

COMMISSION POLICY

Category: Commission-Staff Linkage	Title: UNITY OF CONTROL
Date of Adoption:	Policy Number:
Revision Date:	Resolution No. Resolution No.

Only decisions of the Commission acting as a body are binding on the Executive Director, General Counsel, the Chief ALJ, and the Internal Auditor. Specifically, in or out of the Commission meeting:

- a) In cases where the Commission has provided direction on an issue through a vote, such decisions are binding on the Executive Director, General Counsel, Chief ALJ, or Internal Auditor.
- b) In cases where the Commission has provided direction on an issue through consensus or other means, the President is empowered to communicate the relevant direction to the Executive Director, General Counsel, Chief ALJ, or Internal Auditor. If the President communicates the consensus outside a public meeting, the Executive Director, General Counsel, Chief ALJ, or Internal Auditor may request that such direction be confirmed through a formal vote of the Commission.
- c) In cases where a committee of the Commission provided direction on an issue through consensus or other means, the committee chair is empowered to communicate the relevant direction to the Executive Director, General Counsel, Chief ALJ, or Internal Auditor. If the committee chair communicates the consensus outside a public meeting, the Executive Director, General Counsel, Chief ALJ, or Internal Auditor may request that such direction be confirmed through a formal vote of the committee.
- d) Except where specifically authorized by the Commission, decisions or instructions of individual members of the Commission are not binding on the Executive Director, General Counsel, Chief ALJ, or Internal Auditor.
- e) In the case of individual members of the Board requesting information or assistance, the Executive Director, General Counsel, Chief ALJ, or Internal Auditor may refuse such requests that require, in their opinion, an inordinate amount of staff time, or funds, or are disruptive.
- f) Individual members of the Commission may communicate directly with employees or contractors for the purpose of providing or requesting information, suggestions or feedback, with appropriate notification to the Executive Director. However, the Commission as a body and individual members of the Commission cannot give direction to persons who report directly or indirectly to the Executive Director, General Counsel, Chief ALJ, or Internal Auditor.
- g) The Commission as a body will refrain from evaluating, either formally or informally, any staff other than the Executive Director, General Counsel, Chief ALJ, or Internal Auditor. Individual

members will refrain from evaluating any staff. However, they may provide input on the performance of staff members to the Executive Director, General Counsel, Chief ALJ, or Internal Auditor.

- h) The same limitations that pertain to the Commissioners under this policy shall apply to advisors.

Monitoring Method: Commission Report

Frequency: Annual