BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

In the Matter of Application of )

(one or more individuals dba or )

partnership dba or a California )

corporation) for a Certificate of ) Application No.

Public Convenience and Necessity ) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

to Construct a Public Utility Water) (For Commission use only)

System near (town) in (county) and )

to Establish Rates for Service and )

(if corporation) to Issue Stock )

 )

APPLICATION

 This application of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 (exact legal name of each applicant)

respectfully shows:

# I

(If individuals)

Business and residence address and telephone numbers.

(dba) utility name.

(If Corporation)

Names and addresses of principal stockholders.

State that a copy of articles of incorporation certified by Secretary of State is attached to original of application.

Name, address, and telephone number of person to whom communications regarding this application should be addressed.

# II

Indicate that financial statements of the net worth of individual applicants or principal stockholders are attached as exhibits.

Explain relationship between and among subdivision landowner, developer of the subdivision, and the utility.

Show names and addresses of any other privately or publicly owned water systems within 1 mile and at least the two systems nearest to the requested area. Certify that a copy of the application has been furnished to all parties named, to the local Agency Formation Commission and to the county board of supervisors.

# III

 State that under Section 1001 of the Public Utilities Code a certificate of public convenience and necessity to construct a water system or a noncontiguous extension is requested to serve:

 Identification of subdivision (name, tract number).

 Geographic location (Township, Range, portion of section).

 Location reference to other land-marked locations such as towns, highways, railroads, lakes, rivers, parks, airports, military bases, resort areas, etc.

Attach a location map as an exhibit which shows the location of the proposed construction and its relationship to potential competing entities and the other pertinent data. (U.S. Department of the Interior, Geological Survey quadrangle maps to the scale of 1-24,000 (1-inch equals 2,000 ft.) or a portion of county maps are suitable for location.)

IV

 Describe the subdivision in which water system facilities are to be constructed showing:

 Total acreage within the boundaries to be served and number of lots.

 Square feet area of the smallest and largest lots, average of all lots.

 Elevations above sea level of the lowest point and highest point of land.

 General description of the terrain.

 Character of the subdivision, urban residential, resort, etc.

 Lots to be sold or leased, with or without homes.

 Availability of centralized sewer system, electric, telephone, natural gas services.

 Subdivision map has or has not been approved by the county.

 Attach as an exhibit a final or tentative subdivision map.

 Attach a copy of the environmental assessment that has been prepared concerning the subdivision.

V

 Briefly describe the proposed water system, how it is to operate and pressures to be obtained at customer services.

 State that a map is attached as an exhibit which shows, with legend and symbols, all water system facilities to be installed. (The subdivision map in IV above may be used for this purpose and if there are to be production, transmission and storage facilities outside of the subdivision a separate map to the same scale may be used to delineate these.) The maps should show some light contour lines referenced from sea level to indicate appropriate elevations. The maps should also show land to be used as utility property and easements.

 Describe in detail the sources of water supply and give whatever history is available which will support claimed production capacities. If wells are sources of supply attach, as exhibits, well driller’s reports and results of a 72-hour pumping test.

 If the sources of supply have been developed, attach as an exhibit the test results of bacteriological and chemical analyses of the water supply.

 Attach as an exhibit all computations of water requirement and supply including standby or emergency sources of water supply. The attached Water Supply Supplemental Questionnaire should be completed and submitted with the application.

 Include a statement that the water system conforms with the Commission’s General Order No. 103, Rules Governing Water Service Including Minimum Standards for Design and Construction.

IV

Provide a list of the principal quantities of utility plant materials by type and size to be used in constructing the water system such as pipes, tanks, services, and fire hydrants, with estimated installed unit costs.

Show the estimated cost of the proposed system, including all engineering and legal fees, in accordance with classification of utility plant accounts prescribed in the Commission’s Uniform System of Accounts for Water Utilities (Class D) using the estimated status of the system at the end of the first year of operation and the end of the fifth year of operations. (See following tabulation.)

Estimated Total Utility Plant

 : : : As of End of Year :

 : : : First Full : :

 : Ac. : : Year of : Fifth :

 : No. : : Operation : Year :

 301 Intangible Plant

 306 Land, Rights-of-Way, Easements

 Subtotal, Non-depreciable

 315 Wells

317 Other Source of Supply Plant

324 Pumping Equipment

332 Water Treatment Equipment

342 Reservoirs and Tanks

343 Water Mains

345 Services

346 Meters

347 Meter Installations

348 Hydrants

371 Structures

372 Office Furniture and Equipment

373 Transportation Equipment

374 Other Equipment

 Totals

250 Less: Reserve for Depreciation

 Net Utility Plant

Amount of legal fees included above?

