

Mandates, Reports, and Metrics

A Review prepared by the Staff of the Policy and Planning Division

At the request of President Michael Picker

Draft of May 6, 2016 – This Draft adds references **(in Red with Underscore)** to the **Draft Strategic Directives**

President Picker requested that the California Public Utilities Commission’s (CPUC’s) Policy and Planning Division (PPD) develop a top-level list of mandates embedded in the Public Utilities Code, emanating from Governors’ Executive Orders, and those industry standards that are included in CPUC General Orders to the investor-owned utilities that are subject to our jurisdiction. These include the broadest mandates and reports, those related to California’s overall social goals, and the mandates and metrics related to industry standards and performance measurements of the jurisdictional utilities that the CPUC must enforce and ensure compliance.

Essentially, the successful compliance and enforcement of these mandates and metrics is a measure of the CPUC’s effectiveness.

This report provides an understanding of the major efforts of the Commission as it goes about the business of regulating Safe, Reliable Service at Just and Reasonable Rates. The following are the main sources of information included in the list:

- The Public Utilities Code
- Reports of the CPUC to the Legislature
- General Orders of the CPUC
- Decisions of the CPUC

High-Level Metrics

The metrics in this first list are overall measures of the performance of the Commission and of the utility corporations under our jurisdiction. To a large degree they are related to overall social goals.

Performance under these metrics extends beyond the specific industries and services related to the CPUC.

- **Water Utilities to Reduce Water Consumption by 25-percent in 2015 (No Reference in Strategic Directives)**
 - Governor's Executive Order. Governor Brown declared a drought state of emergency in January 2014, and put in place the 25-percent reduction requirement in April, 2015. Executive Order B-29-15.
 - The water utilities jurisdictional to the CPUC provide monthly reports to the Division of Water and Audits.
 - The water utilities jurisdictional to the CPUC achieved the 25-percent reduction as a whole through the most recent reporting, February 2016.
- **Renewable Portfolio Standard for Electric Production, 20-percent by 2020, raised over time, now raised to 50-percent by 2030 (SD-08 and SD-09)**
 - Originally a Governor's Executive Order, followed by legislation. The most recent legislation is SB-350 of 2015
 - The electric utilities jurisdictional to the CPUC provide monthly reports to the Energy Division.
 - The electric utilities have been increasing their purchases of renewable energy over the years. Actual renewable percentages as of 2014 were 28-percent for PG&E; 23.2-percent for SCE; and 36.4-percent for SDG&E.
- **Greenhouse Gas Emissions Reductions related to 1990 levels – Increasing Reductions Over Time (SD-08 and SD-)**
 - Global Warming Solutions Act, AB-32 of 2006
 - The Greenhouse Gas Cap-and-Trade program was designed and is operated by the California Air Resources Board. The CPUC's role is that the jurisdictional utilities are major participants in the program, and we oversee how they comply. We decide how to use some of the proceeds for the benefit of utility customers. We ensure that the costs are fair and reasonable. We also regulate the procurement processes of the utilities.
 - The 2016 Electric Residential Climate Credit amounts are: \$17.44 for SDG&E; \$28.14 for PG&E; \$26.99 for Liberty Utilities; \$38.00 for SCE; and \$143.47 for Pacific Power.
- **Increase participation of women, minority, disabled veteran business enterprises in procurement contracts of participating companies to 21.5% of total procurement from diverse suppliers (SD-10)**
 - Public Utilities Code Section 8283 requires CPUC-regulated gas, electric, telephone, and water utilities to submit annual plans. General Order 156 requires each utility to establish goals for utilities for procurement from Women- minority-, and disabled veteran-owned business enterprises (WMDVBEs).
 - Utilities covered under these regulations report annually to the CPUC. The CPUC, in turn, reports annually to the legislature.

