL  | \$ \$    | 3,477,323  | \$ 217,  | 154 \$      | 1,548,929 \$     | 377,440 | 147,594    | \$ 415,100   | \$ 693,973  | \$ 388,415 | \$   | 7,265,928            | \$ 4,                | 249,914        | \$ 119,363  | \$ 34,346,926                | \$ 5,030,379   | \$ -  | \$ 51,012,509      | \$ 51,012,509 |
| IUIAL  | %        | 3.4%       | C        | .2%         | 1.5%             | 0.4%    | 0.1%       | 0.4%         | 0.7%        | 0.4%       | 6    | 7.0%                 |                      | 4.1%           | 0.1%  | 33.2%                        | 4.9%   | 0.0%  | 49.3%              |               |

#### RENEWABLE ENERGY/ENERGY EFFICIENCY PROJECTS

Southwest Gas is playing a vital role in the transition to renewable energy and is committed to offering energy solutions to our customers throughout our service territory.

Renewable Natural Gas (RNG) – In Victorville, California, Southwest Gas continued to partner with Victor Valley Wastewater Reclamation Authority (VVWRA) a biomethane project commissioned in 2022, with research ongoing for RNG production expansion into the system.

**Hydrogen** - Southwest Gas proposed and submitted to the Commission for approval (Application 22-09-006) a Hydrogen blending pilot project in Truckee, California. The Application is currently pending before the Commission.

Compressed Natural Gas (CNG) – In Victorville, California, Southwest Gas continued to partner with Burrtec Waste Industries to increase their use of CNG. Additional Southwest facilities are needed to double the size of their CNG fleet and the new facilities will be commissioned in 2024.



Burrtec Waste Industries Truck in Victorville, CA partnering with Southwest Gas to increase use of CNG.

Natural Gas Service to the National Army Training Center (NTC) at Fort Irwin, California.

Extending service to the NTC will increase energy independence, security, reliability and resilience through the installation of the natural gas pipeline together with site-wide energy efficient infrastructure improvements, including non-tariff Energy Conservation Measures (ECMs). Southwest Gas' application is currently pending approval by the Commission.

# Q & A

Scan for a link to this presentation





# California Public Utilities Commission Small and Diverse Business Expo

Supplier Diversity
Representatives
Contact Information





## Thank you to our meal sponsors



























# California Public Utilities Commission Small and Diverse Business Expo

Supplier Diversity
Representatives
Contact Information





## **Funding Your Contracts**

Karla Gonzalez

**Assistant Director** 

Inland Empire Small Business Center Clarisa Garcia

Business Development Specialist

Lendistry

Marjhey Johnson

Business Development Specialist

Riviera Finance





## **Contractor Financing**

CONFIDENTIAL INFORMATION - DO NOT DISTRIBUTE

October 9, 2024

Clarisa Garcia, Business Development Specialist II

#### Executive Summary



#### **Our Mission**

## Lendistry provides economic opportunities and progressive growth for small business owners and their underserved communities as a source of financing and financial education.

One of America's Largest Minority-Led<sup>(1)</sup> Small Business Lenders

- ✓ Well-established as the nation's preeminent African American led Community Development Financial Institution (CDFI)
- ✓ Funded ~\$10 billion in loan and grants to 630k small businesses since inception in 2015, supporting ~2 million jobs across the US
- ✓ Educated over 8,000 minority and 6,000 women-owned businesses<sup>(1)</sup>

Government Designations and Memberships Fueling Platform Scarcity Value

- ✓ Nation's only African-American Small Business Lending Company (SBLC)(2)
- ✓ US Treasury certified CDFI & CDE, member of FHLB San Francisco
- ✓ Designations provide low-cost funding access and loan credit enhancements through state/government guarantees, and fortify business relationships with corporations, states and federal agencies

Proprietary Technology Enabling REAL Social Change at SCALE, Responsibly

- ✓ Processed over 200k PPP loans to small businesses nationally during COVID Pandemic, #8 PPP lender in US in 2021<sup>(3)</sup>
- ✓ Tech capabilities driving platform efficiency, scalability and customization of products/programs
- ✓ Real-time production and credit monitoring strengthening platform's execution and risk management

Government and Corporate Partners

- ✓ Community development relationships and tech platform making Lendistry a reliable partner to states in deploying billions of dollars in government grants efficiently
- ✓ Administered and deployed over \$5 billion in state grant programs, with a growing pipeline
- ✓ Established relationships with over 1,200 strategic network participants, each with thousands of small business members

Systemically Underserved, Vast Small Business Market Driving Platform Growth

- ✓ 32 million small businesses in the US; demand for financing outpacing supply<sup>(4)</sup>
- ✓ Minority-run borrowers make up majority of new business formations, minorities feeling supply squeeze the most<sup>(4)</sup>
- ✓ Underbanked market largely driven by non-economic factors caused by disjointed regulation and fragmented market access<sup>(4)</sup>

California Public Utilities Commission

#### A Strong Foundation to Build On



Smaller contractors are often at a disadvantage when competing for bids on large projects, from procurement to invoicing to having the infrastructure to meet deadlines. Build your project on our foundation, and we can truly make a difference for you and them.

Lendistry's proprietary platform gives small businesses access to mobilization capital so they can successfully fulfill a contract.

- Pre-approval up to 30% of contract value.
- Confidence the capital will be available once the contract is won.
- Minimum reserve maintained in Lendistry Custodial account.



#### **Streamlined Process:**

Contractors apply online and get pre-qualified, submit their bid application, and then complete their document collection once approved and reviewed with us.



#### **Direct Funding:**

Once the agreement is executed, we set up a custodial bank account for the contractor and fund them for the project.



#### **Diversity Focus:**

We'll help you employ minority and womenowned firms or any small business that has experienced barriers in the construction industry due to complicated processes.



#### **Education:**

Through a variety of customized programs, we can elevate smaller contractors to be more competitive for future bids together.

# What are the benefits of Contractor and Purchase Order Financing?

- While not all contracts will qualify, we have the ability to look at service-based, PO, and construction-based contracts.
- We leverage the contract as our main source of collateral to lend 20-30% of the contract value with a minimum of \$150K and a max of \$1.5MM. The term will be 6 months – 2 years depending on the verifiable contract length as well.
- It is structured as a NRLOC so it can be drawn down on with multiple disbursements or a single disbursement once funded. 12-month term all at once or 2 years for multiple.
- The great part about this program is **Lendistry will not take any payments until you start getting paid.** If that's 30, 60, 90 days that's totally fine.
- We will establish a sweep account in your company's name so when the payments on the contract begins, they will funnel to that account first, Lendistry takes its portion of the payment, and we remit the remainder back to you within 24 hours.
- A 6-month interest reserve will also be established which will be a part of the first payment Lendistry will take. That reserve will remain full for the life of the loan. The principle and interest payment will continue to be deducted per contract deposits. Interest accrues over the time that there are no payments so when a payment from the contract is deposited, Lendistry will pull its portion of the payment for the principle and the accrued interest, then send the remainder of that deposit back to you. At the end of your loan, the interest reserve will be sent back to you.
- Rates are based on WSJP plus 4-6% while it is risk-based, we are not always focusing on the history of the
  business. We are focusing on underwriting the contract itself and the projections we will ask for as part of the
  required documents. For us, that contract means it's not a matter of IF we are going to get paid, but rather, just
  WHEN.

