



SAFETY AND ENFORCEMENT DIVISION MONTHLY PERFORMANCE REPORT

May 2021



California Public
Utilities Commission

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INTRODUCTION

The CPUC’s Safety and Enforcement Division (SED) oversees the safety of electric and communication facilities, natural gas infrastructure and propane facilities. SED is comprised of three branches of utility engineers, analysts and investigators that focus on ensuring the safety of utility infrastructure and reducing utility caused wildfires. SED advocates for public safety through performing safety audits, conducting incident investigations, and appearing in CPUC safety proceedings. SED has the authority to issue citations with penalties against utility operators who violate public utility safety codes and requirements.

GAS SAFETY AND RELIABILITY BRANCH (GSRB)

The Gas Safety and Reliability Branch (GSRB) ensures that intra-state natural gas and liquid petroleum gas (LPG) pipeline systems are designed, constructed, operated, and maintained according to safety standards set by the CPUC and the federal government. CPUC gas safety engineers are trained and qualified by the federal government. The CPUC enforces natural gas and LPG safety regulations; inspects construction, operation, and maintenance activities; and makes necessary amendments to regulations. Its mission is to protect and promote the safety of the public and utility employees that work on the gas pipeline systems. May activities include:

- Meeting with Pipeline and Hazardous Materials Safety Administration (PHMSA) regarding state programs
- Meeting with California Energy Commission (CEC) and various stakeholders on gas transition planning
- Meeting with CEC regarding its research initiatives
- Presented at the National Association of Pipeline Safety Representative (NAPSR) Western Region meeting

SED has the authority to issue citations with penalties for operator violations of public utility safety codes and requirements¹. The citation process allows the CPUC to act expediently in matters where violations of state and federal rules are clear and unambiguous. Citations may arise out of an ongoing investigation into related matters when a violation is brought to the CPUC’s attention directly. The table below shows the status of pending citations issued by SED. The citations can be found on the CPUC’s website [here](#).

Citation Number	Utility	Amount	Violations per GO 112-F	Date Cited	Description	Status
G-20-08-001	Glenview Mobile Lodge	\$50,000	192.605(a), 192.605(b)(3), 192.616(j), 192.723(b)(2), 192.747(a), 192.805, 192.1015(a), 192.357(a), 192.479(a), & 192.353(a)	8/24/20	The MHP failed to remediate violations discovered during an inspection	Pending. Operator requested an Alternative Dispute Resolution (ADR).

¹ In December 2011, the CPUC created a citation process authorizing staff to impose fines for natural gas violations.

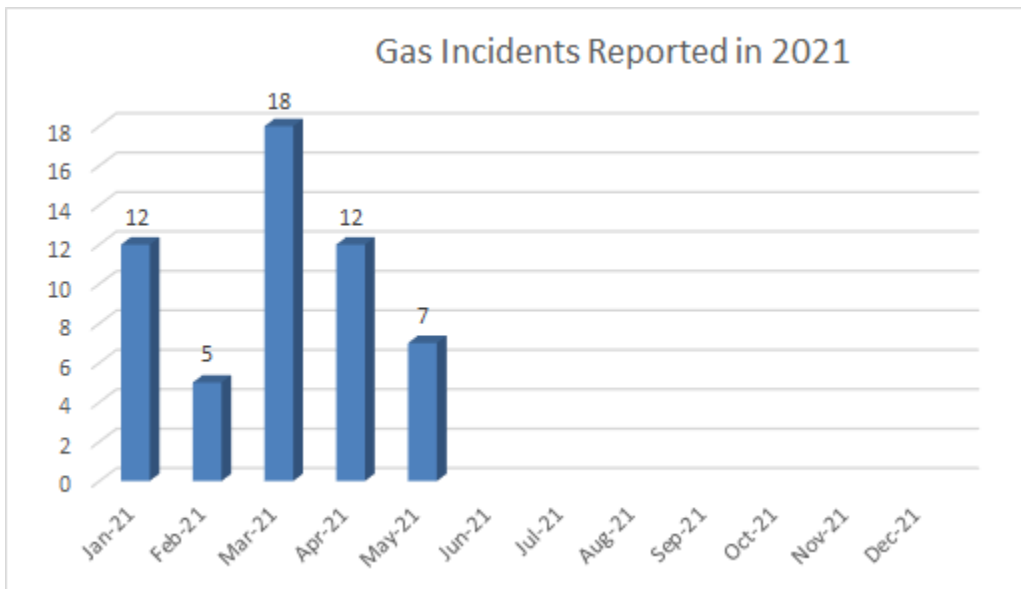
Natural Gas Inspections (Year to Date)