- Utility spending on WMDVBE procurement was \$8.67 billion in 2014, 32.86-percent of the total.
- **Vision Zero. The safety mission and goal of the CPUC is to assure that all of us will work every day to assure that the regulated utilities we depend on for critical services are as safe and resilient as they can be (SD-01 and SD-02)**
 - Affirmed by the CPUC on February 12, 2015
 - There are now a Safety Policy Statement and Action Plan, a 2016 Action Plan Update, and Monthly Performance Reports by the Safety Enforcement Division.
 - The Monthly Performance Report provides many statistics on compliance with ordering paragraphs, citations, inspections, violations, and additional information about the actions of the regulated utilities and of the CPUC's own Safety Enforcement Division.
- **Income-Qualified Assistance Programs for Energy (SD-04)**
 - The CPUC is involved with several programs to assist low-income Californians with their energy costs.
 - California Alternate Rates for Energy (CARE) – Low-income customers that are enrolled in the CARE program receive a 30-35-percent discount on electric bills and a 20-percent discount on natural gas service bills. Eligible customers are those whose total household income is at or below stated income limits. For a family of four, the limit is \$48,500 through May 2016.
 - Family Electric Rate Assistance (FERA) – Families whose household income slightly exceeds the CARE allowances will qualify to receive FERA discounts, which apply a 12-percent discount on electricity bills.
 - Energy Savings Assistance Program (ESA) – provides no-cost weatherization services to low-income households that meet the CARE income guidelines. Services provided include attic insulation, energy-efficient refrigerators, energy-efficient furnaces, weather stripping, caulking, low-flow showerheads, water heater blankets, and door and building envelope repairs to reduce air infiltration.
 - Discounts, Payment Plans and Assistance Paying Your Bill – Plans are slightly different from utility to utility and are not official programs of the CPUC. For example, the PG&E Relief for Energy Assistance through Community Help (REACH) program provides emergency energy assistance to low-income families who are in jeopardy of losing their electricity services. It is a one-time program sponsored by PG&E and administered through the Salvation Army. PG&E also has a Balanced Payment Plan that makes energy bills more consistent from month to month by leveling out payments and avoiding spikes in the monthly bills. SCE, So-Cal-Gas, and SDG&E also have similar programs.
 - Medical Baseline – This program extends the allowance under Baseline rates for those who rely on life support equipment or those who have life-threatening illnesses or compromised immune systems.
 - Federal Low-Income Programs (Administered by the California Department of Community Services and Development (CSD)) – CSD administers Federal low-income home energy assistance, energy crisis intervention, and low-income weatherization

programs (LIHEAP). These programs are funded by federal grants to provide weatherization services and cash to help low-income customers pay their energy bills.

- The CPUC is advised by the Low Income Oversight Board, created by the legislature in Senate Bill 580 of 2005, Public Utility Code Section 382
- The utilities file monthly reports to the CPUC regarding their budgets, the spending, and the number of customers being served by these programs.
- The goal of all of these programs is that all eligible customers should be able to participate in the programs and should be able to reap the benefits.
- **Income-Qualified Assistance Programs for Water Utilities (SD-04)**
 - The nine Class-A water utilities have customer-assistance programs for low-income customers. Those programs provide lower rates for consumers that meet the same income guidelines used in the CARE program. For example the California Water Service Company's program is called Low Income Rate Assistance (LIRA). In addition to traditional residential single-family water users, this company's program is available for Agricultural Employee Housing Facilities as well.
 - In CPUC Decision D.11-05-020, "Decision Adopting Guidelines for Sharing Low-Income Customer Information," the Commission determined that water and energy utilities with overlapping service territories should share low-income customer information to increase participation rates in water low-income assistance programs.
 - The result has been a doubling of the number of customers enrolled (across all nine water utilities in total) from approximately 117,000 in 2011 to about 230,000 customers in 2016.
 - The goal of these programs is that every income-qualified eligible customer should be enrolled and should receive the benefits of this program.
 - The water utilities provide monthly reports on enrollment and other information
- **Income-Qualified Assistance Programs for Telecommunications (SD-04)**
 - The California Life Line Program provides discounted home phone and cell phone services to qualified households.
 - The program was authorized under Public Utilities Code section 871 and recently was modernized and expanded in CPUC Decision D.14-01-036.
 - The goal is that every eligible customer should be able to participate and benefit from this program.
 - There are about 2,241,000 participants, and the program has a budget of about \$345.7 million for Fiscal Year 2015-16.
 - The California Teleconnect Fund (CTF) provides a 50-percent discount on select communications services to schools, libraries, hospitals, and other non-profit organizations.
 - It was established under California Public Utilities Code section 280 and implemented in 1996 in Decision D.96-10-066.
 - The goal is to reduce or close the "digital divide" and that all eligible institutions should participate and benefit from the program.