## How is Lendistry's Contract/ PO Financing different

| Feature              | Lendistry CF  | Credit Cards                                       | Merchant Cash<br>Advances (MCAs)   | Factoring  |  |  |
|----------------------|---|--|--|--|--|--|
| Amount<br>Accessible | \$150k-\$2m, 20-<br>30% of contract<br>value  | Up to credit limit<br>(typically lower<br>amounts) | Varies, but often<br>smaller than<br>Lendistry CF  | Up to 80% of invoice value   |  |  |
| Fees and Interest    | Accrued interest on outstanding balance + fees per draw. Payments only required when contract deposits begin. | High interest rates, required monthly payments     | High interest<br>rates, flat fee on<br>invoice + per<br>transaction fee,<br>large daily/weekly<br>payments | Flat fee on invoice<br>+ potential<br>recourse fees,<br>based on<br>cumulative<br>receivables. |  |  |
| Pledge               | Contract by contract  | N/A  | N/A  | All receivables  |  |  |
| Underwriting         | High  | Low  | Moderate   | Moderate   |  |  |
| Term                 | 1-2 years   | Open Line of<br>Credit                             | Month to month (3-12 months)   | Varies depending on agreement  |  |  |
| Perspective          | Future based<br>(based on<br>projected<br>contracts)  | Historical (based on creditworthiness)             | Historical (based on past sales)   | Historical (based on outstanding invoices)   |  |  |

# **Eligibility Requirements**

- 1. 640+ FICO for all owners 20% or more
- 2. Minimum of 2 years TIB with supporting tax returns (1-year TIB considered on a case-by-case basis.)
- 3. 1-2 years month by month professional projections with supporting assumptionsmust be professionally prepared.
- 4. Executed contract
- Contract length should be 6 months or longer and value should be a minimum of \$500,000 or higher for construction and \$750,000 or higher PO/ service-based contracts.
- 6. Contracts should be for a set period and not perpetual.
- 7. Contract repayment should be either direct deposit or wire no checks at this time.



### **Contact**

#### **Clarisa Garcia**

Business Development Specialist II 714-784-5865 clarisa.garcia@lendistry.com



Invoice Factoring

Non-Recourse

Online Access

25 Locations



# **Invoice Factoring**

Marjhey Johnson Santa Fe Springs, CA 800-533-9472



For more than 50 Years, Riviera Finance has been nationally recognized as a leader in business financing by providing full-service, non-recourse invoice factoring to new and growing companies.

#### What we do?

Factoring: the purchase of accounts receivable for immediate cash.

#### More Than 25 Office Locations

Find Location Near You >>>

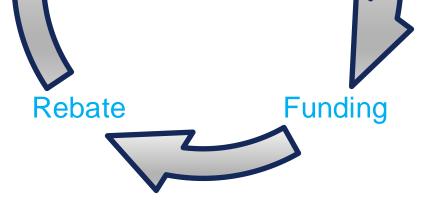




Need

An Invoice is Generated but Won't be Paid for 30 to 90 Days

When We Get Paid, We Send the Rest Back Less Our Fee Riviera Buys Your Invoice and Runs a Credit Check on Verification



Once Credit is Approved, Rivera Funds Up to 95 % in 24 Hours



Invoice Factoring | Non-Recourse | Online Access | 25 Locations

## **Benefits**





#### **More Benefits**



Immediate Cash - Up to 95% Cash for Your Freight Bills Within 24 Hours



Quick Credit Checks - Receive Credit Checks on Your Customers



Low Risk - Competitive Rates and No Hidden Fees



Financial Freedom - No Debt is Created



Bad Debt Protection - Credit Guarantees on the Invoices We Factor

## How Do I Get Set Up?





## **Pricing**

## **Up to 95%**

Advance rate on Factored Invoices

**Funding Made Easy** 

- Cash for Invoices Within 24 Hours of Delivery
- Roam (Invoice Builder)
- Experienced Account Management Team

## From 2.0%

Variable Fee Per Days to Pay

**List of Services** 



- Fast Credit (credit check shippers from anywhere)
- Free Fuel Card w/ Avg 41 ¢
   Savings per Gallon
- Non Recourse (let us take the risk for you)

0

Hidden Fees at Riviera Finance

Common fees



- No Monthly Minimums
- No Monthly Requirements
- No Startup Fees
- No Same Day Funding Fee
- No Non-Factored Fee

Invoice Factoring

Non-Recourse

Online Access

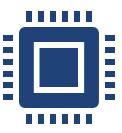
25 Locations

#### **Industries Served**





Manufacturing





Technology



Staffing



Security

Oil & Gas



Maintenance





## Online Account Management



# Your Personalized Online Account Manager

- Build Invoices
- Manage Reports
- Schedule Submissions
- Check Client Credit





Scan QR Code or Click Here



FastCredit is one of the first tools in the Factoring Industry that offers an instant, automated credit approval.

**Start Today With a 15 Day Free Trial!** 





Scan QR Code or Click Here





#### Macon, GA

A concrete construction company billing General Contractors for labor upon completion was being paid 5-6 weeks after invoicing. This made it difficult for this business owner to cover payroll and other expenses.

Riviera was able to offer a \$250,000 factoring line to help this business get paid quickly and take on more jobs.



#### Houston, TX

A mechanical service provider to the oil & gas industry was looking for non-recourse factoring to improve cash flow and reduce risk of bad debt. Another Riviera client recommended us.

Our Houston team was able to approve the single-debtor credit and set up a \$200,000 non-recourse factoring line.



#### Salt Lake City, UT

- A subcontractor laying Google fiber in the city was invoicing on a weekly basis for finished jobs, but with the General Contractor paying Net 30, they were getting tight on cash.
- Less than three days after finding Riviera, they received their first funding. They can now continue to complete their contract on-time without cash flow holding them back.



#### Seattle, WA

- A cleaning business billing apartment management companies was having an issue with unpredictable payment dates.
- Riviera's factoring services are not only able to assure them payment immediately at time of invoicing, but also handle all collections from their customers.



# Riviera Finance We'll Get You There!

Still Have Questions?