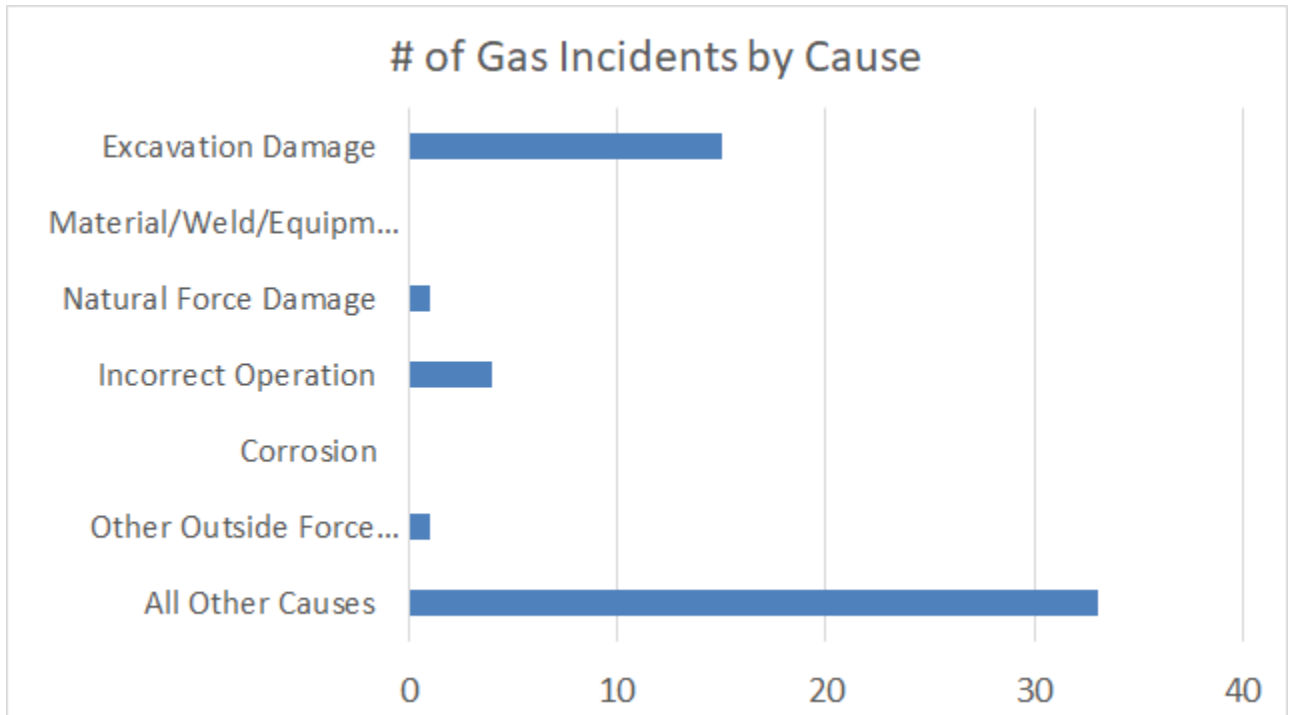
The purpose of GSRB’s inspections is to confirm that operators continue to construct, operate, and maintain their pipeline facilities in a manner that ensures the safety of workers and the public, while providing adequate and reliable service. In addition to routine inspections, GSRB also conducts construction/site inspections as resources permit. Typically, each inspection consists of records review of an operator’s past compliance activities and field verifications. Results are documented in a final report and sent to the operators after the inspections. The expectation is to have a final report sent to the operator within 60 days of the inspection. All issues should be properly closed out and an Inspection Closure Letter is issued to the operator within 120 days from the day the inspection is completed. Although there are deviations expected from time-to-time for business reasons, late inspections should be the exception and not the norm. Table below has 2021 activity.

2021 Year-to-Date	
Conducted	18
Final Report Completed	14

Natural Gas Incident Reports and Investigations in May 2021

As of May 31, 2021, GSRB Staff has received reports of 54 incidents. All reported incidents are then assigned to GSRB engineers to investigate and prepare a final report. The report indicates if there was any violation of GO 112F committed by the operators. Operators report incidents based on PHMSA guidelines.