- There are thousands of K-12 schools, libraries, hospitals & health clinics, community colleges, community-based organizations, and a tele-health network participating. The program has a budget of \$148.7 million in Fiscal Year 2015-16.
- **Degree of Universal Service in Telecommunications and Broadband (SD-05)**
 - The Commission authorized the California Advanced Services Fund (CASF) in 2007 by adopting Decision D.07-12-054 in accordance with Public Utilities Code section 701. CASF provides grants to telephone corporations to bridge the “digital divide” in unserved and underserved areas in the state. Since that time, the program has been enhanced and modified through legislation (Senate Bill 1193 of 2008, which codified the program in Public Utility Code Section 281, Senate Bill 1040 of 2010, Senate Bill 740 of 2014, and Assembly Bill 1299 in 2014) and numerous CPUC decisions.
 - The CASF provides:
 - Grants and loans for deployment of broadband infrastructure in unserved and underserved area;
 - Grants to regional consortia to advance broadband deployment, access, and adoption; and
 - Grants to public housing for access and/or adoption activities.
 - The goal of the program is to make progress toward closing the digital divide in California.
 - As of 2015, there have been 52 CASF infrastructure project grants awarded and 27 completed. Together the projects are expected to provide broadband access to about 302,000 unserved and underserved households. The 27 completed projects and three partially completed projects offer broadband service in their respective areas with household subscribership of about 4,000. Total authorized funding through 2015 since program inception in 2008 is \$315 million.
- **California High Cost Funds (A) and (B) for Universal Service (SD-04 and SD-05)**
 - California High Cost Fund A works to minimize telephone rate disparities between rural and metropolitan areas to keep rates affordable in areas with lower population densities. It supports 13 small independent telephone companies.
 - Authorized under Public Utilities Code Section 275.6 and Section 739.3. It is funded by an all-end-user surcharge collected by telecommunications carriers. The program is implemented through CPUC Decision D.91-09-042.
 - Approximately 58,000 lines of 13 telephone companies are supported. The Fiscal Year 2015-16 program budgeted is \$43.4 million. The program is funded through an all-end-user surcharge.
 - California High Cost Fund B provides subsidies to carriers of last resort (COLRs) for providing basic local telephone service to residential customers in high-cost areas that are served by four large telecommunications firms. High-cost areas of California are those in which the cost to the COLR to provide service is \$36 or more per telephone line per month, as determined in Commission Decision D.14-06-008.
 - Authorized under Public Utilities Code Section 739.3. The surcharge was authorized in CPUC Decision D.96-10-066

- The goal is to minimize telephone rate disparities between rural and metropolitan areas to keep rates affordable in areas with lower population density.
 - Approximately 8.5 million telephone lines are assisted, and the program has a budget of \$22.5 million in Fiscal Year 2015-16. The program is funded by an all-end-user surcharge.
- **Percent of Cases Completed at the CPUC within the 18-Month Standard [\(SD-11 and SD-13\)](#)**
 - The CPUC faces an 18-month standard for conclusion of proceedings found in Section 1701.5 of the Public Utilities Code. Of course, there are many reasons why a proceeding continues to be open for more than 18 months. Most commonly, the scope of a proceeding was modified to address additional issues, and that would reset the deadline for closure. In other proceedings, the Commission must await the completion of environmental review or must await other outcomes.
 - In an effort to be transparent, the Commission has begun to publish “Statistics on Open Proceedings,” beginning with information from December 2014, and now provides a monthly report on the Commission’s web page.
 - Of 315 proceedings open in June of 2015, 66 had been open more than 2 years, and 26 had been open more than four years. At that date, 68-percent of proceedings were less than and up to 18 months in age.