Marjhey Johnson



## Thank you to our meal sponsors



























# California Public Utilities Commission Small and Diverse Business Expo

Supplier Diversity
Representatives
Contact Information





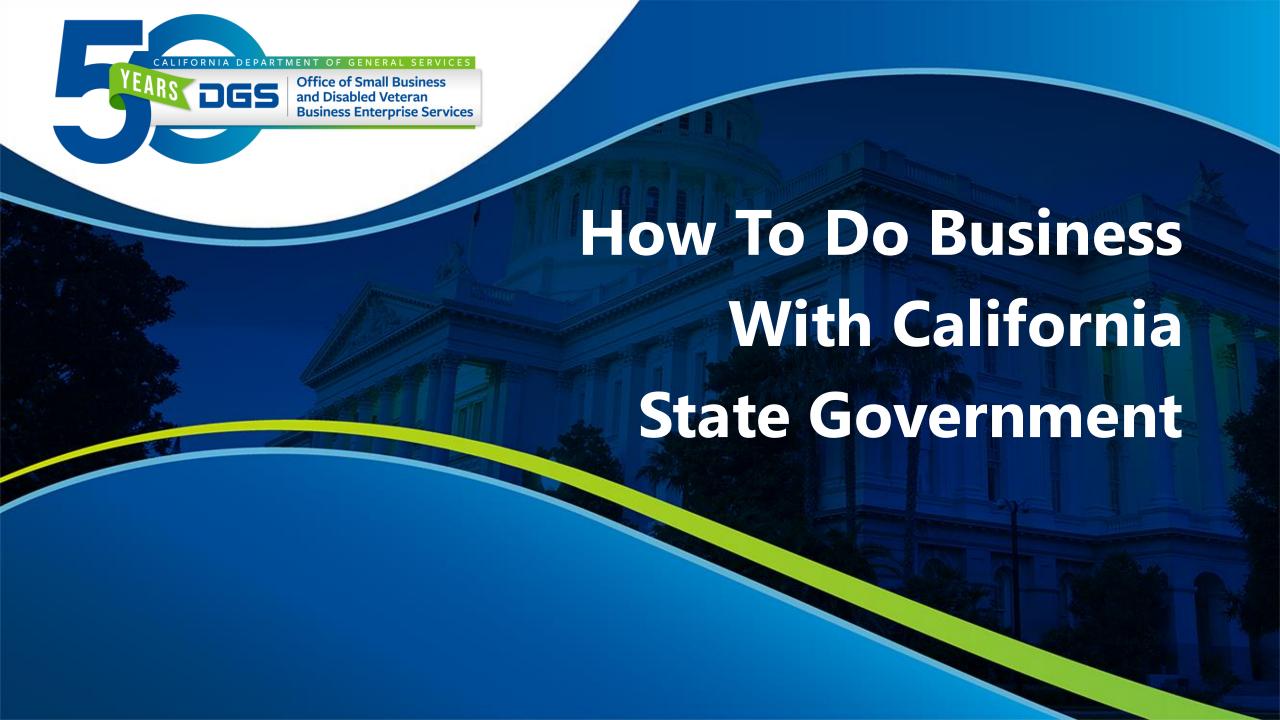
# Doing Business with the State of California Workshop

Josh

**Kress** 

Business Outreach Liaison

Department of General Services (DGS)





## **Overview**

- ◆ Introduction to SB/DVBE Program
- Certification Benefits
- Certification Eligibility
- ◆ Cal eProcure
- ◆ SB/DVBE Emergency Registry
- Commercially Useful Function

Jan Landitt

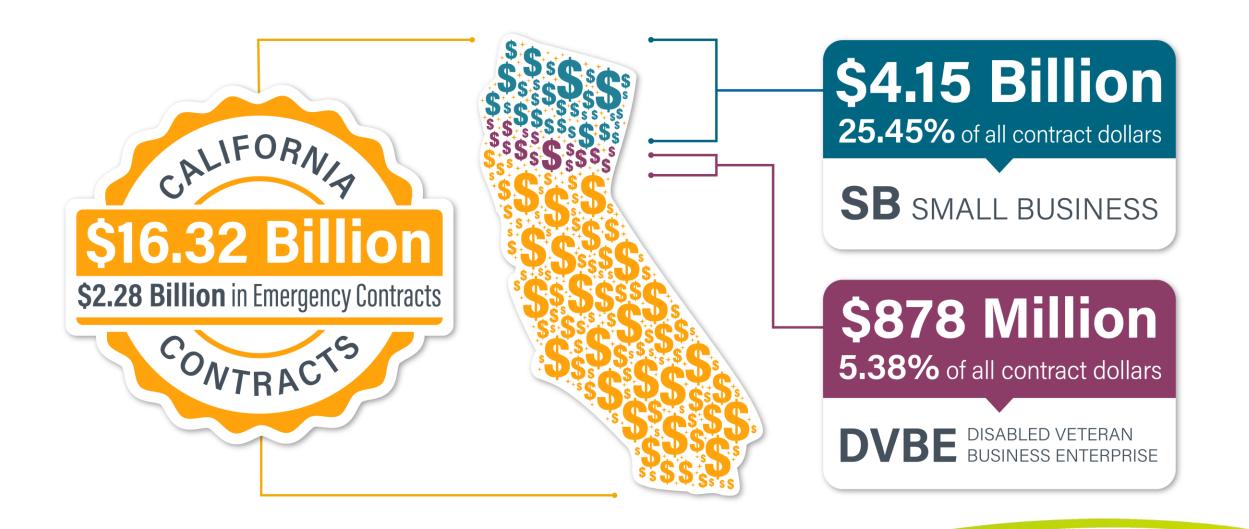


### STATEWIDE PARTICIPATION GOAL

**Small Business** 

**Disabled Veteran Business Enterprise** 

(SB) 25% (DVBE) 3%



2022-23 Fiscal Year



### **State Certifications**

- ◆ Small Business (SB)
- Small Business for the Purpose of Public Works (SB-PW)
- Disabled Veteran Business Enterprise (DVBE)

### **Certification Benefits**

- ◆ 5% bid preference for SBs
- ◆ Up to 5% incentive for DVBEs
- Exclusive contract opportunities
- Prompt Payment

- Reciprocity Partners
- Working with prime contractors



# **Small Business Eligibility Requirements**

- Independently owned and operated
- Not dominant in field of operation
- Principal office located in California
- Owners (or officers for a corporation) domiciled in California
- 100 or fewer employees

- Gross Annual Receipts (GARs) less than \$18 million (with affiliates)
- \*If a manufacturer, 100 or fewer employees and no GARs cap



# Small Business – Public Works Eligibility Requirements

Same as SB requirements except...

- 200 or fewer employees
- Gross Annual Receipts (GARs)less than \$43 million (with affiliates)

### SB and SB-PW

- Get one certification, not both
- Same benefits
- ◆ SB-PW is *only* for public works
- SB is for everything, including public works





# DVBE Eligibility Requirements

- ◆ At least 10% service disabled
- ◆ Be at least 51% owned by disabled veterans (100% for LLCs)
- Veteran owner(s) must reside in California
- Managed and operated by disabled veterans
- Headquarters in US





### Cal eProcure

- Register your business
- Apply for Certification
- Find solicitations and contracts
- Receive solicitation notifications
- Appear in searches by state buyers

- Post ads seeking prime or subcontracting
- Access public procurement information
- Get training and resources
- No cost

## California State Government Marketplace

Your home for California's State Procurement and Certification Programs

California State Contracts Register (CSCR)

Search Bid Opportunities

Procure

**Q START SEARCH** 

### How do I...

#### Sell to the State

Register in Cal eProcure to receive bid notifications. Search for bid opportunities in the California State Contracts Register (CSCR).