This bar chart above shows the 54 incidents by cause as of May 31, 2021. The table below shows the 54 incidents by level and status.

	Level 1	Level 2	Level 3	Level 4	TOTAL
Open	13	31	5	0	49
Closed	1	4	0	0	4
TOTAL	14	35	5	0	54

The table below provides a summary description of the levels and provides the timeframes/guidelines for incident reports to be completed and closed from the date of assignment of an investigation.

Levels	Definition of Incident Levels	Guidelines
1	Did not result in injury, fatality, fire or explosion; may be due to an unrelated event outside of the Operator's control.	60 days
2	Did not result in injury, fatality, fire or explosion; may or may not have caused a release of gas, have been reported due to Operator judgment.	120 days
3	Resulted in a release of gas but did not result in injury, fatality, fire or explosion.	150 days
4	Resulted in injury, fatality, fire or explosion caused by release of natural gas from the Operator's facilities.	≥ 180 days

Natural Gas Utility Self-Identified Violation (SIV) Investigations

There were no Self-Identified Violations reported to GSRB in May.

Customer Safety Complaints

GSRB received and investigated five (5) new customer complaints in May.

Three (3) complaints were closed without further action after GSRB addressed the customer's concerns. The remaining two (2) complaints are being investigated and listed as: an IOU employee workmanship safety concern and a gas distribution pipeline leak complaint/concern.

Inspection Notices / Notice of Probable Violation Letters (NOPV)

SED may issue an NOPV based on an investigation, customer complaint, or inspection for a violation of an applicable law or regulation. In May, GSRB issued 1 inspection notice and 7 NOPV (with 9 probable violations).

- May 4 – SoCalGas' San Gabriel Valley Distribution Area inspection letter – 1 probable violation found.
- May 6 – PG&E Non-DOT incident violation letter – 1 probable violation found.
- May 12 – PG&E's Fresno Division inspection letter – 0 probable violations found.
- May 13 – PG&E DOT incident violation letter – 1 probable violation found.
- May 18 – SDG&E Non-DOT incident violation letter – 1 probable violation found.
- May 19 – City of Vernon Public Utilities' Operation, Maintenance, and Emergency Procedures inspection letter (recommended to PHMSA) – 3 probable violations found.
- May 28 – PG&E's Transmission Integrity Management Program (City of Lafayette) inspection letter – 1 probable violation found.
- May 28 – SoCal Gas' Aliso Canyon Natural Gas Storage Field inspection letter – 1 probable violation found.

Natural Gas Safety and Reliability: Proceedings

Aliso Canyon (I.19-06-016) (Commissioner Rechtschaffen /ALJs Hecht / Poirier) (SED Advocacy):

Evidentiary hearings began on March 16, 2021, and continued on May 3-7, 10-11, and 18-21, 2021. Please find proceeding highlights from late April to May 2021 below:

April 28 Ruling allows SoCalGas to conduct a deposition with Randy Holter. Also, SED to provide at a minimum Randy Holter's field notes and photographs taken by Mr. Holter while at Aliso Canyon Facility during the pre-formal investigation period.

May 2, May 4 - 6, 2021 - Cross-Examination of SoCalGas Technical Witness Dan Neville by SED and Redirect Examination by SoCalGas.

May 5, 2021 - SED filed Additional Motion to Quash SoCalGas' Notice of Deposition of Randy Holter.

May 7 and 10, 2021:

- Redirect Examination of SoCalGas Technical Witness Dan Neville by SoCalGas and Cross-Examination by SED

- Direct Examination of SoCalGas Technical Witnesses Tim Hower and Charlie Stinson by SoCalGas and Cross-Examination by SED
- Cross-Examination of SoCalGas Technical Witnesses Charlie Stinson and Tim Hower by SED and Redirect Examination by SoCalGas

May 13, 2021 - SoCal Gas to provide status update of SoCalGas' subpoena for the appearance of the Boots & Coots witnesses.

May 17, 2021 - SoCalGas filed a Motion to Strike Felts Testimony.

May 18 and May 19, 2021 - Direct Examination of SoCalGas Technical Witness Rodger Schwecke by SoCalGas and Cross-Examination of Mr. Schwecke by SED.