Industry Standards

The Commission enforces upon its jurisdictional utilities many metrics generally based on industry standards, and then the specific applications and target levels are adjusted to the circumstances of the utility companies under our jurisdiction. Targets, methods and frequency of reporting, and rewards and penalties for exceeding or falling short may be determined in rulemakings and/or in other CPUC proceedings, such as general rate cases. There are many such measures, and they differ from utility to utility and over time.

This section begins with a **few widely recognized examples**. Then it follows with a **list of General Orders** that contain specific performance standards and reporting requirements. Finally, this section presents a **table of legislative mandates** regarding metrics that the legislature has determined are important enough that they need to be reported to the legislature on a regular basis.

Widely Recognized Examples of Industry Standards that Serve as Metrics (All of these are under SD-07)

- **Unaccounted For Water Losses (No specific mention in the Strategic Directives Draft)**
 - Based on Industry Standards and developed and applied through CPUC Decisions in Rulemakings and Applications.
 - The goal is to minimize water losses subject to reasonable cost constraints.
- **Distribution System Reliability Measures (SD-03)**
 - Based on Industry Standards such as Electric System Average Interruption Frequency Index (SAIFI), system Average Interruption Duration Index (SAIDI) and other distribution system reliability measures. Applied through CPUC Decisions in rulemakings and applications.
 - The goal is to maximize distribution system reliability subject to reasonable cost constraints.
- **Distribution System Inspection Cycles and Record-Keeping Requirements (SD-03)**
 - Based on Industry standards and developed in CPUC Decisions in Rulemakings and Applications
 - The goal is to minimize safety hazards and maximize system reliability subject to reasonable cost constraints.
- **Vegetation and Tree-trimming standards (SD-03)**
 - Based on Industry Standards and applied in General Orders as well as in specific Commission Decisions. The standards are different depending on voltage of lines and other characteristics.
 - The goal is to maximize safety of the system for the benefit of reliability and for the prevention of accidents, including fires.
- **Gas Systems are to maintain a reliability planning standard for core customers based on loss of service only one day in 10 years (SD-03)**
 - Based on an Industry standard and applied to California Gas utilities specifically in Decisions in 2006.

- The goal is to maximize the reliability of the core gas system and the benefits to core gas customers and to minimize safety hazards associated with the interruption of gas service, subject to reasonable cost constraint.
- **Resource Adequacy Standards for Electric Load-Serving Entities (SD-03)**
 - The Commission has adopted a group of standards including a 15-17-percent long-term Reserve Margin and other standards for closer time periods in a series of decisions.
 - The goal is to maximize the reliability of service subject to reasonable cost constraints.

General Orders that Mandate Standards And/Or Reports

Energy Standards

<u>General Order</u>	<u>Description</u>	<u>Effective date</u>	<u>Required Reports</u>	<u>Decision</u>
50-B	Filing of plans for construction of public utility dams	1/7/1930		
52	Construction and operation of power and communication lines for the prevention or mitigation of inductive interference	8/4/1964		D.67643
58-A	Standards of gas service	12/16/1992	Reports as required by CPUC	D.92-12-062
58-B	Standards of calorimetry for gaseous fuels	11/16/1984	Installation report @ time of installation	D.84-10-052
58-B	Standards of calorimetry for gaseous fuels	11/16/1984	Calibration report as recommended by manufacturer	D.84-10-052
94-B	Design, construction, operation, maintenance and inspection of gas holders and liquid hydrocarbon vessels	11/12/1970		
95	Overhead electric line construction. Revised 1/28/2016 (D. 16-01-046)		Reportable incidents (RI) : Including fatalities, hospitalizations injury, media coverage, property damage > \$50000 (see resolution E-4184)	D.12-01-032
96-B	Rules for filing and publishing tariffs for gas, electric, telephone, telegraph, water and heat utilities.	1/12/2012		
112-E	Design, construction, testing, maintenance and operation of utility gas gathering, transmission and distribution piping systems. D95-08-053 (revises G.O. 112-D to G.O.	8/21/2008	Incident reporting as required by 49 CFR Part 191; Annual Reports as required by 49 CFR part 191 Quarterly Summary	Resolution E-4184

