

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



January 6, 2020

To: **All Telephone Corporations**

Subject: **Reminder Notice for Annual Reporting Requirements**

The California Public Utilities Commission (CPUC) Communications Division (CD) is sending this letter to all telephone corporations as a reminder of its obligation to file the following annual reporting requirements:

Annual Reporting Requirement	Authorization	Due Date
Annual Tariff	Public Utilities (PU) Code Section 489(a)	February 13, 2020
Annual Performance Bond	Decision (D.)13-05-035; D.10-09-017/ D.11-09-026	March 31, 2020
Annual Reports	General Order (G.O.) 104-A	March 31, 2020
Annual Affiliate Transaction Report	D. 93-02-019	May 1, 2020

The applicability of the reporting requirements depends on the operating authority granted to the telephone corporation. Refer to Attachment A of this letter for information, applicability and filing instructions for each reporting requirement listed above. Additional information regarding general reporting requirements is available at <https://www.cpuc.ca.gov/General.aspx?id=1089>.

To ensure that CPUC has updated information for the telephone corporation's primary regulatory contact and official customer complaints contact, please complete and submit the Communications Utility Contact Update form¹ on or before June 1, 2020. Telephone corporations who have provided this information after June 1, 2019 do not need to provide this update unless changes have occurred since then. The Communications Utility Contact Update Form is available at: <https://www.cpuc.ca.gov/communications/> and must be submitted to cdcompliance@cpuc.ca.gov.

Failure to comply with CPUC rules and requirements may subject the telephone corporation to enforcement actions and/or financial penalties pursuant to Resolution T-17601.²

For any questions regarding this letter, send an email to CDcompliance@cpuc.ca.gov or call (415) 703-2431.

Sincerely,

Risa Hernandez
Program Manager
Communications Division

Enclosure: Attachment A - Reporting Requirements for Telephone Corporations

¹https://www.cpuc.ca.gov/uploadedFiles/CPUC_Public_Website/Content/Utilities_and_Industries/Communications_-_Telecommunications_and_Broadband/Service_Provider_Information/UCSUpdateRequest813.pdf

²<http://docs.cpuc.ca.gov/publisheddocs/published/g000/m216/k795/216795045.pdf>

ATTACHMENT A

Reporting Requirements for Telephone Corporations

To help determine the type(s) of operating authority granted to the telephone corporation, use the CPUC Utility Contact System Search available at <https://apps.cpuc.ca.gov/apex/f?p=102:1:8178557212940>

1) Annual Tariff Filing or Annual Letter Certifying Carrier is Completely De-Tariffed (Due February 13, 2020)

Pursuant to PU Code Section 489(a), all Tariffed carriers are requested to submit a copy of their complete tariffs. All completely de-tariffed carriers are requested to submit a letter stating so. The Tariff filing requirement is not applicable to Wireless Identification Registration (WIR) holders and to Voice over Internet Protocol (VoIP) service providers.

Submit this filing via email to cdcompliance@cpuc.ca.gov. All filers are instructed to include a CD compliance cover sheet available at: <https://www.cpuc.ca.gov/General.aspx?id=1089>

Additional Annual Tariff Filing requirements information is available at: <https://www.cpuc.ca.gov/General.aspx?id=6442462776>

2) Annual Performance Bond Filing (Due March 31, 2020)

Pursuant to D.13-05-035 and D.10-09-017/D.11-09-026, all telephone corporations holding a Certificate of Public Convenience and Necessity (CPCN), WIR, or registration license pursuant PU Code Section 1013 are required to annually submit a copy of their executed performance bond via advice letter.³ The CPUC may revoke the authority of any CPCN, WIR, or 1013 registration license holder that is more than 120 days late in providing a copy of its executed performance bond.⁴

Submit this filing via the Advice Letter process. Advice Letter information is available at: <https://www.cpuc.ca.gov/General.aspx?id=1097>. Additional Annual Performance Bond filing requirement information is available at <https://www.cpuc.ca.gov/General.aspx?id=6442462775>

3) Annual Report (Due March 31, 2020) and Affiliate Transaction Report (Due May 1, 2020) Filings

Pursuant to G.O. 104-A and D.93-02-019, all public utilities subject to CPUC jurisdiction are required to file reports on their California operations as specified by the CPUC.

Submit the following reporting requirements for Calendar Year 2019:

a) AT&T California (U-1001-C); Frontier California (U-1002-C); Consolidated Communications of California Company (U-1015-C); Frontier Communications of California (U-1024-C); and Frontier Communications of the Southwest (U-1026-C)

- (1) Copies of the following Federal Communications Commission (FCC) ARMIS Data
- FCC Report 43-01: The Annual Summary Report
 - FCC Report 43-02: The Uniform Systems of Accounts (USOA) Report

³ Decision 13-05-035 Ordering Paragraphs 8 and 9; Decision 10-09-017 Ordering Paragraph 6

⁴ Decision 13-05-035, Ordering Paragraph 13; Decision 10-09-017 Ordering Paragraph 9

- FCC Report 43-03: The Joint Cost Report
 - FCC Report 43-07: The Infrastructure Report
 - FCC Report 43-08: The Operating Data Report
- (2) Affiliate Transaction Report (CPUC Template)
- b) All General Rate Case Incumbent Local Exchange Carriers (GRC ILECs)**
- (1) Selected Financial Data (CPUC Report Template)
 - (2) Annual Report on Operations using the FCC Form M format
 - (3) Affiliate Transaction Report (CPUC Report Template)
- c) All Interexchange and/or Uniform Regulatory Framework (URF) Competitive Local Exchange Carriers (Wholesale and Reseller)**
- (1) Operational and Financial Information Report (CPUC Report Template)
 - (2) Affiliate Transaction Report (CPUC Report Template)
- d) All Wireless Telephone Corporations (Wholesale and Reseller); All Personal Communications Carriers; and All Radio Telephone Utilities**
- (1) Affiliate Transaction Report (CPUC Report Template)

Submit this filing via email to cdcompliance@cpuc.ca.gov. All filers are instructed to include a CD compliance cover sheet available at: <https://www.cpuc.ca.gov/General.aspx?id=1089>

Additional Annual Report and Affiliate Transaction Report requirement information and CPUC report templates are available at: <https://www.cpuc.ca.gov/General.aspx?id=1094>

The Annual and Affiliate Transaction Reports fall within the CPUC G.O. 66-C and P.U. Code Section 583. These statutes address public inspection of reports filed with the CPUC. Reports are public records and, as such are open to public inspection unless they are within the exclusions of G.O. 66-C or exempted under the California Public Records Act (Government Code (GC) Section 6250 *et seq.*). Records exempted from public inspection may be made public on a case-specific determination by the CPUC that the public interest in withholding those records clearly outweighs the public interest in disclosure. (See GC Section 6254(a)).

If you request confidential treatment, file one copy of the full and complete version of the report and one copy of the report with the claimed confidential information removed and the term REDACTED inserted in the blank area along with the required confidentiality declaration. The filing must be submitted in accordance with the confidential document submission process outlined in D.16-08-024.⁵

⁵ <http://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M184/K382/184382359.PDF>