May 19, 2021 - ALJs ordered SoCalGas to provide an update on the availability of the witnesses no later than the close of business Wednesday, June 16, 2021.

Mobile Home Parks (MHP) Utility Conversion Program (D.20-04-004) (Commissioner Rechtschaffen /ALJ Hecht) (SED Advocacy): On April 24, 2020, the Commission issued Decision D.20-04-004 which established the Mobile Home Park Utility Conversion Program which authorizes IOUs to install new gas and electric utility systems in mobile home parks to replace master-metered utility systems. The program goal is to convert 50% of all mobile home spaces by the end of 2030 according to a risk-based priority ranking system developed by GSRB. GSRB received forms of intent from 986 mobilehome communities before the deadline on March 31, 2021. SED is currently incorporating data from those forms into the final risk-rank prioritization model. SED is verifying the risk-rank model currently and will have it finalized before the end of June 2021.

PG&E Locate and Mark Practices OII (I.18-12-007) (Commissioner Rechtschaffen/ALJ Allen) (SED Advocacy): On February 20, 2020, the Commission issued Decision (D.) 20-02-036) which ordered several shareholder-funded gas and electric System Enhancement Initiatives. On August 21, 2020, SED selected a consultant for the Compliance and Ethics Corrective Action Program Audit. On October 15, 2020, SED selected a consultant for the Locate and Mark Compliance Audit and Locate and Mark Field Audit. On November 17, 2020, PG&E submitted its proposed methodologies for SED's review and approval. On January 12, 2021, SED responded to PG&E's proposed methodologies review request. On February 4, 2021, PG&E informed SED about a request from their 3rd Party consultant for item III.B.2 of the Locate & Mark OII to solicit input from another external party (Exponent) to further develop their sampling methodology. SED responded on February 4, 2021. PG&E submitted its first Locate and Mark (L&M) Annual Report in accordance with Commission Decision (D.) 20-02-036 on April 26, 2021.

ELECTRIC SAFETY AND RELIABILITY BRANCH (ESRB)

The mission of ESRB is to enforce state statutes and regulations regarding the safety and reliability of electric facilities, communication facilities, and power plants that are within the jurisdiction of this Commission in California, to ensure that the facilities are operated and maintained in a safe and reliable manner to protect and promote the public health and safety, and to facilitate an environment inside and outside of the Commission that increases the safety and reliability of these facilities. May activities are below and include:

- Conducted 1 Electric Distribution audit, 1 Transmission audit, 1 Substation audit, 2 Communication Infrastructure Provider audits, and 1 Power Plant audit.
- Issued 3 Electric Distribution audit reports.
- Monitored 27 planned outages and 12 forced outages reported by natural gas and renewable energy power plants.