#### **Get Certified**

Learn about California's Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) certification program and apply for SB/DVBE certifications.

### **Find Public Procurement Information**

Access records on what state agencies have purchased. Search for SB/DVBE suppliers, state contracts and bid opportunities.

### **Find Training and Resources**



Learn how to do business with the state of California. Access training resources, watch instructional videos, attend an outreach event.





| User ID  |  |  |  |
|----------|--|--|--|
|          |  |  |  |
| Password |  |  |  |

This is a State of California computer system, which may be accessed and used only for official Government business by authorized personnel. You are advised to read the Privacy Policy regarding the personally identifiable information that we collect, maintain, and use. Unauthorized access or use of the computer system may subject violators to criminal, civil, and/or administrative action. All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigations. Access or use of this computer system by any person whether authorized or unauthorized constitutes consent to these terms. Any email notifications of bid opportunities provided hereunder are strictly complimentary. The State of California does not guarantee bidders will receive such notices, and bidders interested in participating in upcoming bids are obliged to actively check the Call eProcure website for information about such procurements. By logging in I agree to comply with System Use and Privacy Policy of the Financial Information System for California.





# **Supplier Diversity Information**

- ◆ Ethnicity, Race, gender, sexual orientation
- Voluntary
- Supports diversity, equity, and inclusion
- Evaluate outreach effectiveness
- Supports targeted outreach efforts
- www.dgs.ca.gov/PD-Supplier-Diversity-Program

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|----|---|---|---|---|---|
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Password

This is a State of California computer system, which may be accessed and used only for official Government business by authorized personnel. You are advised to read the Privacy Policy regarding the personally identifiable information that we collect, maintain, and use. Unauthorized access or use of the computer system may subject violators to criminal, civil, and/or administrative action. All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigations. Access or use of this computer system by any person whether authorized or unauthorized constitutes consent to these terms. Any email notifications of bid opportunities provided hereunder are strictly complimentary. The State of California does not guarantee bidders will receive such notices, and bidders interested in participating in upcoming bids are obliged to actively check the Cal eProcure website for information about such procurements. By logging in I agree to comply with System Use and Privacy Policy of the Financial Information System for California.

**◆**D Login

A New Bidder Registration

**Existing Supplier Registration** 

### California State Government Marketplace

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California State Contracts Register (CSCR)

Search Bid Opportunities

Procure

**Q** START SEARCH

Cert. ID 2008705

#### Welcome to your WorkCenter

Manage your account, certifications, and bid activity

User ID TEST7

Certifications

Bidder/Supplier ID BID0056770

Show Less -

### LOUISE KURASHIGE

Outreach Test Co OSDSHELP@DGS.CA.GOV 916/375-4940

Edit Bidder Account

No Certification Record

**Get Started** 

View My Public Certification Profile Manage Certification

### My Account

**☑** Edit Account

A Manage Notification Profile

Manage Diversity Information

Certification

Apply For Certification

View My Public Certification Profile

### SB/DVBE Certification

California's Small Business (SB) and Disabled Veteran Business Enterprise (DVBE)
Certification Programs

#### **Get Certified**

Procure

How to certify your business on Cal eProcure (video instructions)

Before you begin...

- Read the eligibility requirements for the Small Business and DVBE certifications
- Be prepared to add Keywords and UNSPSCs in the application
- Be prepared to upload the following documents (other documents may be required based on your business structure):
- Complete Federal Tax returns (last 3 years or the number of years the firm has been in business, if less than three) for applicant firm and affiliate(s) which
  includes all pages, forms, schedules and statements.
- · IRS verification of your business's TIN (tax identification number)
- Quarterly Contribution Return & Report of Wages Continuation (Form DE 9C) for business and affiliates for the four most recently completed quarters, if
  the firm has employees
- Award of Entitlement, eBenefits Service/Benefit Verification, or Retired/Retainer letter (DVBE only)
- For a full list of required documents:
- o Small Business Document Requirements
- o DVBE Document Requirements

GET CERTIFIED (APPLY OR REAPPLY)



### **Benefits of Certification**

· Inclusion in the state's goal to spend 25 percent of all contract dollars with Small Businesses and 3 percent with DVBEs



# **Keywords and UNSPSCs**

- Describe goods and services
- Allows buyers to find businesses
- Can edit at any time

# Keywords

- Keywords describe a good or service, such as concrete, environmental, or legal
- Buyers can find your business through keyword searches
- You pick the keywords



# Keywords

- Individual words, not sentences
- Singular and plural
- Common words and technical jargon
- Broad words and specific words
- Use synonyms (garbage, trash, waste, etc.)
- Multi-word keywords as appropriate (Information Technology)
- \*\* "Borrow" keywords from competitors





### **UNSPSC**

- "United Nations Standard Products and Services Code"
- Eight-digit number
- ◆ Identifies a good or service <u>not</u> an industry
- Used for Cal eProcure system notifications



# **UNSPSC Example**

| Hierarchy | Code     | Description   |
|-----------|----------|---|
| Level 1   | 14000000 | Paper Materials and products  |
| Level 2   | 14110000 | Paper materials and products –<br>Paper products  |
| Level 3   | 14111500 | Paper materials and products –<br>Paper products – Business use print &<br>writing paper                        |
| Level 4   | 14111507 | Paper materials and products – Paper products – Business use printing & writing paper – printer or copier paper |



# **Find Contract Opportunities**

- California State Contracts Register (CSCR)
- ◆ Publicly advertised competitive solicitations



## California State Government Marketplace

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California State Contracts Register (CSCR)

Search Bid Opportunities

Q START SEARCH

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Procure



Q START SEARCH

#### Welcome to your WorkCenter

Manage your account, certifications, and bid activity

UserID TEST7 Bidder/Supplier ID BID0056770

Show Less -

### LOUISE KURASHIGE

Outreach Test Co OSDSHELP@DGS.CA.GOV 916/375-4940

Edit Bidder Account

| Certifications     |                  |           |            | Cert. ID 2008705 |
|--------------------|------------------|-----------|------------|------------------|
| Certification Type | Application Date | Status    | From       | То               |
| DVBE               | 2021-02-16       | Approved  | 2021-12-27 | 2023-12-31       |
| SB-PW              | 2021-02-16       | Approved  | 2021-12-27 | 2023-12-31       |
| SB(Micro)          | 2018-01-02       | Cancelled |            |                  |
| SB(Micro)          | 2018-01-02       | Cancelled |            |                  |

View My Public Certification Profile Manage Certification

### My Account

@ Edit Account

A Manage Notification Profile

Manage Diversity Information

#### Certification

☑ Manage Certification

View My Public Certification Profile



# Use Saved Search Manage Saved Searches Save Search Criteria

**EVENTS** 

Showing Results 1-11 of 11



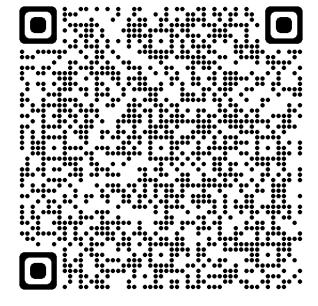
# California Public Utilities Commission Supplementation Commission

