



# **Division of Water and Audits**

## **Utility Audit, Finance And Compliance Branch**

### **Audit Roles**



**Briefing to Finance and Administration Committee**  
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# Current UAFCB Audits

Role: Present opinion, findings and recommendations on financial statements or an auditee's compliance with specified state law and CPUC directives.

Staffing: Branch is headed by a Program Manager, with three Supervisors, 18 staff and 6 more in hiring process.

## Current Audit Activities:

- 💡 Energy Efficiency Program Audits (including Balancing Accts)
- 💡 Electric Energy Procurement
- 📞 Six Telecom Public Purpose Programs Surcharges
- 📞 Wireless Lifeline Costs
- 📞 Mobile Telephony Services Surcharge
- 💧 Small Water Financial Audits
- 🧑 Supplier Diversity Reporting





# Use of Audit Results

## Auditee's:

- Improve Internal Controls
- Improve Accounting and Record Keeping
- Increase the reliability of Financial Reporting
- Improve compliance with CPUC regulations

## CPUC:

- Strengthen Regulations
- Improve Policies
- Perform Effective Oversight





***Thank- you***