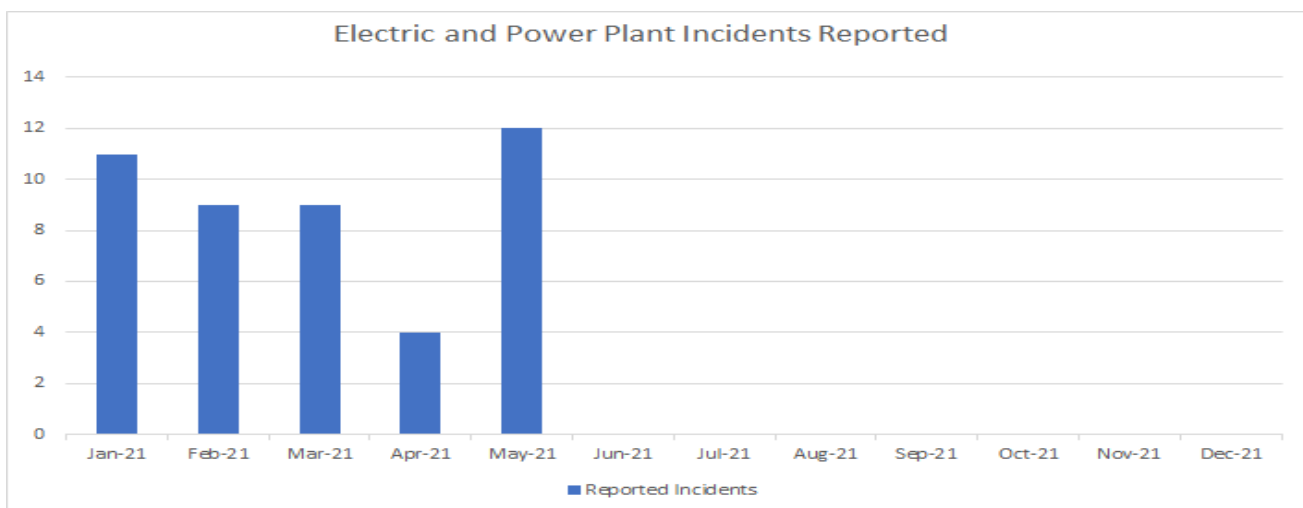
Electric Facilities Citations

SED has the authority to issue citations with penalties for electric utilities and Communication Infrastructure Providers (CIPs) for violating Commission General Orders and PU Code rules. Citations may arise from violations discovered during incident investigations, audits, customer complaint investigations, whistleblower investigations, or other safety matters that are brought to the attention of SED. The table below shows the status of citations issued by SED in 2020. SED has not issued any citations in 2021 as of May 31, 2021. All SED citations related to electric and communication facilities can be found on the Commission’s website [here](#).

Citation Number	Utility	Amount	Violations	Date Cited	Description	Status
D.16-09-055E.20-12-001	SCE	\$1,000,000	GO 128, Rules 17.1 and 17.7. PU Code, Section 451	12/4/2020	Failure to properly mark the location of SCE’s underground facilities which resulted in one injury	Paid in January 2021

Electric Incidents Reported through May 31, 2021

ESRB received 12 electric incident reports in May.



Electric Facilities and Power Plant/Generation Incident Investigations

ESRB		Level 1	Level 2	Level 3	Level 4	Total ²
Total open incidents	Electric Facilities	4	8	67	48	127
	Generation	1	1	5	1	8
Total incidents reported in 2021	Electric Facilities	1	3	28	12	44
	Generation	1	1	2	0	4
Total incidents closed in 2021	Electric Facilities	6	8	25	35	74
	Generation	0	0	3	0	3
Total open 2021 incidents	Electric Facilities	1	3	27	11	42
	Generation	1	1	1	0	3
Incidents reported in May 2021	Electric Facilities	1	0	8	3	12
	Generation	0	0	1	0	1
Incidents closed in May 2021	Electric Facilities	0	0	2	4	6
	Generation	0	0	2	0	2

The above table shows information about ESRB incident investigations as of May 31, 2021, and incidents reported and closed in May 2021. The level designation indicates increasing severity, with Level 4 as the most severe. See footnote 2 for definitions of each level. The guidelines to close incident reports are similar to those GSRB follows (see Guidelines table on p. 5).

Customer Safety Complaints

Investigated 23 electric and communication safety and reliability customer complaints.

² Level 1: A safety incident that does not meet Level 2, 3, or 4 criteria. Level 2: Incident involved a power interruption not due solely to outside forces (Level 2 for Generation: Incident that occurred during an Electric Alert, Warning or Emergency. Level 3: Incident involved damage estimated to exceed \$50,000 and caused, at least in part, by the utility or its facilities (Level 3 for Generation: Incident resulted in a significant outage that was due, at least in part, to plant equipment and/or operations). Level 4: Incident resulted in a fatality or injury requiring hospitalization and that was caused, at least in part, by the utility or its facilities or by equipment and/or operations (for Power Plants).

Notice of Violation Letters

ESRB issued 4 Notice of Violation (NOV) letters in May. ESRB may issue an NOV in response to an investigation or customer complaint for a violation of an applicable law or regulation.

Electric Safety and Reliability: Proceedings

PSPS Order Instituting Investigation (I.19-11-013) (Commissioner Batjer/ALJ DeAngelis) (SED Advisory). This OII was initiated by the Commission on November 13, 2019 to determine whether California's investor-owned electric utilities prioritized safety and complied with the Commission's regulations and requirements with respect to their Public Safety Power Shutoff (PSPS) events in late 2019. This OII is a companion to R.18-12-005, the Commission's rulemaking to examine the practice of utility de-energization of powerlines during dangerous conditions. On November 16, 2020, parties filed reply comments regarding the Phase 2 Scoping Memo and Ruling. SED reviewed the reply comments and continues to provide advisory support. PG&E continues to file bi-weekly progress reports on PSPS corrective actions. On March 10, 2021, the ALJ send an e-mail ruling directing PG&E, SDG&E and SCE to file an accounting of PSPS events in the 2019 and 2020 calendar years, and how the PSPS events impacted revenue collections. On April 20, 2021, the assigned ALJ issued a Proposed Decision. On May 10, 2021, parties filed Opening Comments regarding the Proposed Decision. On May 17, 2021, parties filed Reply Comments. Pending.