	112-E)		incidnet reports Proposed installation Report Change in Max op pressure	
131-D	Planning and construction of facilities for the generation of electricity and certain electric transmission facilities	9/10/1995	Annual report in planned transmission , power line and substation facilities. Due March 1st of each year Annual report on Financing of new electric generation and transmission capacity due June 1st of odd numbered year	D.95-08-038
165	Inspection cycles for electric distribution facilities. D97-03-070 (adopts G.O. 165). Revised 1/12/2012 (D1201032) Check here for historical information.	6/27/2013	Annual inspection report July 1st each year Underground units, Overhead details, Wood pole intrusive	D.13-06-011
166	Rulemaking for electric distribution facility standard setting. D98-07-097 (adopts G.O. 166). Revised: 5/15/2014 (D1405020)Utilities should refer to the most recent version of Guidelines for Notification of the CPUC Energy Division of Electric Emergencies for instructions regarding reporting of 6 levels of electric service outage emergencies.	5/15/2014	Annual modification Report Oct 31 Number of repair and maintained personnel by classification on June 30th 2014 and 2013	D.14-05-020

167	Enforcement of Maintenance and Operation Standards for Electric Generating Facilities.	11/6/2008	Nuclear power generating plant reports as required by PU Code 761.3(d) Qualifying facility reports as required by PU code 761.3(d)(2)(B) CAISO Monthly report NERC report Records retention for 5 years	D.08-11-009 (Et al)
174	Rules for Electric Utility Substations	10/25/2012	Annual inspection program summary - due July 1st Annual summary : July 1st due date	D. 12-10-029
176	Rules for Overhead 25 kV Railroad Electrification Systems for a High-Speed Rail System	26-Mar-15	Reportable incidents (RI) : Including fatalities, hospitalizations injury, media coverage, property damage > \$50000	D. 15-03-029

Passenger Carriers

<u>General Order</u>	<u>Description</u>	<u>Effective date</u>	<u>Required Reports</u>	<u>Decision</u>
28	Preservation of records of public utilities and common carriers	12/22/1947		
44	Refunding of notes	11/5/1946		
80-C	Construction and filing of tariffs issued by highway common carriers, petroleum irregular route carriers, freight forwarders and express corporations (except air express companies and air freight forwarders). This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			

84-H	Handling, and accounting for C.O.D. shipments by express corporations, freight forwarders, highway carriers, passenger stage corporations and household goods carriers. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			
85-A	Issuance of free or reduced rate transportation and recording of passes. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			
87	Filing and posting of time tables and changes therein by ferries and vessels			
100-M	Protection against liability required for all highway carriers, freight forwarders, household goods carriers and exempt I.C.C. carriers	January 1 1994		Resolution No. 18602
101-E	Protection against liability required for a-all passenger stage corporations			
102-H	Bonding requirements in connection with subhauling or leasing of equipment from an employee. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			

<u>105-A</u>	The form and filing of tariffs issued by air transportation companies			
<u>109</u>	Tariff changes under special tariff docket for common carriers, air transportation companies, warehousemen and wharfingers			
<u>111-C</u>	Protection against liability required for all vessel common carriers			
<u>113-B</u>	Petitions for suspension and investigation of tariffs and schedules of common carriers, air transportation companies, warehousemen and wharfingers. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			
<u>115-F</u>	Protection against liability required for all charter-party carriers of passengers			
<u>117-A</u>	Construction and filing of tariffs issued by vessel carriers, pipeline corporations, toll bridge corporations, wharfingers and common carriers other than railroads and other than those governed by General Order No. 79 or No. 80-A			

<u>120-C</u>	Protection against liability required for all commercial air operators and passenger air carriers. D84-09-088 (amends G.O. 120C). D84-12-001 (corrects clerical errors of D84-09-088). D85-03-038 (grants limited rehearing of D84-09-088)			
<u>121-A</u>	Protection against liability required for all for-hire vessel operators			
<u>122-B</u>	Public inspection of tariff schedules of common carriers. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			
<u>123-G</u>	Filing of annual reports by permit carriers			
<u>124-C</u>	Filing of annual reports by household goods carriers			
<u>125</u>	Construction and filing of freight tariffs and classifications issued by railroads			
<u>130</u>	Leasing of motor vehicles			
<u>132-A</u>	Filing of rate quotation statements for transportation by common carriers for state, county or municipal governments. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.			