Agenda:



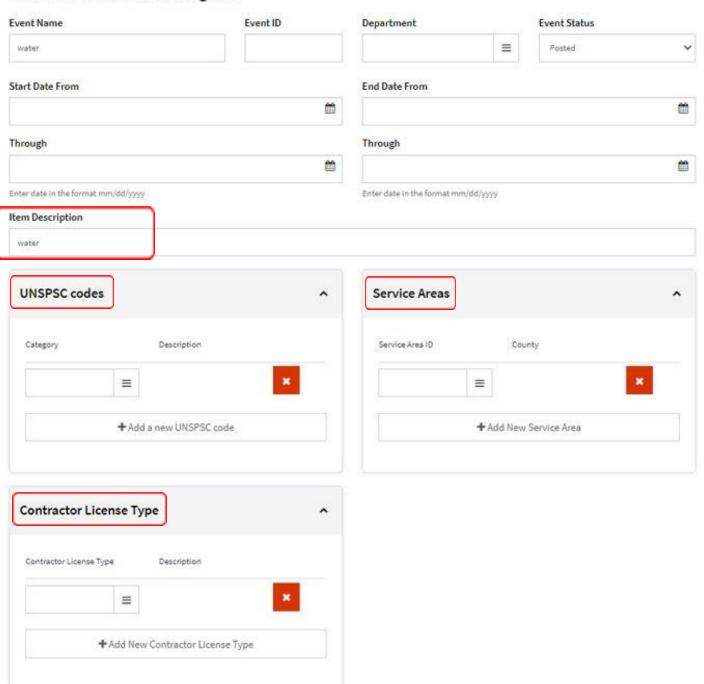
Small and Diverse Business Expo

Supplier Diversity Representatives Contact Information:





### California State Contracts Register



| Event ID   | Event Name  | Department<br>Name                   | End Date                  | Status |
|------------|---|--------------------------------------|---------------------------|--------|
| 0000019441 | Mammography, Bone Density and Relief Radiology Technician Services PR219008 | Dept of<br>Developmental<br>Services | 05/10/2021<br>11:30AM PDT | Posted |
| 0000019215 | Grower Liaison for San Bernardino County                                    | Dept of Food &<br>Agriculture        | 05/10/2021<br>12:00PM PDT | Posted |
| 0000019435 | CHP GOLD RUN AREA OFFICE SHOP CLOTHING SERVICES                             | Dept of the CA<br>Highway Patrol     | 05/10/2021<br>1:15PM PDT  | Posted |
| 0000019390 | 19-27788.FMD.EEC Window Repair/Replacement                                  | Department of<br>General<br>Services | 05/10/2021<br>2:00PM PDT  | Posted |
| 0000019214 | Grower Liaison for Southern Ventura County                                  | Dept of Food &<br>Agriculture        | 05/10/2021<br>2:00PM PDT  | Posted |
| 0000018359 | 20-41049-000 CA Memorial Project  | Department of<br>State Hospitals     | 05/10/2021<br>2:00PM PDT  | Posted |
| 0000019211 | Grower Liaison for Fresno and Madera Counties, RFP 21-0001                  | Dept of Food &<br>Agriculture        | 05/10/2021<br>2:00PM PDT  | Posted |
| 0000019212 | Grower Liaison for Northern Tulare County, RFP 21-0002                      | Dept of Food &                       | 05/10/2021                | Posted |

### **Event Details**

### Remove & construct concrete bridge, concrete slab, bridge rail & HMA.

Details

05-0L7214

Event: 05-0L7214

Event10

Department of Transportation

Format/Type: Self Event / RFx

Published Date 12/28/2020 12:00AM PST

02/17/2021 2:00PM PST

Event Version

Svent End Date:

Description:

05-SLO-1-52.8 \*\*\* SAN LUIS OBISPO COUNTY IN MORRO BAY AT TORO CREEK BRIDGE

View Event Package

@ 8

Post Vendor Ads

View Vendor Ads

#### Contact Information

Maxwell Maurer Phone: 916/227-8224

Email: maxwell.maurer@dot.ca.gov

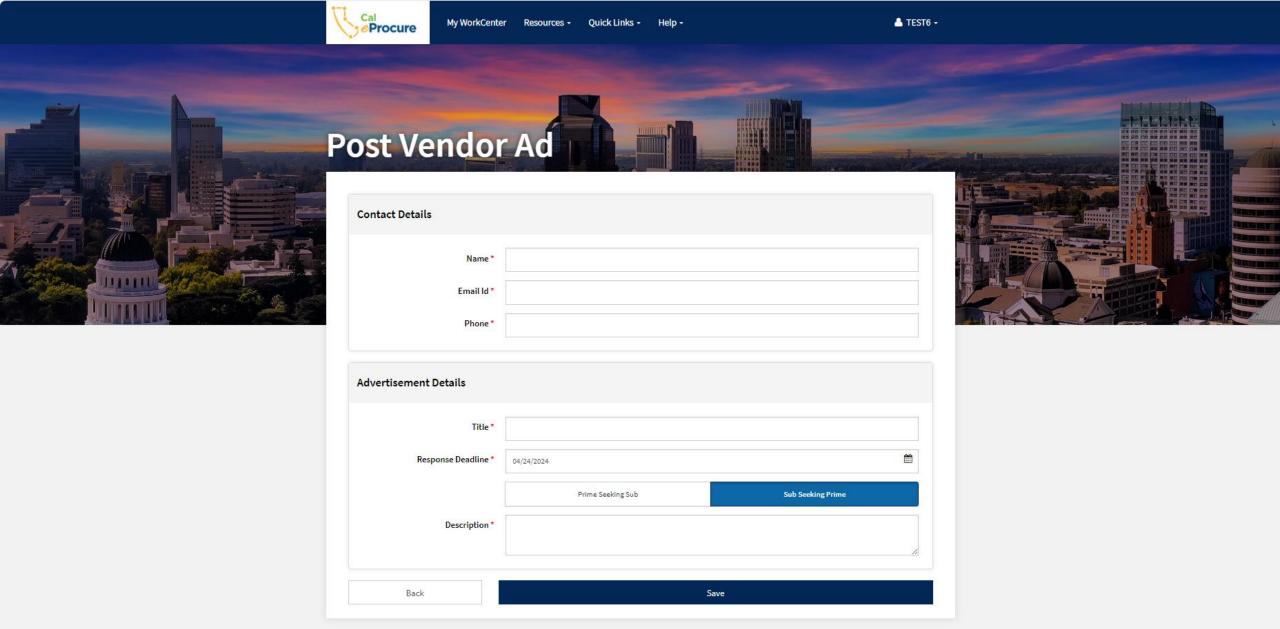
#### Pre Bid Conference (N/A)

Pre Bid Conference: Non Mandatory.