PG&E Locate and Mark Practices OII (I.18-12-007) (Commissioner Rechtschaffen/ALJ Allen) (SED Advocacy): D.20-02-036 approved several shareholder-funded gas and electric System Enhancement Initiatives but with a longer compliance audit period of four years and a \$110 million total penalty. In November 2020, ESRB staff reviewed PG&E's One Call Concepts Timely Ticket Review Methodology and PG&E's Field Review Methodology and provided comments to GSRB for consideration. Pending.

Rulemaking to Evaluate the Mobilehome Park Pilot Program and to Adopt Programmatic Modifications (R.18-04-018) (Commissioner Rechtschaffen /ALJ Hecht) (SED Advisory): ESRB's role in this proceeding is to address the conversion of electric master metered MHPs to direct service by an electric utility. The Commission issued D. 20-04-004 on April 24, 2020, adopting most of the language in the proposed decision. SED, Energy Division, and Housing and Community Development will convene workshops within six months from the effective date to discuss mobile home electrification topics. On September 15, 2020, the Commission held a workshop on the Building Decarbonization Proceeding Phase II Staff Proposal and the Mobilehome Electrification Topics and Tenant Protections. Also, in September 2020, all the parties responded to PG&E's August 2020 submittal of a supplemental advice letter (AL) regarding tariff revisions. The AL reflects changes to the program from a pilot to a full-scale, ten-year program per D. 20-04-004. ESRB continues to provide advisory support. Pending.

SCE Appeal of Twentynine Palms Citation (K.18-03-008) (ALJ Kim) (SED Advocacy): ESRB investigated an incident that occurred on August 1, 2015 in Twentynine Palms. On February 12, 2018, SED issued a \$300,000 citation to SCE for violations related to the incident. SCE appealed the citation on March 14, 2018. On October 3, 2018, SED issued an \$8,000,000 amended citation that replaced the \$300,000 citation. The amended citation was the result of new violations. Hearings were held in December 2018, February 2019, and March 2019. Briefs and reply briefs were filed in April 2019. Awaiting a draft Resolution on the Twentynine Palms incident. Pending.

Creation of a Shared Database or Statewide Census of Utility Poles and Conduit (I.17-06-027); Communications Provider Access to Poles (R.17-06-028) (Commissioner Batjer/ALJ Mason) (SED Advocacy): In 2017, the Commission opened a proceeding to address the feasibility of establishing a data management platform for pole owners and tenants to access pole data, attachment and conduit data. This investigation is being conducted in three tracks. In the current phase of the proceeding, parties addressed requirements for pole attachments via comments and are awaiting a proposed decision. On December 15, 2020, the Assigned Commissioner's office issued a second amended scoping memo and ruling that outlined next steps in the consolidated Pole OII/OIR proceeding. On March 9, 2021, the ALJ issued a Ruling that requested parties to file comments by April 12, 2021, on a One-Touch-Make-Ready (OTMR) proposal. On April 12, SED filed opening comments on the March 9th OTMR ALJ ruling, and on April 28, SED filed its reply comments. Pending.

