

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



January 6, 2023

To: **All California Telephone Corporations**

Subject: **Reminder Notice for Annual Reporting Requirements**

The California Public Utilities Commission (CPUC) Communications Division (CD) is sending this letter to all telephone corporations as a reminder of its obligation to file the following annual reporting requirements:

| Annual Reporting Requirement   | Authorization   | Due Date                 |
|--|---|--------------------------|
| Annual Tariff  | Public Utilities (PU) Code<br>Section 489(a)            | <b>February 15, 2023</b> |
| Annual Performance Bond  | Decision (D.) 13-05-035; or<br>D.10-09-017/ D.11-09-026 | <b>March 31, 2023</b>    |
| Annual Reports on Operations and<br>Financials for Calendar Year (CY) 2022 | General Order 104-A                                     | <b>March 31, 2023</b>    |
| Annual Affiliate Transaction Report for<br>CY 2022                         | D. 93-02-019  | <b>May 1, 2023</b>       |

The applicability of the reporting requirements depends on the operating authority granted to the telephone corporation. Refer to Attachment A of this letter for information, applicability and filing instructions for each reporting requirement listed above. Additional information regarding general reporting requirements is available at <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/carrier-reporting-requirements>. **FILE ELECTRONICALLY UNLESS REQUESTED BY STAFF TO FILE A HARD COPY.**

To ensure that CPUC has updated information for the telephone corporation's primary regulatory contact and official customer complaints contact, **please complete the [Contact Information Update Request](#)<sup>1</sup> form and submit on or before June 1, 2023 to [cdcompliance@cpuc.ca.gov](mailto:cdcompliance@cpuc.ca.gov).** The contact information must be updated and submitted to the Commission within 30 days of any change. If there are no changes since June 1, 2022, resubmit this form annually.

Failure to comply with CPUC rules and requirements may subject the telephone corporation to Commission enforcement actions and/or financial penalties including but not limited to [Resolution T-17601](#).<sup>2</sup> For questions regarding, send an email to [CDcompliance@cpuc.ca.gov](mailto:CDcompliance@cpuc.ca.gov) or call (415) 703-2431.

Sincerely,

Risa Hernandez  
Program Manager  
Communications Division

Enclosure: Attachment A - Reporting Requirements for Telephone Corporations

<sup>1</sup> <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-division/documents/licensing-compliance/contact-information-update-request-form.pdf>

<sup>2</sup> <http://docs.cpuc.ca.gov/publisheddocs/published/g000/m216/k795/216795045.pdf>

## ATTACHMENT A Reporting Requirements for Telephone Corporations

To help determine the type(s) of operating authority granted to the telephone corporation, use the CPUC Utility Contact System Search available at <https://apps.cpuc.ca.gov/apex/?p=102:1:8178557212940>

### 1) Annual Tariff Filing or Annual Letter Certifying Carrier is Completely De-Tariffed (Due February 15, 2023)

Pursuant to PU Code Section 489(a), all Tariffed carriers are requested to submit a copy of their complete tariffs. **All completely de-tariffed carriers are requested to submit a letter stating so.** The Tariff filing requirement is not applicable to Wireless Identification Registration (WIR) holders and to Interconnected Voice over Internet Protocol (VoIP) service providers<sup>3</sup> under informal registration pursuant to PU Code Section 285.

Submit this filing electronically via email to [cdcompliance@cpuc.ca.gov](mailto:cdcompliance@cpuc.ca.gov). FILE ELECTRONICALLY UNLESS REQUESTED BY STAFF TO FILE A HARD COPY. All filers are instructed to include a CD compliance cover sheet available at: <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-division/documents/licensing-compliance/carrier-reporting-requirements/08-05-21-final-cd-compliance-submission-cover-sheet-no-pw-.pdf>

Additional Annual Tariff Filing requirements information is available at: <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/carrier-reporting-requirements/tariff-filing-requirements>.

### 2) Annual Performance Bond Filing (Due March 31, 2023)

Pursuant to D.13-05-035 and D.10-09-017/D.11-09-026, all telephone corporations holding a Certificate of Public Convenience and Necessity (CPCN), WIR, or registration license pursuant PU Code Section 1013 are required to annually submit a copy of their executed performance bond via advice letter.<sup>4</sup> The CPUC may revoke the authority of any CPCN, WIR, or 1013 registration license holder that is more than 120 days late in providing a copy of its executed performance bond.<sup>5</sup>

Submit the Annual Performance Bond via the Advice Letter process and email to [TD.PAL@cpuc.ca.gov](mailto:TD.PAL@cpuc.ca.gov). Additional Advice Letter information is available at: <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/advice-letter-information>. For information regarding the Performance Bond filing requirement, visit: <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/carrier-reporting-requirements/performance-bond-requirements>.