<u>136-C</u>	Rules and regulations for household goods carriers on cargo insurance, and loss and damage of used household goods and related property during course of transportation or storage in transit.			
<u>139-B</u>	Handling of claims for loss or damage of property filed with express corporations, freight forwarders, highway carriers, household goods carriers and passenger stage corporations			
<u>142</u>	Transportation and accessorial services (including storage-in-transit) of used household goods as described in MRT 4-B and performed by household goods carriers			
<u>146-A</u>	Rules implementing compensated intercorporate hauling exemption for highway carriers of property			
<u>147-D</u>	Rules and regulations governing tariff filings by common carriers and contract filings by contract carriers under the transition period of the commission's deregulation program			
<u>148-A</u>	Rules governing the processing, investigation, and disposition of overcharge or duplicate payment claims by common carriers			

154	<p>Rules Governing the Organization and operation of Rate Bureaus and their Common Carrier Member Engaged in Collective Ratemaking. This GO has been preempted in part, or no longer applies to some carriers. Please refer to PUC Resolution TEA-2 and TEA-4 when interpreting it.</p>			
155-B	<p>Rules Governing Issuance of Documentation and Collection of Charges by Highway Carriers</p>			
157-D	<p>Operations of charter-party carriers of passengers</p>			
158-A	<p>Operations of passenger stage corporations and the construction and filing of tariff and timetables</p>			
160-A	<p>Rules and regulation pertaining to private carriers of passengers, as defined by Public Utilities Code Section 4001, and organizations that provide transportation services incidental to operation of a youth camp which are required to register as private carriers pursuant to Public Utilities Code Section 5353(n)(2)(A).</p>			
164-D	<p>Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems – Amended May 3, 2007. Effective May 3, 2007.</p>			

Rail Carriers

<u>General</u>				
<u>Order</u>	<u>Description</u>	<u>Effective date</u>	<u>Required Reports</u>	<u>Decision</u>
22-B	Reports of accidents on railroads	1/1/1959		Cal PUC 714
26-D	Clearances on railroads and street railroads as to side and overhead structures, parallel tracks and crossings	12/3/1981		
27-B	Filing and posting of railroad timetables and changes			
31	Railroad station bulletin boards and keeping passenger stations open	12/1/1912		
33-B	Construction, reconstruction, maintenance and operation of interlocking plants of railroads	3/16/1936		D.28586
36-E	Establishment or abolition of railroad agencies, sidings, spurs and other facilities, and curtailment of agency service	9/12/1997		D.87752
72-B	Standard types of pavement construction at railroad grade crossings			
75-D	Regulations Governing Standards for Warning Devices for At-Grade Highway-Rail Crossings			
88-B	Rules for Altering Public Highway-Rail Crossings			
106	Chemical-type toilet facilities in railroad cabooses			
108	Filing of railroad operating department rules			
110	Radio communications in railroad operations			

<u>114</u>	Minimum safety, health and comfort requirements for railroad cabooses			
<u>118-A</u>	Construction, reconstruction and maintenance of walkways and control, of vegetation adjacent to railroad tracks.			
<u>119</u>	Construction and filing of passenger tariffs issued by railroads			
<u>126</u>	Contents of first-aid kits provided by railroads			
<u>127</u>	Maintenance and operation of automatic train control systems-rapid transit systems			
<u>135</u>	The occupancy of public grade crossings by railroads			
<u>143-B</u>	<u>Design, construction and operation of light rail transit systems (html format)</u>			
<u>144-A</u>	Denied boarding compensation for passengers holding confirmed reservations and meeting carriers' check-in requirements			
<u>145</u>	Railroad crossings to be classified exempt from the mandatory stop requirements of Section 22452 of the Vehicle Code			
<u>161</u>	Transportation of hazardous materials by rail			
<u>172</u>	Rules And Regulations Governing The Use Of Personal Electronic Devices By Employees Of Rail Transit Agencies And Rail Fixed Guideway Systems	10/6/2011	Reporting program submission to SED; Records retained for 3 years.	D. 11-10-018