Physical Security of the Electric System and Disaster and Emergency Preparedness (R.15-06-009) (Commissioner Rechtschaffen/ALJ Kelly) (SED Advocacy): On May 22, 2015, the Commission issued an OIR regarding policies and regulation of physical security for electric supply facilities and to establish standards for disaster and emergency preparedness plans. This rulemaking was conducted in two phases. Phase I addressed physical security for electric supply systems and was resolved by D.19-01-018. Phase II addresses disaster and emergency preparedness plans for electrical corporations and regulated water companies. On July 1, 2020, the Safety Policy Division (SPD) submitted a letter dated June 26, 2020 from the Deputy Executive Director of SED and SPD to enact the Interim Trial Procedures (ITP) to allow Commission staff to receive major utility compliance deliverables. On July 10, 2020, the six IOUs submitted their Preliminary Assessments under the ITP process to SPD and subsequently presented their briefings regarding their Preliminary Assessment submissions to SPD and SED staff. Three IOUs submitted supplemental filings and met with SED and SPD to discuss their filings. On November 19, 2020, the Commission issued D.20-11-048 which extends the statutory deadline for Phase II to May 30, 2021. On May 21, 2021, the Commission issued Decision (D.)21-05-019 which updates electric utilities' emergency plans under General Order (GO) 166 and water utilities under GO 103-A. D.21-05-019 incorporates requirements from PU Code 768.6 in Standard 14 of GO 166. The proceeding remains open to address the petition for modification regarding Decision 19-01-018 (Phase I Decision). Pending.

Other ESRB Activities

2019 Wildfires: In October 2019, California experienced devastating wildfires. In Northern California, the Kincade Fire burned more than 76,800 acres. The fire destroyed and damaged about 260 structures and caused injuries to two firefighters. In Southern California, the Saddleridge Fire, the Easy Fire, and the Maria Fire, burned more than 17,000 acres. The fires destroyed and damaged many structures and caused one fatality and 8 injuries to firefighters. SED is currently working closely with CAL FIRE and other agencies to investigate PG&E, SCE, and the communications companies' compliance with the Commission's safety rules.

2020 Wildfires: In 2020, California again experienced devastating wildfires. In Southern California, the Bobcat Fire, which started in September 2020 burned approximately 116,000 acres, damaged 47 structures, destroyed 170 structures, and resulted in 6 injuries. The Silverado Fire which started in October 2020 near Santiago Canyon Road and Silverado Canyon Road in the unincorporated area of Orange County, burned approximately 14,000 acres and injured two firefighters. ESRB and WSEB are working closely with CAL FIRE, the United States Forest Service (USFS) and other agencies to investigate SCE, and communications companies' compliance with the Commission's safety rules.

In Northern California, the Slater Fire started on September 9, 2020 in Butte County near the town of Happy Camp. The fire killed two people and injured three. It burned approximately 156,000 acres and damaged 197 residences and 243 structures. The Zogg Fire, which began on September 27, 2020 in Igo, Shasta County, resulted in four fatalities, one injury, 27 damaged structures and 204 destroyed structures.

Compliance with D.20-12-019 regarding PG&E's 2017 and 2018 Wildfires (I.19-06-015): On May 8, 2020, Decision 20-05-019 was issued approving a settlement agreement, with modifications, between SED, PG&E, Coalition of California Utility Employees (CUE), and the Office of Safety Advocates (OSA). On December 4, 2020, a Final Decision (D.20-12-015) was issued modifying D.20-05-019 and denying rehearing of D.20-10-019. In summary, the decision imposes penalties totaling \$2.137 billion consisting of \$1.823 billion in disallowances for wildfire-related expenditures, \$114 million in shareholder-funded System Enhancement Initiatives, and a \$200 million fine payable to the General Fund (the fine to be suspended). ESRB is monitoring PG&E's work to ensure compliance with the settlement agreement and D.20-12-015. On February 12, 2021 and consistent with D.20-05-019, PG&E filed its first semi-annual report which provided updates and an overview of PG&E's progress on each of the 20 corrective actions in the settlement agreement.

Compliance with D.17-09-024 regarding Long Beach Incident: D.17-09-024 adopted a Settlement Agreement between SCE and SED. Under the settlement, SCE paid a \$4 million penalty and will spend \$11 million on various system enhancement projects in Long Beach intended to reduce the chance of public injury, reduce the risk of future system failures, and improve the utility's operational awareness and network maintenance. ESRB is monitoring SCE's work to ensure compliance with the settlement agreement.

Transmission Maintenance Coordination Committee (TMCC): TMCC is an advisory committee to help the California Independent System Operator (CAISO) develop, review, and revise Transmission Maintenance Standards. TMCC holds quarterly meetings to discuss recent improvements in construction and maintenance processes and techniques, and industry best practices. ESRB is a member of TMCC and attends meetings.