Time: Location: Comments:

| UNSPSC Codes            |  | ^ |
|-------------------------|--|---|
| UNSPSC Classification   | UNSPSC Classification Description      |   |
| 72141000                | Highway and road construction services |   |
| Contractor License Type |  | ^ |
| Contractor License Type | Description                            |   |
| A                       | General Engineering Contractor         |   |
| C-os                    | Concrete Contractor                    |   |
| C-12                    | Earthwork and Paving Contractors       |   |
| D-09                    | Drilling, Blasting and Oil Field Work  |   |
| Service Area            |  | ^ |
| Area ID                 | County                                 |   |

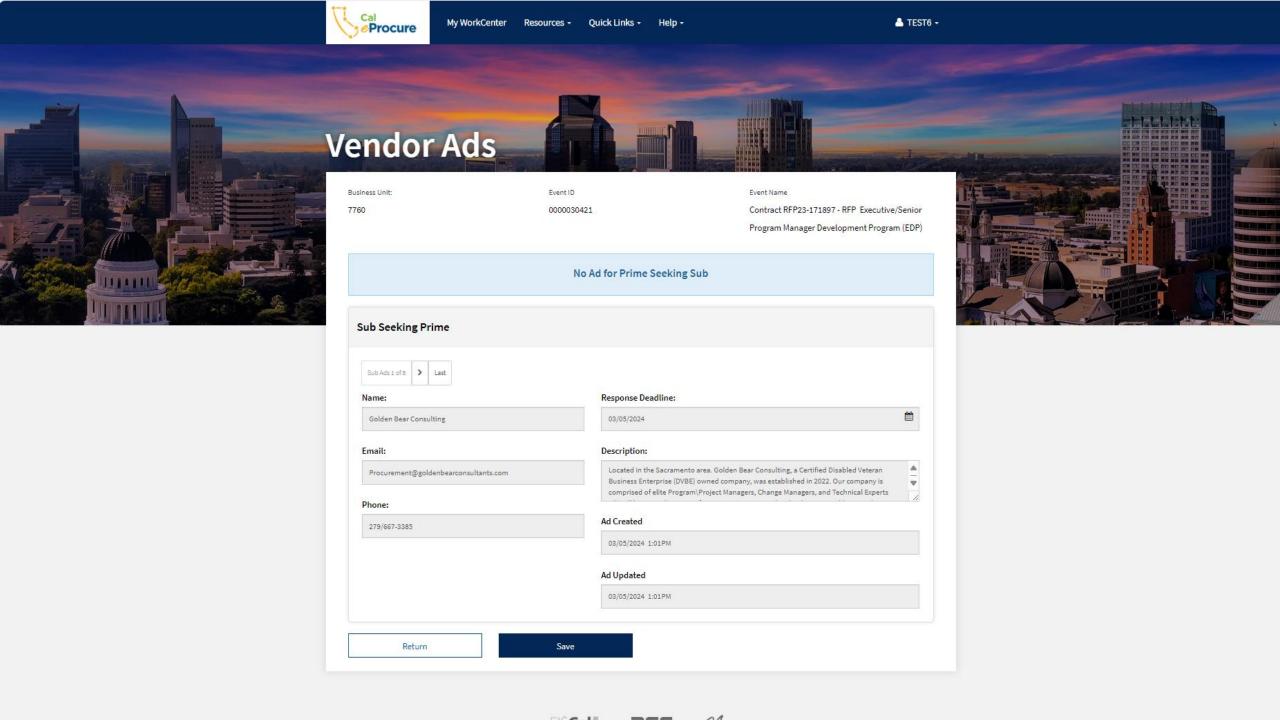
San Luis Obispo













### **Research Past Purchases**

State Contract and Procurement Registration System (SCPRS)

### **SCPRS**

- Database of state purchasing
- ◆ Includes state and non-state dollars
- Public-facing
- Use to conduct market research





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California State Contracts Register (CSCR)

Search Bid Opportunities

**Q START SEARCH** 

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### **Find Training and Resources**

o business with the state of California. Access ces, watch instructional videos, attend an outreach event.

# **Public Procurement Information**

Find out more about public purchasing and state agency resources

### Search For...

- Bid opportunities in the California State Contracts Register (CSCR)
- Past purchases in the State Contracting and Procurement Registration System (SCPRS)



- Procurement data in the Statewide Procurement Data Dashboards
- State Contracts / Leveraged Procurement Agreements (LPAs)
- California certified Small Businesses (SB) and Disabled Veteran Business Enterprises (DVBE)
- · Progress Payments View progress payments on Public Works projects
- FI\$Cal Purchase Order Payment History [Instructional Video (2 Mins)] ["How To" Job Aid]

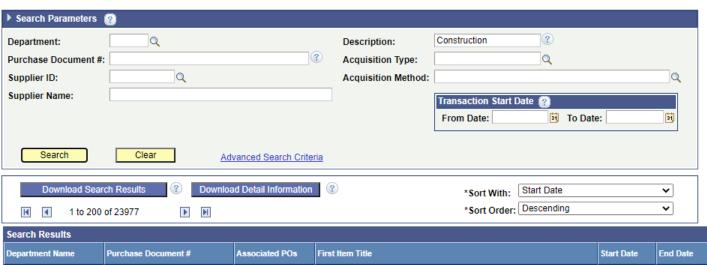
### **State Agency Resources**

- Learn about state procurement from the Department of General Services, Procurement Division
- State Contracting Manuals
- Buying Green Environmentally Preferable Purchasing (EPP)
- · List of State Agencies with Approved Purchasing Authority
- · California Procurement and Contracting Academy (CalPCA)

### FI\$Cal SCPRS Search

The State Contract & Procurement Registration System (SCPRS) tracks overall total contracting dollars and contracts procured by the State of California. The SCPRS data provided is available for informational purposes only. Please contact the awarding department with questions.

System will NOT complete download action without the selection of a single search parameter (e.g., Date, BU, Acquisition Method...) Please be sure to have selected AT LEAST 1 parameter for download execution to complete.