Amount of engineering fees included above?

Is land valued at cost or market?

Have any depreciable facilities been in use for one year or more prior to application?

Amount of reserve for depreciation on facilities in use for one year or more prior to application?

VII

Show requirement of other governmental agencies and how requirements may have been met, as may be appropriate such as:

a. Water Supply permit:

1. To be issued by State Department of Health Services for Water systems to serve more than 200 customers, or
2. To be issued by the County Health Services for water systems to serve less than 200 customers.

b. County franchise if county has such requirement.

c. County or local fire protection district requirements for fire flow and for fire hydrants.

d. Land use permit to obtain water from U.S. National Forest Service land.

e. Water appropriation permit from the State Water Rights Board.

f. Plans for a dam approved by State Department of Water Resources.

g. Environmental impact assessments required by California Environmental Quality Act.

Identify and summarize any contracts, if any, executed or proposed, with government agencies or private parties to be involved in the continued operation of the proposed water system.

VIII

 Show the rates requested:

 Metered rates

 Minimum or service charge type

 Monthly or annual basis

 Fire Hydrant rates

 (Note: The Commission’s Water Division has an available supply of tariff forms useful in designing rate schedules.)

XI

 Provide evidence of projected customer growth, including but not limited to zoning, demands for service by developers, plans for municipal services to accommodate growth, costs of service by the utility to provide service to the projected growth, extent of likely growth and likely revenues derived from this growth. Provide the estimated operating results which includes an estimate of the revenues, expenses, depreciation and taxes in accordance with classification in CPUC’s Uniform System of Accounts for the first, fifth, and tenth year of operation. (See following tabulation.)

Estimated Operating Results

 : :

 : : : As of End of Year :

 : : : First Full : : :

 : Ac. : : Year of : Fifth : Tenth :

 : No. : : Operation : Year : Year :

##  Number of Customers

##  (End of Year)

## Revenues:

##  601 Metered Water Revenue

## 602 Unmetered Water Revenue

 605 Fire Protective Revenue

 Total Revenues

 Expenses:

 703 Source of Water Supply

 Expenses-Miscellaneous

 704 Purchased Water

 726 Power and Fuel for Pumps

 734 Operation and Maintenance

 - Labor

 735 Operation and Maintenance

 - Materials

 736 Operation and Maintenance

 – Contract Work

 791 Office and Management Salaries

 792 Office Supplies and Expense

 793 Insurance Expense

 798 Accounting, Legal and Other Services

 799 General Expense

 801 Vehicle Expense

 Subtotal Operating Expenses

 503 Depreciation Expense\*

 507 Taxes

 Total Expenses, Depreciation and Taxes

 Net Revenues

 Ratio of Net Revenues to Net Utility

 Plant (Approx. % return)

 \* Use 2.5% composite rate if you have no other more reasonable rate.

X

 Describe plans for the operation of the utility. Attach a five year plan for operation of the system including projected system additions and improvements, maintenance schedules and financing. Show the qualifications of management and operating personnel, availability of operating personnel to customers, how billing is to be handled and provisions for handling customers’ inquiries and complaints.

 State how the water system is to be financed. (If applicant is a corporation it must request PUC authority to issue its stock and substantiate the proposed expenditure of all stock proceeds.) Who how you plan to assure the financial integrity f9o the public utility

During the development periods.

 State how the developer proposes to subsidize the water or sewer service system until it is financially viable and until buildout.

XI

 WHEREFORE, applicant requests an order (here state clearly and concisely the specific authorization sought).

 Dated at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, California, this \_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, 20\_\_\_.

 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 (Signature of Applicant)

 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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 (Signature, address and

 telephone number of

 attorney, if any)

Verification

 I am the applicant (an officer of the applicant corporation herein, and am authorized to make this verification on its behalf) in the above entitled matter; the statements in the foregoing document are true of my own knowledge, except as to the matters which are therein stated on information and belief, and as to those matters I believe them to be true.

 I declare under penalty of perjury that the foregoing is true and correct.

 Executed on \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_,

 (date) (name of city)

California.

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 (Signature of Applicant or

 Signature and Title of

 Corporate Officer)

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