### 3) Annual Report (Due March 31, 2023) and Annual Affiliate Transaction Report (Due May 1, 2023) Filings

Pursuant to G.O. 104-A and D.93-02-019, all public utilities subject to CPUC jurisdiction are required to file reports on their California operations as specified by the CPUC.

Submit the following reporting requirements for **Calendar Year 2022**:

#### a) AT&T California (U-1001-C); Frontier California (U-1002-C); Consolidated Communications of California Company (U-1015-C); Frontier Communications of California (U-1024-C); and Frontier Communications of the Southwest (U-1026-C)

- (1) Copies of the following Federal Communications Commission (FCC) ARMIS Data
  - FCC Report 43-01: The Annual Summary Report

<sup>3</sup> Interconnected VoIP entities assigned a utility ID number and Utility Type: Digital Voice Services (DVS)

<sup>4</sup> Decision 13-05-035 Ordering Paragraphs 8 and 9; Decision 10-09-017 Ordering Paragraph 6

<sup>5</sup> Decision 13-05-035, Ordering Paragraph 13; Decision 10-09-017 Ordering Paragraph 9

- FCC Report 43-02: The Uniform Systems of Accounts (USOA) Report
  - FCC Report 43-03: The Joint Cost Report
  - FCC Report 43-07: The Infrastructure Report
  - FCC Report 43-08: The Operating Data Report
- (2) Affiliate Transaction Report ([CPUC Template](#))
- b) All General Rate Case Incumbent Local Exchange Carriers (GRC ILECs)**
- (1) Selected Financial Data (CPUC Report Template)
  - (2) Annual Report on Operations using the FCC Form M format
  - (3) Affiliate Transaction Report ([CPUC Report Template](#))
- c) All Interexchange and/or Uniform Regulatory Framework (URF) Competitive Local Exchange Carriers (Wholesale and Reseller)**
- (1) Operational and Financial Information Report (CPUC Report Template)
  - (2) Affiliate Transaction Report ([CPUC Report Template](#))
- d) All Wireless Telephone Corporations (Wholesale and Reseller); All Personal Communications Carriers; and All Radio Telephone Utilities**
- (1) Affiliate Transaction Report ([CPUC Report Template](#))

Submit this filing electronically via email to [cdcompliance@cpuc.ca.gov](mailto:cdcompliance@cpuc.ca.gov). **FILE ELECTRONICALLY UNLESS REQUESTED BY STAFF TO FILE A HARD COPY.** All filers are instructed to include a CD compliance cover sheet available at: <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-division/documents/licensing-compliance/carrier-reporting-requirements/08-05-21-final-cd-compliance-submission-cover-sheet-no-pw-.pdf>.

Additional Annual Report and Annual Affiliate Transaction Report requirement information and CPUC report templates are available at: <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/carrier-reporting-requirements/annual-report-forms>. To complete the Annual Affiliate Transaction Report, please use the **NEW FORM: [Annual Affiliate Transaction Report Form with Instructions \(PDF\)](#)**.

The Annual Report and Affiliate Transaction Reports fall within the CPUC G.O. 66-C and P.U. Code Section 583. These statutes address public inspection of reports filed with the CPUC. Reports are public records and, as such are open to public inspection unless they are within the exclusions of G.O. 66-C or exempted under the California Public Records Act (Government Code (GC) Section 6250 *et seq.*). Records exempted from public inspection may be made public on a case-specific determination by the CPUC that the public interest in withholding those records clearly outweighs the public interest in disclosure. (See GC Section 6254(a)).

IF YOU REQUEST CONFIDENTIAL TREATMENT, file one copy of the full and complete version of the report and one copy of the report with the claimed confidential information removed and the term REDACTED inserted in the blank area along with the required confidentiality declaration. The filing must be submitted in accordance with the confidential document submission process outlined in D.16-08-024.<sup>6</sup>

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<sup>6</sup> <http://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M184/K382/184382359.PDF>