| Search Results                    |                    |                |  |            |            |             |             |                       |  |
|-----------------------------------|--------------------|----------------|--|------------|------------|-------------|-------------|-----------------------|--|
| Department Name                   | Purchase Document# | Associated POs | First Item Title   | Start Date | End Date   | Grand Total | Supplier ID | Supplier Na           |  |
| Dept of Corrections & Rehab       | C5609279           |                | Construction Waste Collection, Removal and Disposal Services   | 07/01/2020 | 06/30/2023 | \$75,780.00 | 0000016908  | MID STATI<br>RECYCLIN |  |
| Department of Motor<br>Vehicles   | TA-19280           |                | Autodesk Revit Architecture Engineering Construction Collection IC<br>Government Multi-User Annual Subscription Renewal. Term: 6/20/20<br>- 6/19/21  | 06/20/2020 | 06/19/2021 | \$4,237.00  | 0000028156  | BRIDGE N              |  |
| Dept. Forestry & Fire<br>Protectn | 0000149274         |                | 2X4X8' STD & BTR DF  | 06/02/2020 | N/A        | \$740.71    | 0000025251  | SCARBOF<br>BLDG SUF   |  |
| Department of<br>Transportation   | CPO-032000001340   |                | Seive 8" Brass with backing cloth:Seive needed for materials testing.<br>Benefits the state by having accurate testing of construction materials.  | 05/31/2020 | 05/31/2020 | \$282.21    | 0000085889  | GILSON C              |  |
| Department of<br>Transportation   | CPO-032000001347   |                | Cable television services:Benefit to the State, satellite television service needed for summer operations personnel staying on site for the construction season. Purchaser, Joe Lujetic. Commodities, are satellite television se            | 05/31/2020 | 05/31/2020 | \$198.85    | 0000002586  | DISH NET              |  |
| Department of<br>Transportation   | CPO-032010001585   |                | Plumbing system maintenance or repair:Repair urinal and toilet The reason for this purchase is to provide essential office/business function. These products involve necessary facilities for construction employees. Benefit to the state - | 05/31/2020 | 05/31/2020 | \$1,447.88  | 0000029020  | FLETCHE               |  |
| Department of<br>Transportation   | CPO-072000000957   |                | Disinfecting Wipes:  | 05/31/2020 | 05/31/2020 | \$994.50    | 0000004906  | LOWMARI               |  |
| Department of<br>Transportation   | CPO-072000000953   |                | Purell Refill:   | 05/31/2020 | 05/31/2020 | \$1,707.51  | 0000000111  | GARZA IN              |  |
| Department of<br>Transportation   | CPO-062000001589   |                | Lab Needs for D05 Lab:120.1000 Auto Rice testing and control system The reason for this purchase is to buy testing equipment to improve quality testing of highway materials used in construction of highways. State will                    | 05/31/2020 | 05/31/2020 | \$7,131.94  | 0000053202  | INSTROTE              |  |
| Department of                     | CPO-062000001588   |                | Material testing items for D05 District lab:49DN83- Platform truck with 2500 pound capacity The reason for this purchase is to test HMA  | 05/31/2020 | 05/31/2020 | \$1 702 04  | 0000005196  | WW GRAI               |  |



## **Advocates**

- State employee
- Work in purchasing/procurement
- Manager, analyst, etc.
- Required for departments that spend at least \$100,000/year





## **Advocates**

### **Roles and Responsibilities**

- Help certified business contract with their department
- Assist businesses with prompt payment
- Help buyers use certified businesses
- Seek out new certified businesses



### **Contact an Advocate**

### **Business information to have**

- Your Contact Information (name, company name, etc.)
- Certification ID number
- ◆ What you do
- Capability Statement



# California State Government Marketplace

Your home for California's State Procurement and Certification Programs

California State Contracts Register (CSCR)

Search Bid Opportunities

Procure

**Q START SEARCH** 

### How do I...

#### Sell to the State

Register in Cal eProcure to receive bid notifications. Search for bid opportunities in the California State Contracts Register (CSCR).

#### **Get Certified**

Learn about California's Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) certification program and apply for SB/DVBE certifications.

### **Find Public Procurement Information**

Access records on what state agencies ha Search for SB/DVBE suppliers, state cont opportunities.

### **Find Training and Resources**



Learn how to do business with the state of California. Access training resources, watch instructional videos, attend an outreach event.

### Training and Resources

Learn how to pursue contract opportunities with California state government

#### **Getting Started**

Procure

- . How to do Business with California State Government an Overview of State Contracting for Small Businesses (SB)
- Como Hacer Negocios con el Gobierno del Estado de California: Una Descripción General de la Contratación Estatal para Pequeñas Empresas (SB)
- . How to do Business with California State Government an Overview of State Contracting for Disabled Veteran Business Enterprises (DVBE)
- Cómo Hacer Negocios con el Gobierno del Estado de California: Una Descripción General de la Contratación Estatal para Empresas de Venteranos Discapacitados (DVBE)
- · Commercially Useful Function (video)

#### **Next Steps**

- . I'm Certified, Now What? take next steps to contract with the state (English)
- Pasos a Seguir Despues de Recibir Certificación (Español)

#### **Don't Miss Contract Opportunities**

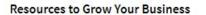
- · Learn how to access bid solicitations and place ads (video)
- · Learn how to receive notifications for bid solicitations (video)
- · Learn how to update your certification profile (video)
- Overview of keywords and UNSPSCs (video)

#### Inside State Contracting

- · Learn about public competitive bidding (video)
- · Learn about acquisition methods
- · Learn about the SB/DVBE Emergency Registry

### Research and Marketing

- · Learn how to market your business (video)
- Contact a small business and DVBE advocate



· Leverage your state certifications for local contract opportunities



**NEWS** 

**EVENTS** 

**ABOUT** 

CONTACT

HOME » PROCUREMENT DIVISION » RESOURCES » SB. DVBE ADVOCATE DIRECTORY

SERVICES

# Small Business (SB)/Disabled Veteran Business Enterprise (DVBE) Advocate Directory

RESOURCES

Search for a small business (SB) and disabled veteran business enterprise (DVBE) advocate to help you pursue contract opportunities with the state.

**FORMS** 

#### CONTACT

**◆** DGS HOME

HOME

Office of Small Business and DVBE Services

Department of General Services

707 Third Street
West Sacramento, CA 95605
advocate@dgs.ca.gov

#### DOWNLOAD THE ADVOCATE DIRECTORY



The linked directory above lists the name and contact information of every advocate in the state's departments and agencies.

Almost every agency and department in the state of California has a small business (SB) and disabled veteran business enterprise (DVBE) advocate. The SB/DVBE Advocate is here to help SBs and DVBEs pursue contract opportunities with the department they work for. They work closely with the buyers in their department to include SBs and DVBEs in state procurement.