WILDFIRE SAFETY AND ENFORCEMENT BRANCH (WSEB)

SED’s WSEB is dedicated to the enforcement of public utility wildfire safety and Public Safety Power Shutoffs (PSPS) violations. WSEB is the lead investigator for utility incidents relating to wildfire, PSPS events and other aspects related to wildfire events. The staff conducts audits, incident investigations, and provides input into policy development. May 2021 activities for WSEB include:

- In conjunction with ESRB, WSEB continued nine (9) investigations into utility-related wildfires.
- Continued monitoring and coordinating of the SCE PSPS Corrective Action Plan implementation.
- Participating in several IOU regional PSPS/Wildfire working groups and advisory board meetings. These included SCE Stakeholder Resiliency Workshops, PG&E County Webinars, one PG&E 2021 PSPS Tabletop Exercise, one PG&E 2021 PSPS Full Scale Exercise, one Liberty Utilities PSPS Tabletop Exercise, and one PacifiCorp PSPS Tabletop Exercise. .
- There were no PSPS events in May 2021.

PSPS Activations, De-energizations & Post Event Reports - April 2021

WSEB	PG&E	SCE	SDG&E	Bear Valley	Pacific Corp	Liberty
Total PSPS Activations	0	0	0	0	0	0
Total PSPS w/ De-energization	0	01	0	0	0	0
Total PSPS Post Event Reports Reviewed	0	0	0	0	0	0

PSPS Related Proceedings

Rulemaking to Examine Electric Utility De-Energization of Power Lines in Dangerous Conditions (R.18-12-005) (President Batjer/ALJ Poirier /ALJ Stevens/ALJ Kao/ALJ DeAngelis) (SED Advisory):

This OIR was opened to further examine policies and guidelines adopted in ESRB-8 regarding communication, notification, mitigation and other logistic and implementation issues of a PSPS event. Currently, there are two tracks in this OIR:

1. Continued examination of utility de-energization/PSPS practices and guidelines.
2. An Order to Show Cause (OSC) on why PG&E should not be sanctioned for violations of P.U. Code 451, D.19-05-042 (Phase I) and ESRB-8 concerning three PSPS events in October 2019. Opening Briefs were due October 30, 2020, and Reply Briefs were filed on November 17, 2020. The Presiding Officer’s Decision was issued on 26 May.

On February 19, 2021, the ALJ issued a Phase 3 Scoping Memo and Ruling to consider whether existing de-energization guidelines should be further updated, or new guidelines should be adopted in advance of the 2021 wildfire season. Comments and reply comments were submitted in March 2021.

On May 21, 2021, the Proposed Decision was issued for public comment with comments due on June 10 and reply comments due on June 15, 2021.

PSPS Order Instituting Investigation (I.19-11-013) (Commissioner Batjer/ALJ DeAngelis) (SED Advisory). This Investigation was initiated to determine whether the IOUs that experienced PSPS events in late 2019 prioritized safety and complied with the Commission’s regulations and requirements in ESRB-8 and D.19-05-042 (Phase 1 PSPS Guidelines). A Proposed Decision was issued for public comment on April 20, 2021, with comments due May 10, 2021.

Monitoring the Whistleblower Website

The Commission regulates privately owned utilities. It serves the public interest by protecting consumers and ensuring that utility services and infrastructure are safe, reliable, and available at reasonable rates. It is charged with ensuring that these regulated service providers comply with the regulations. Whistleblower complaints can result in investigations that may involve safety and other issues. In May 2021, no whistleblower complaints were submitted online to SED.

COMPLIANCE WITH ORDERING PARAGRAPHS (COPS)

The Compliance with Ordering Paragraphs (COPs) database is designed to assist CPUC staff in tracking compliance with Ordering Paragraphs (OPs) of regulated entities with Commission decisions. Each month various agency staff use COPs to identify and enter into the database relevant OPs, verify and document compliance, and produce reports summarizing compliance with OPs.

Between January 1, 2015, and May 31, 2021, SED had 78 total entries in the COPS system with 43 (55%) reaching compliance, 29 (37%) not yet due for compliance, and 0 (0%) out of compliance. All 78 (100%) SED OPs are assigned to staff. The chart below shows statistics from January 2015 through May 31, 2021³.

³ The category of “tracked in financial payment tracker” (FPT) is used to monitor compliance with financial payments. to the Commission. The FPT category is verified and deemed “in compliance” and is listed as “in compliance” on this chart.

January 2015 through May 31, 2021