175	Rules And Regulations Governing Roadway Worker Protection Provided By Rail Transit Agencies And Rail Fixed Guideway Systems	10/31/2013	Near miss reporting program; Reports retained for 3 years.	D. 13-10-073
175-A	Rules and Regulations Governing Roadway Worker Protection provided by Rail Transit Agencies and Rail fixed Guideway Systems	12-Apr-16	Near miss reporting program; Reports retained for 4 years.	D. 16-04-014

Telecommunications

107-B	Privacy of telephone communications			
128	Construction or' underground electric supply and communication systems. Check here for historical information.			
133-C	Rules Governing Telecommunications Services (Service Quality)			
138	Connection of customer-provided equipment to telephone company systems			
152-A	Rules Governing Private Line Alarm Service			
153	Procedure for Administration of the Moore Universal Telephone Service Act. Effective December 1, 2011.			
159-A	Construction of cellular radiotelephone facilities in California	5/8/1996		d.96-05-035

168	Consumer Bill of Rights Governing Telecommunications Services. Market Rules to Empower Telecommunications Consumers and to Prevent Fraud.	3/2/2006	Customer refund report Quarterly Service termination report Quarterly Service Complaint report Quarterly	D.06-03-013
169	Implementing the Digital Infrastructure and Video Competition Act of 2006 (DIVCA)	1/2/2007	Revenue Report: April 1st each year: Employment report : April 1st each year Households by Census tract Report : April 1st each year: Underserved customer report April 1st each year; Collective bargaining report April 1st each year Workplace diversity report April 1st each year	AB 2987 and D.07-03-014
171	Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996			

Water Service

<u>General</u>				
<u>Order</u>	<u>Description</u>	<u>Effective date</u>	<u>Required Reports</u>	<u>Decision</u>
103-A	Water service including minimum standards for design and construction			

Legislative Mandates as of 2015

ACTION	DEADLINE	CPUC DIVISION	BILL
Work with the Legislature and Administration through the budget process to ensure necessary funds are dedicated to accomplish governance reforms	1/1/2016	CPUC-wide	Multiple
Establish efficiency targets for electrical and gas corporations consistent working with the CEC to establish annual targets for statewide energy efficiency savings and demand reductions that will achieve a cumulative doubling of statewide energy efficiency savings in electricity and natural gas final end uses of retail customers by January 1, 2030	ongoing	Energy	SB 350 (de León)
Study the transformation of the ISO into a regional organization	none	Energy	SB 350 (de León)
Energy demand forecast adjustments with the CEC	none	Energy	AB 802 (Williams)
Specify utility data delivery	none	Energy	AB 802 (Williams)
Authorize electric and gas corporation to-code “high opportunity projects or programs” including operational, behavioral, and retrocommissioning	1/1/2016	Energy	AB 802 (Williams)
Authorize electric and gas corporation to-code energy efficiency projects including operational, behavioral, and retrocommissioning	9/1/2016	Energy	AB 802 (Williams)
Renumbered reports	1/1/2016	Energy	AB 802 (Williams)
Implement multifamily solar homes program for GHG IOU revenue	none	Energy	AB 693 (Eggman)
Implement \$5 million of Consortia funding	none	Comun-ications	AB 1262 (Wood)
Address commitment to clarify that the California Electronic Communications Privacy Act does not apply to advanced meters	1/1/2016	Energy	SB 178 (Leno)
Exercise environmental permitting safety exemption for at-grade crossings	none	Safety	SB 348 (Galgiani)
Contract for transportation assessment	11/1/2015	Safety	SB 541 (Hill)
Report to Legislature on transportation assessment (PU 918.1 & 918.2)	1/1/2017	Safety	SB 541 (Hill)
Outline enforcement implementation and coordination processes (PU 5102, 5317.5 & 5352)	none	Safety	SB 541 (Hill)
Update report references	none	CPUC	SB 697 (Hertzberg)
Update energy crisis bond refinancing authority	1/1/2017	Legal	SB 697 (Hertzberg)
Implement green tariff estimate of bill credit and charge	1/1/2017	Energy	SB 793 (Wolk)