| $\square$ | A   | В                                  | С              | D                       |
|-----------|---|------------------------------------|----------------|-------------------------|
| 1         | DEPARTMENT (Highlighted deparments have a SB/DVBE First policy)       | UNIT/OFFICE/LOCATION -             | CONSTRUCTION - | NAME -                  |
| 2         | Administrative Law, Office of   | Executive Office                   |                | Belinda Lindstrom       |
| 3         | Agricultural & Labor Relations Board                                  |                                    |                | Jan Shores              |
| 4         | Air Resources Board   |                                    |                | Erilk Quady             |
| 5         | Aging, Dept of  | Contracts and Business Services    |                | Kelli Kemper            |
| 6         | Alcoholic Beverage Control, Dept. of                                  |                                    |                | Pattye Nelson           |
| 7         | Arts Council, CA  | Administration                     |                | Ayanna Kiburi           |
| 8         | CA Dept. of Tax and Free Administration                               | Acquisition Branch                 |                | Matt Freeman            |
| 9         | Board of Equalization   | Acquistion Branch                  |                | Lina Lopez              |
| 10        | Board of Equalization   | Acquisition Branch                 |                | Bruce Catalano          |
| 11        | Business Oversight, Dept. of  | Business Operations Section        |                | Hyunmee Peters          |
| 12        | Business, Consumer Services & Housing Agency                          |                                    |                | Gladys Lopez            |
| 13        | CA African American Museum  | Fiscal Department                  |                | Marchell Miller         |
| 14        | CA Coastal Commission   | Business Services                  |                | Owen Omphua             |
| 15        | CA Commission on Disability Access                                    |                                    |                | Angela Jemmott          |
| 16        | CA Conservation Corps   | Business Services                  |                | Jennifer Reed-Sanguayo  |
| 17        | CA Dept. of Human Resources   |                                    |                | Marcos Hernandez        |
| 18        | CA Dept. of Technology . This department has an SB/DV/BE First Policy | IT Procurement & Contract Services |                | Dan Lautaret            |
| 19        | CA Dept. of Technology . This department has an SB/DVBE First Policy  | IT Procurement & Contract Services |                | Marisa Duarte-Lott      |
| 20        | CA Governor's Office of Emergency Services                            | Procurement                        |                | Flora Karami            |
| 21        | CA Exposition & State Fair  |                                    |                | Candice Myers           |
| 22        | CA Health Benefit Exchange  | Business Services/Procurement      |                | David A. Hovey          |
| 23        | CA High-Speed Rail Authority  |                                    | Х              | Alice Rodriguez         |
| 24        | CA Highway Patrol. This department has an SD/DVDE First Policy        | Business Services                  | Х              | Tracy Bowen             |
| 25        | CA Horse Racing Board   | Business Services                  |                | Daniel Zamora           |
|           | CA Lottery Commission   | Contract and Procurement Services  |                | David Pena              |
| 27        | CA Maritime Academy   | Contract Services and Procurement  |                | Lorrie Dineen-Thackeray |
| 28        | CA Natural Resources Agency   | Administration and Finance         |                | Vickie Key              |
| 29        | CA Prison Industry Authority (CalPIA)                                 | Business Services/Contracts Unit   | Х              | Jamie Halford           |
| 30        | CA Public Utilities Commission . Codepartment has an SB/DVBE First Po | Executive Division                 |                | Bezawit Dilgassa        |
| 31        | CA Science Center   |                                    |                | Abigail Menchaca        |
| 32        | CA Senior Legislature   |                                    |                | Janice Bailey           |
| 33        | CA State Independent Living Council                                   | Headquarters                       |                | Danielle Hess           |
|           | CA State Library  | Business Services                  |                | Timothy Burkhart        |
|           | CA State Polytechnic University                                       | Pomona                             | Х              | Duane Johnson           |
|           | CA State University   | Bakersfield                        | Х              | Kathryn Villa           |
|           | CA State University   | Chico                              | Х              | Duane McCune            |
|           | CA State University   | Dominguez Hills                    | X              | Emmit Williams          |
|           | CA State University   | Fast Ray                           | V              | Jon Medwin              |





# SB/DVBE Emergency Registry

- Separate from Cal eProcure
- List of state certified businesses
- Used by state department buyers
- Businesses must be ready for emergency contract work
- Predetermined categories of goods and services

# **Emergency Registry Categories**

- Base camp operations
- Debris removal
- Facilities maintenance/repair
- Fire protection
- Information technology

- Logistics/Transportation
- Medical
- Roadway maintenance
- Traffic control



# **SB/DVBE Emergency Registry**

### To register you will need:

- Certification number
- Emergency categories
- Description of products/services
- Business address
- Contact information both primary and emergency (after hours)



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### Find Public Procurement Information

Access records on what state agencies have purchased. Search for SB/DVBE suppliers, state contracts and bid opportunities.

### **Find Training and Resources**

Learn how to do business with the state of California. Access training resources, watch instructional videos, attend an outreach event.

# **Sell to the State**

### Register in Cal eProcure

Registering your business allows your company to:

- · Subscribe to receive bid opportunity notices based on United Nations Standard Products and Services Code (UNSPSC)
- · Receive and access your bid opportunity invitations
- · Post prime and subcontracting advertisements on bid opportunities
- · View your Purchase Order information
- · View your Progress Payment status
- · Apply and manage California Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) certifications (learn more)

Learn how to register (video instructions)

REGISTER NOW

### **Find Bid Opportunities**

Bid opportunities are posted in the California State Contracts Register (CSCR).

FIND BID OPPORTUNITIES

### SB/DVBE Emergency Contracting

If you are a certified business capable of providing goods and services to the state during an emergency, learn more about the SB/DVBE Emergency Registry.

#### Additional Resources

# **Commercially Useful Function (CUF)**

You perform CUF if your business is:

- Executing a distinct element of the work
- Performing, managing, or supervising the work
- Performing work that is normal for the firm's business services and functions
- Negotiating price, determining quality and quantity, ordering, installing and making payment
- Not subcontracting a portion of the work greater than expected by industry practices





# Commercially Useful Function (Non-Performing)

- Being used as a passthrough to obtain the appearance of SB/DVBE participation
- Not being used to perform the work as stipulated in the bid
- No longer used to provide goods/services listed in the initial bid response



### Resources

### **Small Business Development Centers (new businesses)**

Business consulting, planning, marketing and access to capital

### **Apex Accelerators (established businesses)**

Government contracting

### **SCORE** (newer businesses)

Business mentorship



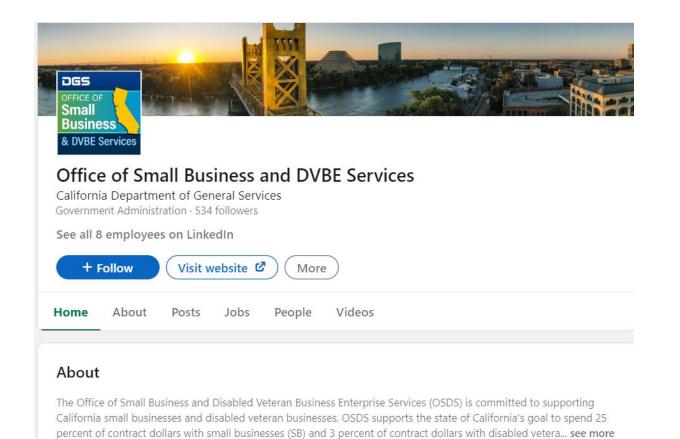
## Review

- ◆ Introduction to SB/DVBE Program
- Certification Benefits
- Certification Eligibility
- ◆ Cal eProcure
- ◆ SB/DVBE Emergency Registry
- Commercially Useful Function

Jan Landitt

# Stay Updated on LinkedIn

### Follow us at linkedin.com/company/dgs-osds





### Schedule a Consultation

- Introduction to state contracting
- Cal eProcure registration and certification
- Update Cal eProcure profiles
- Find contract opportunities









# Thank you to our meal sponsors



























# California Public Utilities Commission Small and Diverse Business Expo

Supplier Diversity
Representatives
Contact Information



